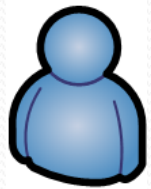


Behavioral Conformance of Artifact-Centric Process Models

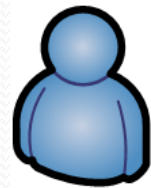
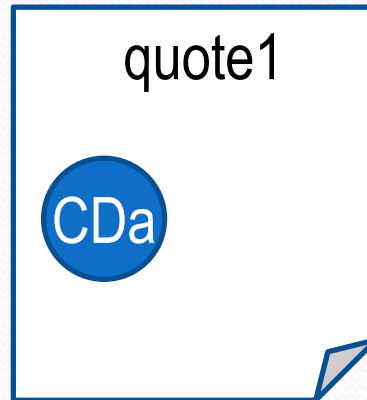


Dirk Fahland
Massimiliano de Leoni
Boudewijn van Dongen
Wil van der Aalst
TU Eindhoven

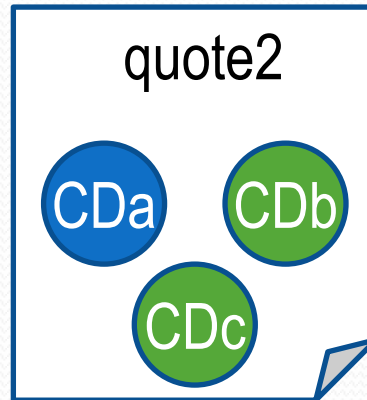
CD SHOP'S BACKEND PROCESS



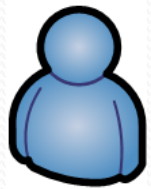
Customer 1



Customer 2



CD SHOP'S BACKEND PROCESS



Customer 1

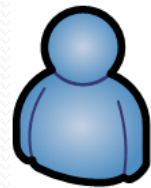
quote1

order1



q1

q2



Customer 2

quote2

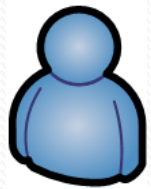
order2



q2

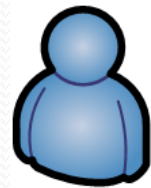
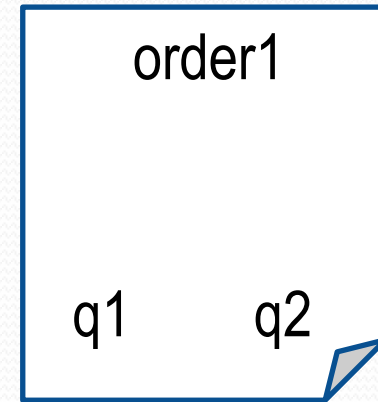
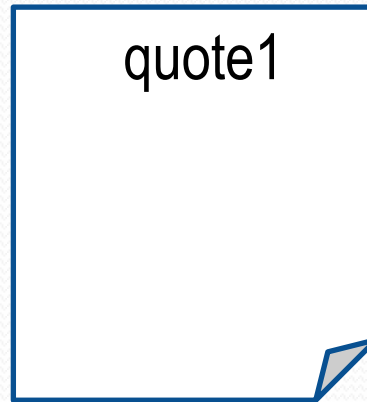
q2

CD SHOP'S BACKEND PROCESS



Customer 1

CDa

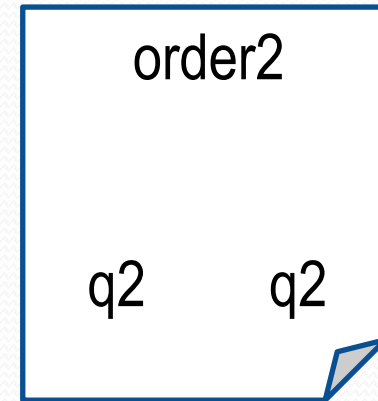
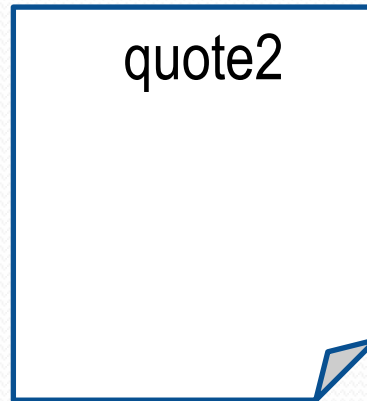


