

A first result concerning η -Church-Rosser-property
(to apply to AUTAE)

1.1. The reduction considered is generated by β -reduction and an extremely rich form of η -reduction. It is proposed in order to just answer - up till now at ^{only} least - the practical need of η -reduction when actually checking mathematics written in AUTAE. The objection one might have however to the efficiency of this checking can probably be increased considerably by adding a less restricted form of η -reduction.

- 1.2. The η -reduction intended allows us to replace expressions $(\lambda x)(\lambda y)A$ by A only if following conditions are full-filled:
 - (i) $x \in FV(A)$ - as usual -
 - (ii) A must not reduce to form $(\lambda y)A_1$
 - (iii) A is a 2 expression (i.e. it has degree 2, so is a type or a type valued function)

Motivation for (ii) is in thus avoiding the problematic case of an expression $(\lambda x, \alpha)(\lambda y)B$ which both β -reduces to $(\lambda y, \alpha)C$ and η -reduces to $(\lambda y)B$. After imposing restriction (ii), condition (iii) is motivated by our desire to keep the substitutivity lemma valid: $B \geq C \Rightarrow (\lambda x)B \geq (\lambda x)C$.

Condition (ii) is not as bad as it looks. Firstly, it can clearly be weakened to (ii'): A must not β -reduce to term $(\lambda y)A_1$ and then the - as A is a 2 expression by (iii) - verification of condition (ii') seems to require a small amount of administration and a simple computation only.

2.1. Let CR(A) abbreviate the Church-Rosser property for A
 $A \geq B, A \geq C \rightarrow$ C and D have common reduct.
 We only want some weak conditions to hold for A, namely
 (i) all variable and constants - so all expressions - have a degree
 (ii) application expression - eg. $A \text{ is } \lambda x \lambda y B$ - has degree 3
 We shall ^{even} restrict to correct expressions A only so (i) and (ii) are easily satisfied. We need almost properties (i), which is all right because we proceed for full η -reduction.
 The restriction to correct A allows us to use strong normalization which is not necessary but simplifies the proof slightly. At first we assume that no abstractions and no substitution reductions are present.

2.2. Definition of reduction and equality.

- (1) $(A \downarrow (x, B)) C \succ \downarrow_A^x C$
- (2) $(x, B) \downarrow_A^x C \succ C$ if (a) $x \notin FV(C)$
- (u) $C \neq \Gamma y, G \downarrow G_2$
- (v) C is a λ -expression
- (3) If $A \succ A', B \succ B', A_i \succ A_i'$ for $i=1, \dots, l$ then
 $(A \downarrow B) \downarrow_A^x (A' \downarrow B'), (x, A) B \succ (\Gamma A') B', p(A_1, \dots, A_l) \succ p(A_1', \dots, A_l')$
- (4) \succ is transitive closure of \succ
- (5) $\vdash A, \vdash B, A \succ C \subseteq B \Rightarrow A = B$
- (6) $=$ is transitive

3. Proof of WR (A).

3.1. Two substitution lemmas:

1. $A \succ A' \Rightarrow \downarrow_A^x B \succ \downarrow_{A'}^x B$

Proof: First assume $A \succ A'$ then $\downarrow_A^x B \succ \downarrow_{A'}^x B$ by ind. on length of B . Then the lemma holds by ind. on A, A' .

2. If $\vdash B, \vdash A, A$ a λ -expression, x has degree 3, $B \succ B'$ or $B \succ B''$.

$\downarrow_A B \succ \downarrow_A B'$ (suppose no confusion of variables arises)

Proof: Assume $B \succ B'$. Ind. on $B \succ B'$. Let Σ^* abbreviate $\downarrow_A B$.

(1) $B \equiv \{B_1\} \downarrow_{(x, B_2)} B_3, B' \equiv \{B_1'\} \downarrow_{(x, B_2')} B_3'$. $B^* \equiv \{B_1^*\} \downarrow_{(x, B_2^*)} B_3^*$,
 $(B')^* \equiv (\downarrow_{(x, B_2')} B_3')^*$. Now $B^* \succ \downarrow_{(x, B_2^*)} B_3^*$ which indeed equals $(B')^*$ because $y \notin FV(A)$.

