

# 2IF55 Semantics and computational models

Recursion—transition systems and higher-order definitions

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J.W. de Bakker & E.P. de Vink, *Control Flow Semantics*  
The MIT Press 1996

- Chapter 1: Recursion and Iterations
- Chapter 2: Nondeterminacy
- Chapter 4: Uniform Parallelism
- Chapter 11: Branching Domains at Work

## §1.1.1 Syntax and operational semantics

# a language with recursion

actions  $a \in \text{Act}$ , recursion variables  $x \in \text{PVar}$

statements  $s \in \text{Stat}$   $s ::= a \mid x \mid (s; s)$

guarded statements  $g \in \text{GStat}$   $g ::= a \mid (g; s)$

declarations  $D \in \text{Decl} = \text{PVar} \rightarrow \text{GStat}$

programs  $\pi \in \mathcal{L}_{rec} = \text{Decl} \times \text{Stat}$

transition system  $\mathcal{T} = (\text{Conf}, \text{Obs}, \rightarrow)$

configurations  $c \in \text{Conf}$

observations  $a \in \text{Obs}$

transitions  $\rightarrow \subseteq \text{Conf} \times \text{Obs} \times \text{Conf}$

computation sequence  $c_0 \xrightarrow{a_1} c_1 \xrightarrow{a_2} c_2 \rightarrow \dots \xrightarrow{a_n} c_n \rightarrow \dots$

observable behaviour  $a_1 \cdot a_2 \cdot \dots \cdot a_n \cdot \dots$

successor set  $\mathcal{S}(c) = \{ \langle a, c' \rangle \mid c \xrightarrow{a} c' \}$

no transition  $c \nrightarrow$

(1) axiom  $c \xrightarrow{a} c'$

(2) rule 
$$\frac{c_1 \xrightarrow{a_1} c'_1, \dots, c_k \xrightarrow{a_k} c'_k}{c \xrightarrow{a} c'}$$

(3)  $\rightarrow$  is **least set** satisfying (1) and (2)

# example 1

$$0 \lesssim s(n) \quad (\text{Ax})$$

$$\frac{n \lesssim m}{s(n) \lesssim s(m)} \quad (\text{R1})$$

$$\frac{n \lesssim m, m \lesssim p}{n \lesssim p} \quad (\text{R2})$$

## example 2

$$q \xrightarrow{\varepsilon} q \quad (\text{Ax1})$$

$$q \xrightarrow{a} q' \quad \text{if } q' \in \delta(q, a) \quad (\text{Ax2})$$

$$\frac{q \xrightarrow{a} q', q' \xrightarrow{w} q''}{q \xrightarrow{aw} q''} \quad (\text{R})$$



resumption  $r \in \text{Res}$   $r ::= E \mid s$

$\mathcal{T}_{rec} = (\text{Decl} \times \text{Res}, \text{Act}, \rightarrow, \text{Spec})$  with transitions  $r_1 \xrightarrow{a}_D r_2$

$$a \xrightarrow{a}_D E \quad (\text{Act})$$

$$\frac{g \xrightarrow{a}_D r}{x \xrightarrow{a}_D} \quad \text{if } D(x) = g \quad (\text{Rec})$$

$$\frac{s_1 \xrightarrow{a}_D r_1}{s_1; s_2 \xrightarrow{a}_D r_1; s_2} \quad (\text{Seq})$$

**lemma 1.8**  $\exists r_1[(s_1; s_2); s_3 \xrightarrow{a}_D r_1] \iff \exists r_2[s_1(s_2; s_3) \xrightarrow{a}_D r_2]$

weight function  $\text{wgt}: \text{Decl} \times \text{Res} \rightarrow \mathbb{N}$

$$\text{wgt}(D|E) = 0$$

$$\text{wgt}(D|a) = 1$$

$$\text{wgt}(D|x) = \text{wgt}(D|D(x)) + 1$$

$$\text{wgt}(D|s_1; s_2) = \text{wgt}(D|s_1) + 1$$

**lemma 1.11**  $\text{wgt}$  is well-defined

**lemma 1.9**  $\mathcal{S}(D|S)$  has exactly one element

finite and infinite words  $\mathbb{P}_O = \text{Act}^\infty = \text{Act}^* \cup \text{Act}^\omega$