Customer 2

CDa

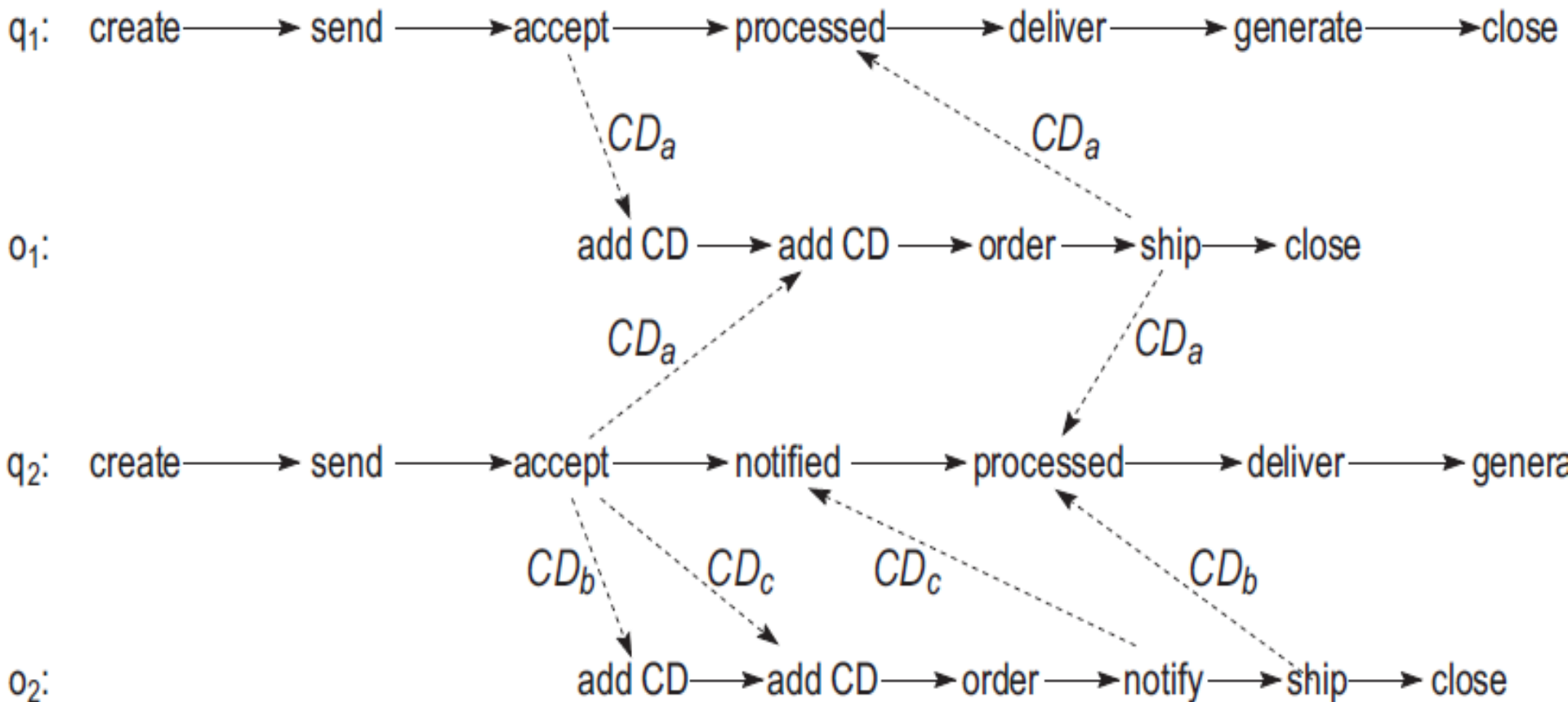
CDb

CDc