(2) $B \equiv \Gamma y, B_1 \downarrow B_2, B' \equiv B_2'$. We know by the conclusion on λ -reduction that B is a λ -expression and $B \neq \Gamma y, B_3 \downarrow B_4$. Now $B^* \equiv \Gamma y, B_1^* \downarrow B_2^*, (B')^* \equiv B_2'^*$. Well, $y \notin FV(B_2^*)$, namely $y \notin FV(A)$, B_2^* is a λ -expression, and $B_2^* \succ \Gamma y, G \downarrow G_2$ by

following argument: If $B_2^* \succ \Gamma y, G \downarrow G_2$ then $B_2^* \succ \Gamma y, G' \downarrow G_2'$. Now by main lemma of "A cheap proof of strong normalization" we have that $B_2 \succ \Gamma y, G \downarrow G_2$ or $B_2 \succ \{D_1\} \downarrow \{D_2\} x$. Last case does not apply so first one applies which contradicts the assumption on B_2 .

(3) e.g. $B \equiv \{B_1\} \downarrow B_2, B_1 \succ B_1', B_2 \succ B_2', B' \equiv \{B_1'\} \downarrow B_2'$. Now $B^* \equiv \{B_1^*\} \downarrow B_2^* \succ (B')^*$ by induct. Other cases similar. Now by ind. on $A \succ$ we are done (use choice property for B)

3.2. $A \approx B, A \approx C \Rightarrow B \approx C$

Proof: Induction on height of A. Distinguish following cases

(1) $A \equiv \{A_1\} A_2, B \equiv \{B_1\} B_2, C \equiv \{C_1\} C_2, A_1 \leq A_2 \geq C_1, B_1 \leq B_2 \geq C_1, C_1 \leq C_2 \geq B_1$. By induction $B_1 \geq D_1 \leq C_1, B_2 \geq D_2 \leq C_2$. So

$$B \geq \{D_1\} D_2 \leq C.$$

(2) $A \equiv \{A_1\} [A_2, A_3] A_4, B \equiv \{B_1\} [C_1, B_2] B_3, C \equiv \{A_1\} A_3, A_1 > B_1, A_2 > B_2, A_3 > B_3$. Now take D to be $\{B_1\} B_3$, so $B > D$ and $C > D$ by lemma 3.1.2. and 3.1.1

(3) $A \equiv \{A_1\} [A_2, A_3] A_4, B \equiv \{A_1\} A_3$ by η -red. and $C \equiv \{A_1\} A_3$ by η -reduction. Take $B = D = C$

(4) $A = p(A_1, \dots, A_n)$. Both sides must be applications of primitive rules, so proof as in case (1)

(5) $A \equiv [A_1, A_2] A_3$, both sides primitive rules applied first to A_1 or A_2

(6) $A \equiv [A_1, A_2] A_3, B \equiv [A_1, B_1] [A_2, B_2] B_3, A > C \equiv A_3$ by η -reduction, so we may assume $A_2 \in \text{tr}(B_2)$, (i) $A_2 \geq [A_3, A_4] A_5$, (ii) $B_2 \geq [A_2, B_3] B_4$, (iii) A_2 a λ -expression (in B_2 is). Take $D \equiv B_2$.

(7) $A \equiv [A_1, A_2] [A_3, B] C$; this is the problem for general η -reduction. Now however the η -reduction $A > [A_2, B] C$ is forbidden so the problem does not arise.

3.3-1 Theorem: If $\vdash A$ then $QR(A)$

Proof: Induction on reduction tree of A. Let $A \geq B, A \geq C$.

In case either side is application of reflexivity of \geq , then it is easy. In the other case $A > B_1 \geq B, A > C_1 \geq C$. By main lemma $B_1 \geq D_1 \leq C_1$. By closure property $\vdash B_1, C_1, D_1$ and B_1, C_1, D_1 have smaller reduction tree than A. So induction gives $B \geq D_2 \leq D_1, C \geq D_3 \leq D_1, D_2 \geq D_4 \leq D_3$. Take D to be D_4 .

3.3-2. Corollary: $A = B \Rightarrow A \geq C \in B$

Corollary: Unique form of normal form.