operational semantics  $\mathcal{O}_d: \text{Decl} \times \text{Res} \rightarrow \mathbb{P}_O$

$$\mathcal{O}_d(D|r) = \begin{cases} a_1 a_2 \cdots a_n & \text{if } r \xrightarrow{a_1}_D r_1 \xrightarrow{a_2}_D \cdots \xrightarrow{a_n}_D r_n \equiv E \\ a_1 a_2 \cdots & \text{if } r \xrightarrow{a_1}_D r_1 \xrightarrow{a_2}_D \cdots \end{cases}$$

**lemma 1.14**

$\mathcal{O}_d(D|x) = \mathcal{O}_d(D|D(x))$  and  $\mathcal{O}_d(D|(s_1; s_2); s_3) = \mathcal{O}_d(D|s_1; (s_2; s_3))$



metric space  $(M, d)$  with set  $M$  and distance  $d: M \times M \rightarrow \mathbb{R}_{\geq 0}$

$$d(x, y) = 0 \iff x = y \quad (\text{M1})$$

$$d(x, y) = d(y, x) \quad (\text{M2})$$

$$d(x, y) \leq d(x, z) + d(z, y) \quad (\text{M3})$$

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**ultrametric** space  $(M, d)$  also satisfies

$$d(x, y) \leq \max\{d(x, z), d(z, y)\} \quad (\text{M4})$$

truncation  $w[n]$

$$\begin{aligned}w[0] &= \epsilon \\ \epsilon[n+1] &= \epsilon \\ (a \cdot v)[n+1] &= a \cdot (v[n])\end{aligned}$$

Baire distance  $d_B(v, w) = \begin{cases} 0 & \text{if } v = w \\ 2^{-n} & \text{if } v[n] = w[n] \text{ and } v[n+1] \neq w[n+1] \end{cases}$

## lemma 1.21

- $d_B(v, w) \leq 2^{-n} \iff v[n] = w[n]$
- $d_B(a \cdot v, a \cdot w) = \frac{1}{2} d_B(v, w)$

product space  $M_1 \times M_2 = \{ (x, y) \mid x \in M_1, y \in M_2 \}$

$$d_P((x, y), (x', y')) = \max\{ d_1(x, x'), d_2(y, y') \}$$

disjoint union  $M_1 + M_2 = (\{1\} \times M_1) \cup (\{2\} \times M_2)$

$$d_U(x, y) = \begin{cases} d_1(x', y') & \text{if } x = (1, x'), y = (1, y') \\ d_2(x', y') & \text{if } x = (2, x'), y = (2, y') \\ 1 & \text{otherwise} \end{cases}$$

function space  $X \rightarrow M$  for *1-bounded*  $M$

$$d_F(f, g) = \sup\{ d(f(x), g(x)) \mid x \in X \}$$



## lemma 1.24

- if  $M_1$  and  $M_2$  1-bounded, then also  $M_1 \times M_2$  and  $M_1 + M_2$
- if  $M_1$  and  $M_2$  ultrametric, then also  $M_1 \times M_2$  and  $M_1 + M_2$
- if  $M$  1-bounded, then also  $X \rightarrow F$
- if  $M$  ultrametric, then also  $X \rightarrow F$

sequence  $(x_n)_n$  **convergent** to limit  $x$  iff

$$\forall \varepsilon > 0 \exists i \forall j \geq i: d(x_j, x) \leq \varepsilon$$

sequence  $(x_n)_n$  **Cauchy** iff

$$\forall \varepsilon > 0 \exists i \forall j, k \geq i: d(x_j, x_k) \leq \varepsilon$$

metric space  $M$  **complete** if

every Cauchy sequence converges to limit in  $M$

## lemma 1.28

if  $M_1, M_2, M$  **complete** then also  $M_1 \times M_2, M_1 + M_2, X \rightarrow M$

## **theorem 1.29**

$(A^\infty, d_B)$  is a 1-bounded **complete** ultrametric space