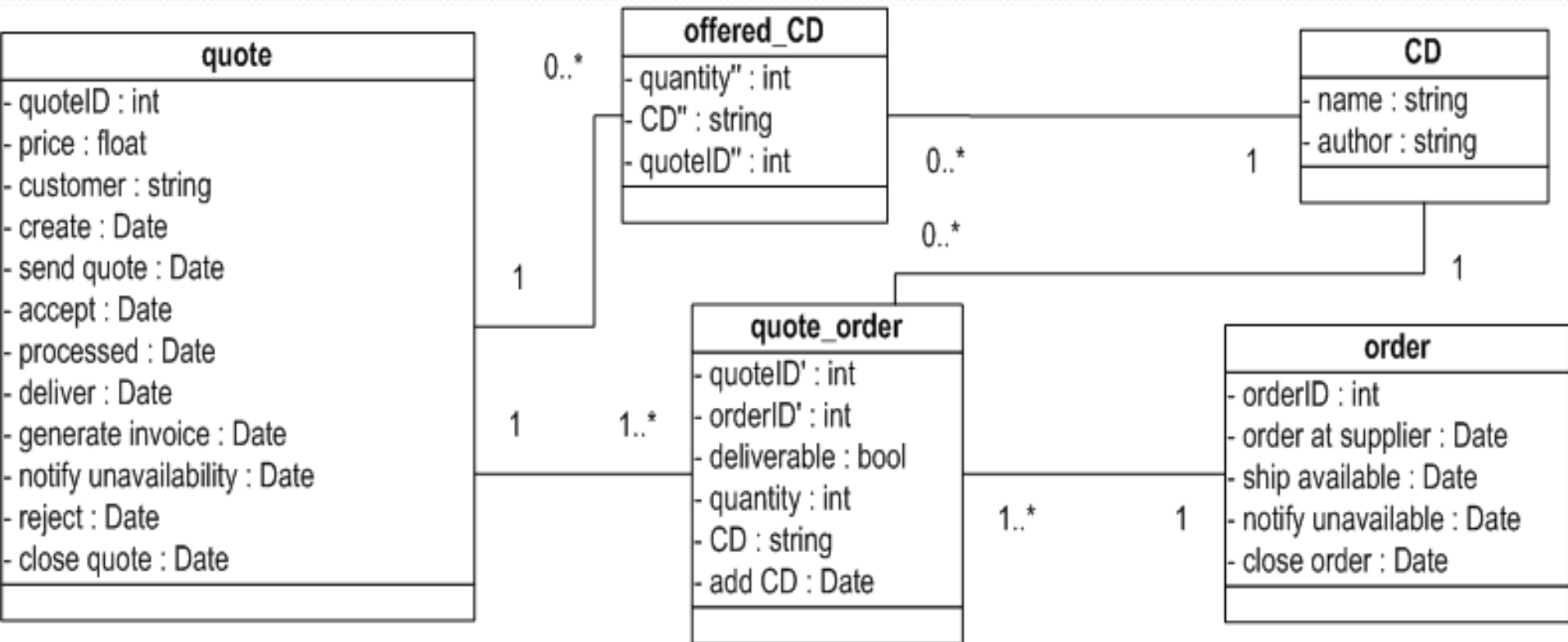


AN EXECUTION – MANY CASES

What is a case here?