4. Some comments

4.1. It seems that the proposed weak form of η -reduction is of some use in solving problems in λ -calculus, namely what are the conditions for the definitional equality of

$$[\lambda x. \lambda y. \lambda z. z] \equiv \lambda z. z$$

where z is e.g. a predicate variable

2. However the substitution lemma:

$$B \geq B' \Rightarrow \int_A^x B \geq \int_A^x B'$$

gives best lower bound for \int_A^x - although for x and A positions if both x and A

The best we get here is:

$$B \geq B' \Rightarrow \int_A^x B \geq C \leq \int_A^x B' \quad (*)$$

which gives:

$$A = A', B = B' \Rightarrow \int_A^x B = \int_A^x B'$$

3. In proving (2) one has to substitute lower order expressions by a sequence of β - and perhaps relational (ω -) reductions. This possibly yields a large amount of extra work for the critic to do here.

4. One might wonder if answering the question whether shortcuts via η -reduction are allowed, requires about the same amount of work that has to be carried by the shortcut itself. This seems not to be the case. Because we deal with expressions A in this respect only to decide whether A reduces to abstraction form amounts to counting the number of "abstracting abstractions" of A . If one adds abbreviation constants and differential reductions, this counting may be slightly more sophisticated only.

Yet another equivalence concerning equality of proofs

1. Terminology. We adopte Jeff's proposal to call "remarkable" things "generalized" from now on, so we speak about generalized logic, generalized function, generalized if then else etc.

2. In our previous remarks on this subject we conjectured that the scheme IRPR (irrelevance of proofs) or equivalently, GITE (generalized if then else) is really stronger than the scheme CAN (canonical map) ~~or~~ or, equivalently EQST (equality on subtypes).

This conjecture is wrong. Both schemes are provably equivalent in a system of generalized logic - which is already necessary for the formulation only of schemes IRPR and GITE-.

3. The proof of IRPR \Rightarrow EQST, e.g., we gave already. Now we go the other direction. Assume EQST, ~~is~~ i.e.

$$\langle a, p \rangle = \langle a, q \rangle \text{ where } a: \alpha, p: \alpha \rightarrow \pi, q: \alpha \rightarrow \pi$$

Assume function

$$\begin{aligned} \beta &:= \text{---} \pi \\ \gamma &:= \text{---} \tau \\ \gamma^* &:= \text{ST}(\gamma, \langle x, \gamma \rangle p) \quad \tau \\ f &:= \text{---} \beta \rightarrow \gamma \quad (\text{gen. function}) \\ x &:= \text{---} \gamma^* \\ x_1 &:= \{k_2 x\} f \quad \gamma \\ f^* g &:= \textcircled{1} x_1 \quad (\text{which means } [x, \gamma^*] x_1(x) \quad \gamma^* \rightarrow \gamma) \\ c &:= \text{---} \beta \\ d &:= \text{---} \beta \end{aligned}$$

Now we like to prove

$$\begin{aligned} \text{IRPR} &:= \{c\}f = \{d\}f \\ c_1 &:= \text{EQST}(\{c\}f, c, d) \quad \langle \{c\}f, c \rangle = \langle \{c\}f, d \rangle \\ c_2 &:= \text{equality axiom} \quad \{ \langle \{c\}f, c \rangle \} g = \{ \langle \{c\}f, d \rangle \} g \\ &\quad \text{or by mutable reduction} \end{aligned}$$

IRPR

did a knowledge paper on a possible proof of ... 20-2-20-1

A proof of CR-property for full λ -reduction
(to apply to λ -QC)

- 1.1. Let a usual definition of λ -QC be given. We list some basic notions:
- (i) $\sigma \vdash \alpha : \Rightarrow \sigma$ is a correct indexing string / context
 - (ii) $\sigma \vdash A : \Rightarrow \sigma \vdash$ and A a correct expression relative to σ
 - (iii) $\sigma \vdash AEB : \Rightarrow \sigma \vdash A, \sigma \vdash B$ and AEB a correct E-formula with respect to σ
 - (iv) \approx denotes the usual reduction relation generated by β and η -reduction
 - (v) \equiv denotes syntactic identity modulo α -equivalence (renaming of variables)
 - (vi) \approx_0 denotes definitional equality relation generated by \approx

1.2. We assume following properties:

- (i) Strong normalization for \approx : Any proper \approx_0 -reduction sequence terminates
- (ii) Closure property: $\sigma \vdash A, A \approx_0 B \Rightarrow \sigma \vdash B$
- (iii) Various smoothness conditions of \approx_0 , e.g.
 - $\{ \vdash AEB, \{ \vdash A \approx_0 C, \{ \vdash B \approx_0 D \} \Rightarrow \{ \vdash C \approx_0 D$ and
 - $\{ \vdash A \approx_0 A', \{ \vdash xEA \vdash B \approx_0 B' \} \Rightarrow \{ \vdash (x.A)B \approx_0 (x.A')B'$
- (iv) Uniqueness of domains, i.e.
 - $\{ \vdash (x.A)B \approx_0 (x.C)D \Rightarrow \{ \vdash A \approx_0 C, \{ \vdash B \approx_0 D$ and
 - $\{ \vdash (x.A)(y.B)C \approx_0 (x.A) \approx_0 B$

2.1. We introduce by simultaneous definition an extended α -equivalence \approx , a more liberal reduction relation \succ and a new definitional equivalence $=$ by (for unmet expressions only):

1. (i) $\{A\} [x, B] C \succ \{A\} C$ (elementary β -reduction)
- (ii) $[x, B] \{x\} C \succ C$ if $x \notin FV(C)$ (elementary η -reduction)
- (iii) $A \approx B \Rightarrow A \succ B$ (elementary \approx -reduction)
- (iv) $A_1 \succ B_1, A_2 \succ B_2 \Rightarrow \{A_1\} A_2 \succ \{B_1\} B_2$
- (v) $B_1 \succ B_2 \Rightarrow [x, A] B_1 \succ [x, A] B_2$
- (vi) $A_1 \succ A_1', \dots, A_n \succ A_n' \Rightarrow p(A_1, \dots, A_n) \succ p(A_1', \dots, A_n')$

} monotony rules

2. (i) \succ is transitive closure of \succ
- (ii) \approx is equivalence relation generated by \succ

3. (i) $A = B \Rightarrow A \approx B$
- (ii) $A_1 = A_2, B_1 \approx B_2 \Rightarrow [x, A_1] B_1 \approx [x, A_2] B_2$
- (iii) $A_1 \approx A_2, B_1 \approx B_2 \Rightarrow \{A_1\} B_1 \approx \{A_2\} B_2$
- (iv) $A_1 \approx A_1', \dots, A_n \approx A_n' \Rightarrow p(A_1, \dots, A_n) \approx p(A_1', \dots, A_n')$

2.2. Remarks:

(i) It is not necessary to define the above notions simultaneously. Per se, but below we might as well have taken instead of 3. (ii)

$$\text{among 1. (i)-(vi)} \quad A_1 = A_2, B_1 \approx B_2 \Rightarrow [x, A_1] B_1 \approx [x, A_2] B_2$$

(ii) Note that only 1. (iii) and 1. (iv) are unusual. One can conceive of \approx as α -equivalence but for possible domains which have to be definitionally equal however.

(iii) It is possibly more honest to put in contexts in definition 2.1. However we feel that there is no danger of confusion in

2.3. 1. Property: $A = B \Leftrightarrow A \approx B$

Proof: \Rightarrow

By simultaneous induction in definition on the definition 2.1.

\Leftarrow

By induction on definition of $=$ (and \approx)

2. Lemma: (1) Closure property for \succ

(2) Monotony of $=$

3. Property: $A \approx B \Rightarrow A = B$

Proof: Induction on definition of \approx

4. Property: \approx is an equivalence relation

5. Proof: Monotony of \succ

3.1. In λ -calculus, when speaking about proper reduction sequences, one means reduction sequences in which, say, only a finite number of α -reductions occurs (Here I don't want to exclude the case that, by operative choice of substitutive operator, sometimes α -reductions are necessary before applying some β -reduction).
So strong normalization means that in any reduction sequence $\Sigma_1 > \Sigma_2 > \Sigma_3 \dots$ from a certain expression Σ_k on, only α -reductions are applied.

For Σ to be normal, is defined as $\Sigma \gg \Delta \Rightarrow \Sigma =_{\alpha} \Delta$.