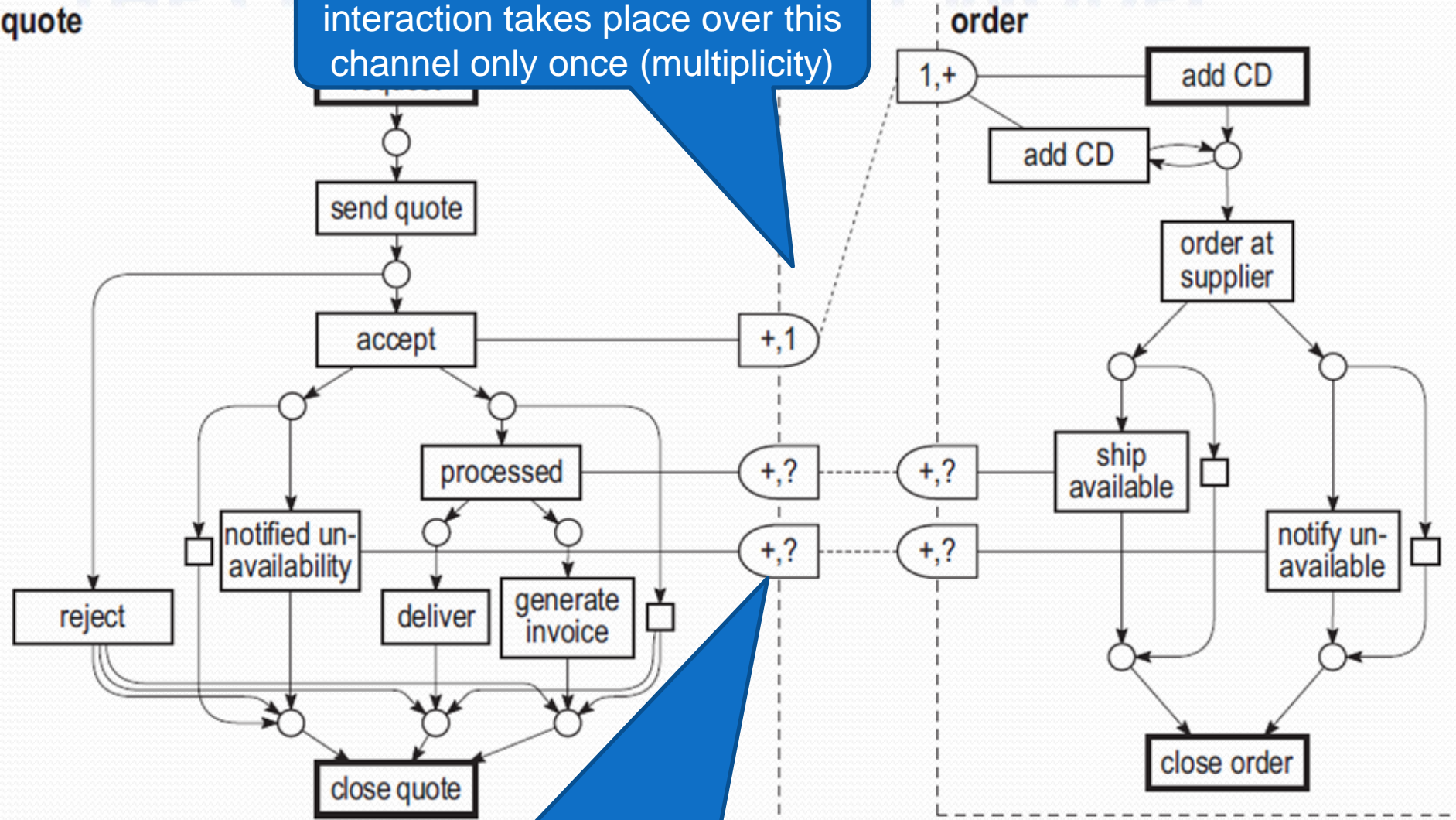
DATA OF THE ONLINE SHOP



Each quote relates to several orders and each order contains items of several quotes

THE CD SHOP PROCIET MODEL

During the life of a quote, interaction takes place over this channel only once (multiplicity)

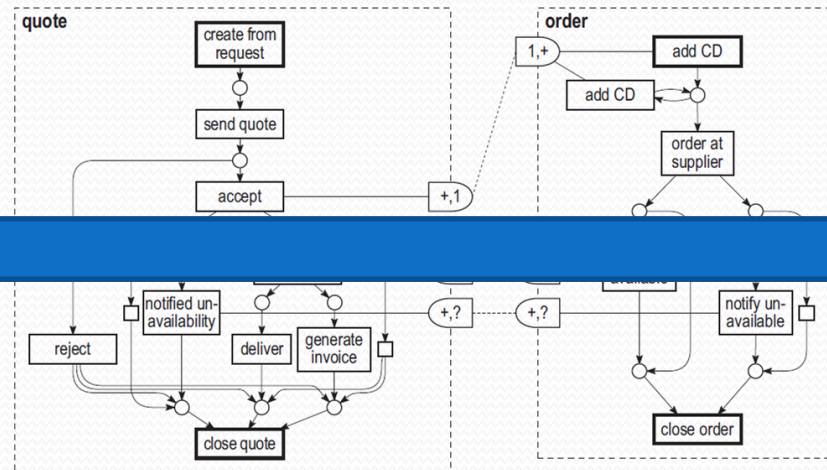


Every interaction takes place with at least 1 instance of order (cardinality)

MODEL QUALITY?