3.2. Analogously, we define one-step reductions by 2.1. (ii) as improper reductions. Proper reduction sequences are red. sequences in which only a finite number of these, say, \approx -reductions are applied.
An expression Σ is called normal iff $\Sigma \gg \Delta \Rightarrow \Sigma \approx \Delta$.
Remark that ^{most} new possibilities for β -reductions are caused by \approx -reductions (\approx !) then by α -reductions: $A \approx B \gg_p C \Rightarrow A =_{\alpha} B' \gg_p C'$, and that η -reductions also don't increase the number of β -reductions.

3.3. Now to get strong normalization for \approx , we argue as follows: Let a reduction sequence of some correct Σ be given. By strong normalization for \gg , we know that the number of applications of β -reductions in the sequence is bounded. Call $\theta(\Sigma)$ the maximal number of β -reductions in the red. sequences of Σ . Now the number of proper reductions can be proved to be finite by induction: (i) on $\theta(\Sigma)$ strictly (ii) on structure of Σ .

3.4. We don't need strong normalization for \approx in the sequel, but having it makes one particular proof (Theorem 4.2) simpler.

4.1. Substitution Lemma 1: If $\begin{cases} A > A' \\ A \gg A' \\ A = A' \\ A \approx A' \end{cases}$ then $J_A B \begin{cases} > \\ \gg \\ = \\ \approx \end{cases} J_{A'} B$ (provided both are correct)

Proof: Ind. on length of B .
4.2. Substitution Lemma 2: If $\begin{cases} B > B' \\ B \gg B' \\ B = B' \\ B \approx B' \end{cases}$ then $J_A B \begin{cases} > \\ \gg \\ = \\ \approx \end{cases} J_A B'$ (again)

Proof: Ind. on $>, \gg, =, \approx$

4.1. Main Lemma (R-1) : If $\vdash A, B \vdash A \succ C$ then $B \succ D \leq C$

Proof: By induction: on length of A

The case $A \approx B$ or $A \approx C$ is straight forward as in the case $A \approx x$ so we distinguish following cases: (1) $A \equiv \{A_1\} A_2, B \equiv \{B_1\} B_2, C \equiv \{C_1\} C_2, C_1 < A_1 > B_1, C_2 < A_2 > B_2$

By inddhyp. $C_1 \succ D_1 \leq B_1, C_2 \succ D_2 \leq B_2$ and by monotony of \succ, \leq ,
 $C \succ \{D_1\} D_2 \leq B$

(2) $A \equiv \{A_1\} \{x, A_2\} A_3, B \equiv \{B_1\} \{x, B_2\} B_3, C \equiv \bigcup_{A_1}^x A_3, A_1 > B_1$ and $A_2 = B_2, A_3 > B_3$. Now by substitution lemmas above $C \equiv \bigcup_{A_1}^x A_3 \succ \bigcup_{B_1}^x B_3 < B$

(3) $A \equiv \{A_1\} \{x, A_2\} \{x\} A_3, B \equiv \{B_1\} A_3$ (by η -reduction), $C \equiv \{A_1\} A_3$ by β -reduction, $x \notin FV(A_3), A_1 > B_1$ well, $C \succ B$ is ready

(4) $A \equiv \{x, A_1\} A_2, B \equiv \{x, B_1\} B_2, C \equiv \{x, C_1\} C_2, A_1 = B_1, A_1 = C_1, B_2 < A_2 > C_2$. By inddhyp. $B_2 \succ D_2 \leq C_2$ so, eg, $B \succ \{x, A_1\} D_2 \leq C$

(5) $A \equiv \{x, A_1\} \{x\} A_2, B \equiv \{x, B_1\} \{x\} B_2, C \equiv A_2$ (by η -reduction), $A_1 = B_1, A_2 > B_2, x \notin FV(A_2)$. We know that we got B_2 from A_2 by applying some (either proper or improper) elementary reduction on disjoint subexpressions of A_2 . We can do the proper reductions first and then get $A_2 \succ E_2 \approx B_2$. So $B \succ \{x, B_1\} \{x\} E_2 \succ E_2 \leq A_2 \leq C$ ($x \notin FV(E_2)$)

(6) $A \equiv \{x, A_1\} \{x\} \{y, A_2\} A_3, B \equiv \{y, A_1\} A_3$ (by β -red), $C \equiv \{y, A_2\} A_3$, by η -reduction. By language theory $A_1 = A_2$ so $A_1 = A_2$. So $B \approx C$ ready