information system of
the CD shop

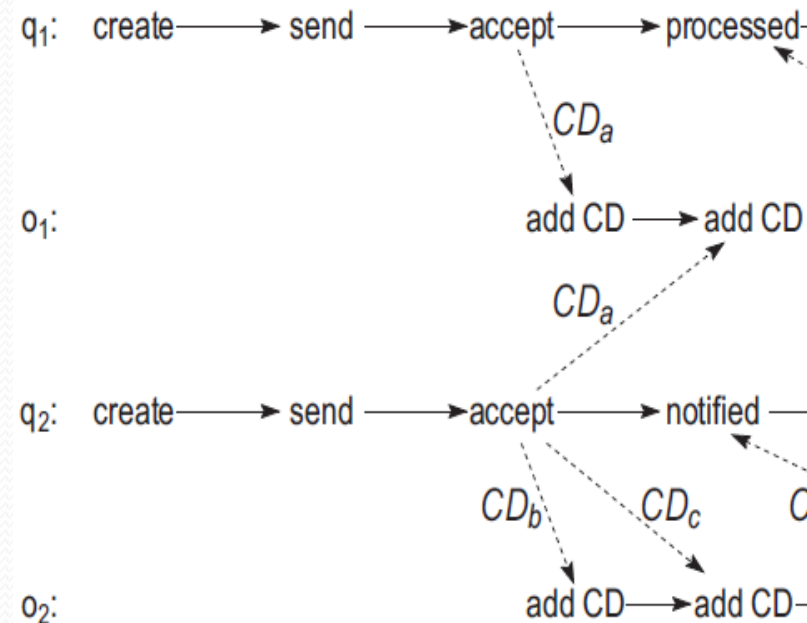


model of the
process

conformance checking: can we replay the behavior of the IS on the model? if yes, then the model is good

PROBLEM SETTING

- Classical process modeling techniques view operational processes as “cases being executed”
- But what if there is no unique notion of a “case”?
- Artifact modeling allows for models with multiple notions of a case
- **Can we then still check for conformance?**



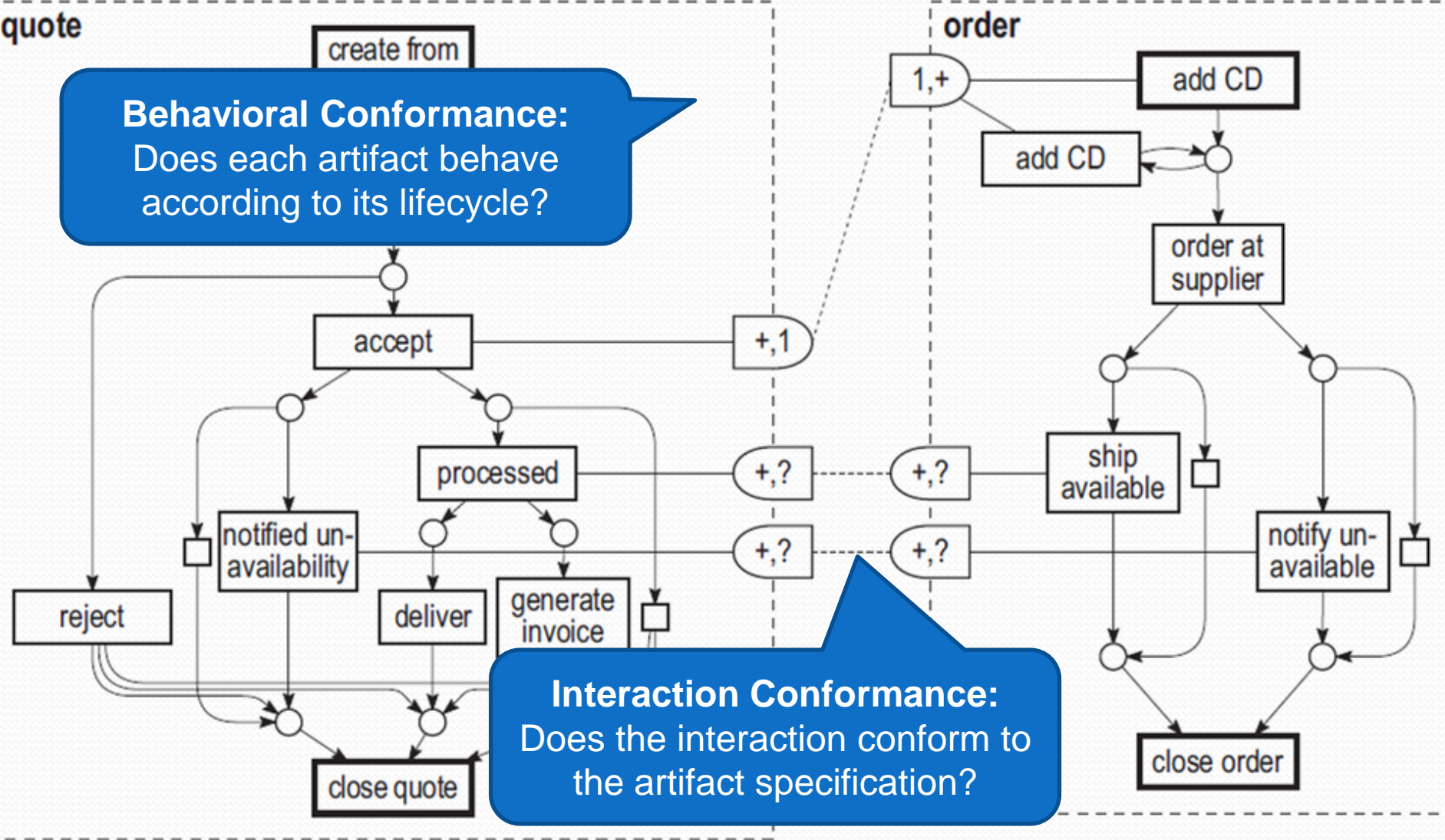
TWO PROBLEMS TO SOLVE

- data generally stored in a database
but: conformance checkers require logs
our solution: **extract logs from a database**
- behavioral information in database much (much much) larger than in classical logs
- conformance checking explores a search-space exponential in the number of events
our solution: **decompose problem**

PROBLEM DECOMPOSITION

Behavioral Conformance:
Does each artifact behave according to its lifecycle?

Interaction Conformance:
Does the interaction conform to the artifact specification?



LOG EXTRACTION

- The observed behavior of an artifact-centric interoperation hub is a relational database with temporal attributes representing state changes.

quote

quoteID	create	send quote	accept	processed	notify	deliver	generate	reject	close quote
<i>q</i> ₁	24-11,17:12	24-11,17:13	25-11,7:20	5-12,9:34	null	6-12,5:23	null	null	6-12,5:25
<i>q</i> ₂	24-11,19:56	24-11,19:57	25-11,8:53	5-12,11:50	3-12,14:54	6-12,7:14	3-12,14:55	null	6-12,7:20

order

orderID	ship	order	notify	close order
<i>o</i> ₁	5-12,9:32	28-11,8:12	null	5-12,11:37
<i>o</i> ₂	5-12,11:33	28-11,12:22	3-12,14:34	5-12,13:03

CD

name	author
<i>a</i>	<i>xyz</i>
<i>b</i>	<i>zyx</i>
<i>c</i>	<i>yxz</i>

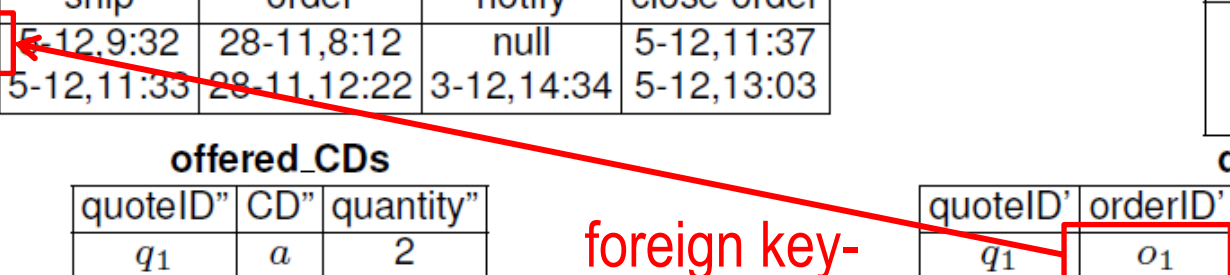
offered_CDs

quoteID"	CD"	quantity"
<i>q</i> ₁	<i>a</i>	2
<i>q</i> ₂	<i>a</i>	1
<i>q</i> ₂	<i>b</i>	3
<i>q</i> ₂	<i>c</i>	1