(7) $A \equiv p(A_1, \dots, A_k), B \equiv p(B_1, \dots, B_k), C \equiv p(C_1, \dots, C_k), C_i < B_i > A_i$ for $i=1, \dots, k$. As in case (1)

properly

4.2. Theorem (R) If $\vdash A, B \in A \succ C$ then $B \succ D \leq C$

Proof: The case that $A > B, A > C$ is produced by the lemma. Further we do induction on red. hie of A . In the other case namely

$B \leq B_1 \leq A \succ C_1 \geq C$ where B_1, C_1 have strictly smaller reduction trees of A .

So $B_1 \succ D_1 \leq C_1$. Again by inddyp. $B \succ E \leq D$ and $D \succ F \leq C$. Thus by inddyp once more $B \succ E \geq D \leq F \leq C$.

4.3. Coroll 1: $\vdash A, \vdash B, A = B \Rightarrow A \succ C \leq B$ (Church-Rosser Theorem)

Coroll 2: Uniqueness of normal form: $\vdash A, \vdash B$, both normal, $A = B \Rightarrow$

$A \approx B$

1.1. Definition: A is normal if $A \geq_0 B \Rightarrow A \equiv B$ (or, $A \equiv_0 B$)

2. Property: If A 0-normal and $A \geq B$ then in this reduction no elementary β -reduction occur.

Proof: As in 3.2. If after some μ - β -reductions a β -reduction is possible then λ -reductions of the period, are α -reductions only. So there occur no β -reductions. (even $A \lambda A' \geq_0 B$)

3. Property: If A 0-normal, A does not have abstraction form (so $A \neq (x.A)A_2$), $A \geq B$ then A keeps it order structure in the following sense:

- (i) $A \equiv x \Leftrightarrow B \equiv x$
- (ii) $A \equiv (x.A_1)A_2 \Leftrightarrow B \equiv (x.B_1)B_2$, $A_1 \geq B_1$, $A_2 \geq B_2$
- (iii) $A \equiv p(A_1, \dots, A_k) \Leftrightarrow B \equiv p(B_1, \dots, B_k)$ and

$A_i \geq B_i$ for $i=1, \dots, k$

Proof: Both α -reductions and η -reductions keep the order structure intact.

Theorem:

5.2. Uniqueness of normal form: If $\Sigma \vdash A$, $\Sigma \vdash B$, both 0-normal $A \equiv B$, then $A \equiv B$

Proof: By induction on sum of lengths of A and B . Finally, $A = B \geq A \geq C \leq B$. Remember that not necessarily 0-normal expressions are normal again. As for $A \geq C$, $B \geq C$ the situation from 5.1.3. also applies. Distinguish:

(i) Both A and B have abstraction form then $A \equiv (x.A_1)A_2$, $B \equiv (x.B_1)B_2$. By language theory $A_1 \equiv B_1$, $A_2 \equiv_0 B_2$, all expressions are 0-normal $\Rightarrow A_1 \equiv B_1$, $A_2 \equiv B_2$, $A \equiv B$.

(ii) Neither A nor B has abstraction form. Use 5.1.3. Examples: $A \equiv (A_1)A_2 \Rightarrow C \equiv (x)C_2 \Rightarrow B \equiv (x)B_2$, $A_1 \equiv_0 B_1$, $A_2 \equiv_0 B_2$, $A_1 \equiv B_1$, $A_2 \equiv B_2 \Rightarrow A \equiv B$.

(iii) A has abstr. form, $A \equiv (x.A_1)A_2$ and B has not. Then C has not by 5.1.3. So $A \geq (x, D_1) \{x\} D_2 \geq D_2 \geq C \leq B$, where $A_1 = D_1$, $A_2 \geq \{x\} D_2$. By closure property, $\Sigma, x \in B_1 \vdash \{x\} D_2$ so $\Sigma, x \in A_1 \vdash \{x\} B$. Certainly $x \notin FV(B)$ we see $A_2 \equiv \{x\} B$ both 0-normal so $A_2 \equiv \{x\} B$ and apparently A not normal. Contradiction, this case does not really apply.

notice that indeed in the step \checkmark applies

5.3. Coroll: Church-Rosser theorem for \geq_0