quote_order

quoteID'	orderID'	add CD	deliverable	CD
<i>q</i> ₁	<i>o</i> ₁	25-11,8:31	true	<i>a</i>
<i>q</i> ₂	<i>o</i> ₁	25-11,12:11	true	<i>a</i>
<i>q</i> ₂	<i>o</i> ₂	26-11,9:30	true	<i>b</i>
<i>q</i> ₂	<i>o</i> ₂	26-11,9:31	false	<i>c</i>

foreign key-
primary key
relations

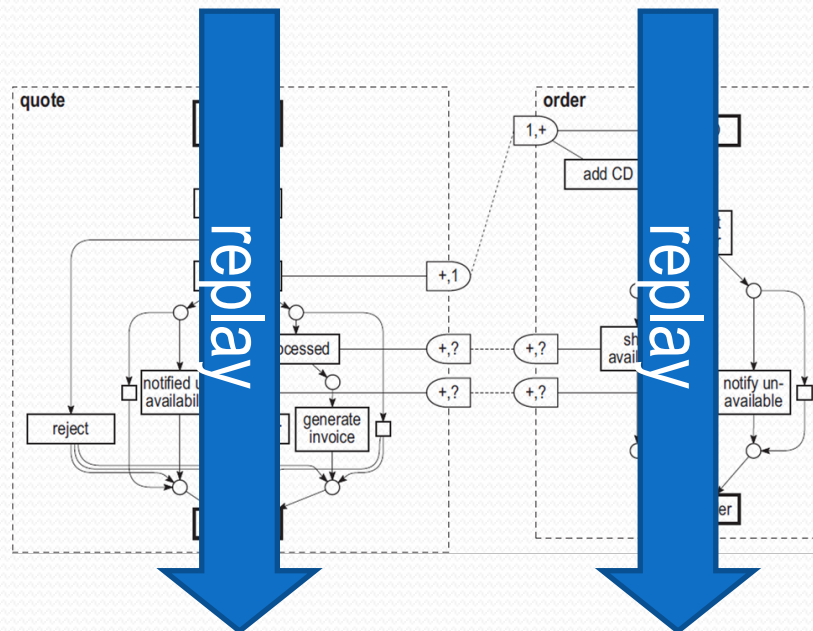


BEHAVIORAL CONFORMANCE



relational DB
of the CD shop

proclets, including
multiplicities of ports →
Petri nets with reset and
inhibitor arcs

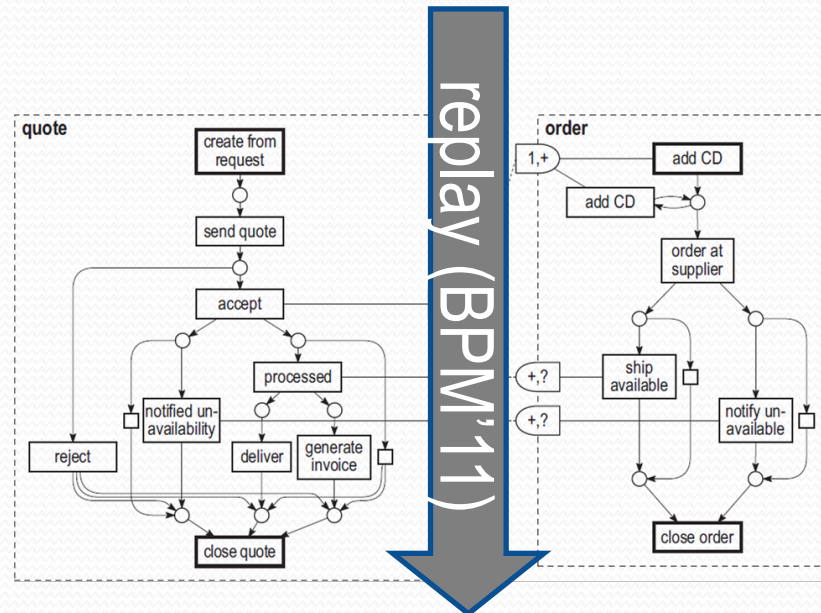


INTERACTION CONFORMANCE



relational DB
of the CD shop

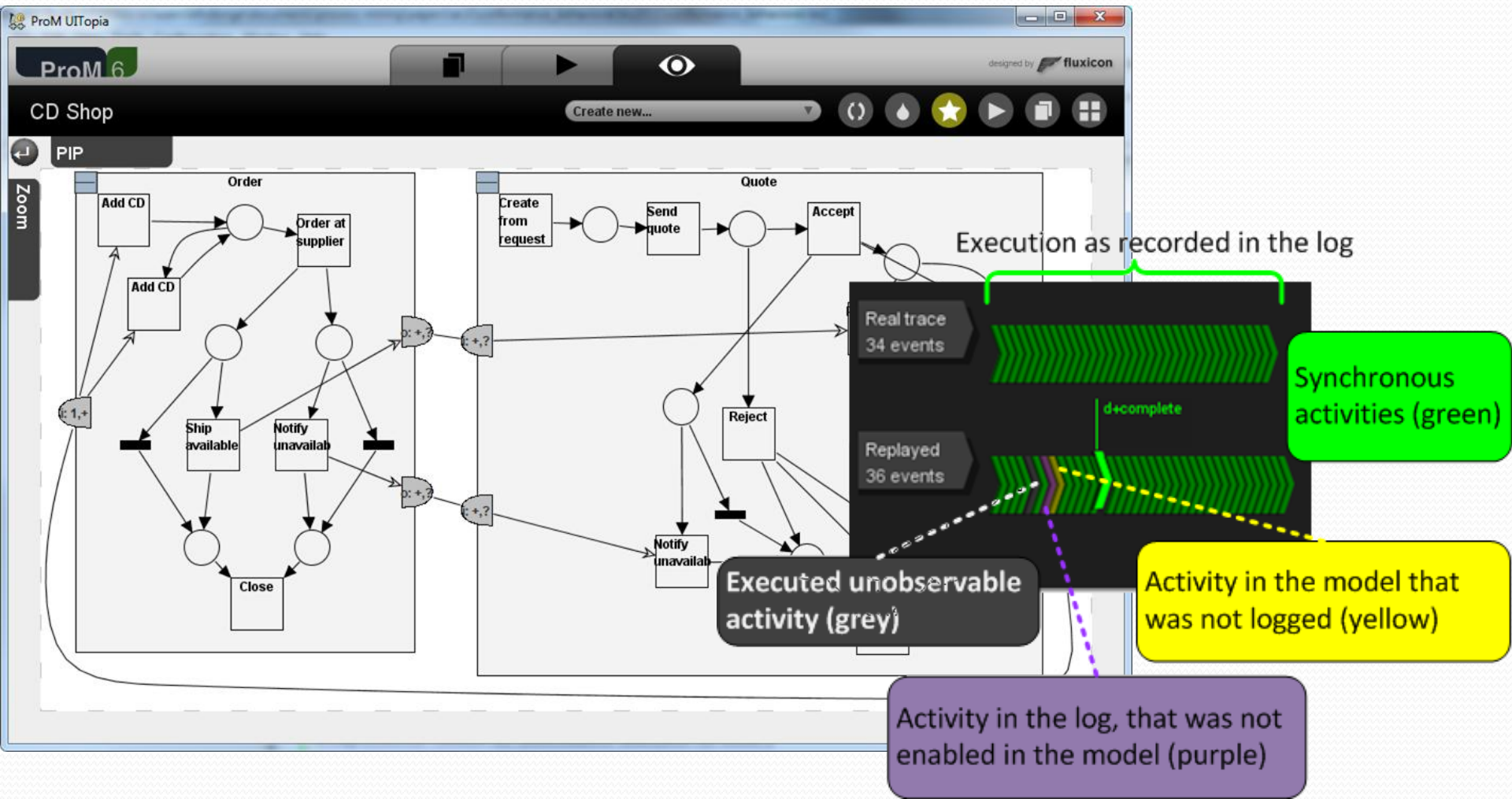
proclat + its environment
and the ports



(D. Fahland, M. de Leoni et al., Conformance Checking of Interacting Processes With Overlapping Instances, BPM 2011)

IMPLEMENTATION

- All the work is implemented in ProM and available through <http://www.processmining.org>



CONCLUSIONS

- When no unique notion of a “case” exists, processes can be seen as **sets of interacting artifacts**.
- Using **key/foreign key relations**, a database can be converted into a **collection of event logs**, each describing the data from the perspective of a single artifact.
- Both behavioral and interaction conformance checking can be done for artifact systems, using these logs and **translations of the models to Petri nets** (with reset and inhibitor arcs).