

# Structural Congruences may harm Congruence!

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## Overview

→ [SOS: A Brief Tour](#)

2. Structural Congruences
3. SCs and SOS: Congruence
4. SCs and SOS: Well-Definedness
5. Conclusion

# Guess the transition relation

$$\frac{}{a \xrightarrow{l_0} a} \quad \frac{a \xrightarrow{l_0} a}{b \xrightarrow{l_1} b}$$

# Guess the transition relation

$$\frac{}{a \xrightarrow{l_0} a} \quad \frac{a \xrightarrow{l_0} a}{b \xrightarrow{l_1} b}$$

$$\{a \xrightarrow{l_0} a, b \xrightarrow{l_1} b\}$$

# Guess the transition relation

$$\frac{}{a \xrightarrow{l_0} a} \quad \frac{x \xrightarrow{l_0} y}{f(x) \xrightarrow{l_1} z}$$

# Guess the transition relation

$$\frac{}{a \xrightarrow{l_0} a} \quad \frac{x \xrightarrow{l_0} y}{f(x) \xrightarrow{l_1} z}$$

$$\{a \xrightarrow{l_0} a, f(a) \xrightarrow{l_1} a, f(a) \xrightarrow{l_1} b(?)\}$$

# Guess the transition relation

$$\frac{b \xrightarrow{l_0} b}{a \xrightarrow{l_0} a} \quad \frac{a \xrightarrow{l_0} a}{b \xrightarrow{l_0} b}$$

# Guess the transition relation

$$\left\{ \begin{array}{l} \frac{b \xrightarrow{l_0} b}{a \xrightarrow{l_0} a} \quad \frac{a \xrightarrow{l_0} a}{b \xrightarrow{l_0} b} \end{array} \right.$$

}

# Guess the transition relation

$$\frac{}{a \xrightarrow{l_0} a} \quad \frac{a \xrightarrow{l_0} a}{a \xrightarrow{l_0} a} \quad \frac{b \xrightarrow{l_0} b}{b \xrightarrow{l_0} b}$$

# Guess the transition relation

$$\frac{}{a \xrightarrow{l_0} a} \quad \frac{a \xrightarrow{l_0} a}{a \xrightarrow{l_0} a} \quad \frac{b \xrightarrow{l_0} b}{b \xrightarrow{l_0} b}$$

$$\{a \xrightarrow{l_0} a\}$$

## Provable Transitions

A **TSS** (transition system specification)

**proves** a transition  $p \xrightarrow{l} q$ , if and only if

there is an **upwardly branching tree** with **finite depth**

of which the **root** is  $p \xrightarrow{l} q$ ,

and the **nodes** are **provable** from their **ancestors**

using a **deduction rule** in TSS.

# Guess the transition relation

$$\frac{b \xrightarrow{l_0}}{\quad}$$

---

$$a \xrightarrow{l_0} a$$

# Guess the transition relation

$$\frac{b \xrightarrow{l_0}}{\quad}{a \xrightarrow{l_0} a}$$

$$\{a \xrightarrow{l_0} a\}$$

# Guess the transition relation

$$\frac{b \xrightarrow{l_0}}{\quad} \quad \frac{a \xrightarrow{l_0}}{\quad}$$
$$\frac{a \xrightarrow{l_0} a}{\quad} \quad \frac{b \xrightarrow{l_0} b}{\quad}$$

# Guess the transition relation

$$\frac{b \not\rightarrow^{l_0}}{a \xrightarrow{l_0} a} \quad \frac{a \not\rightarrow^{l_0}}{b \xrightarrow{l_0} b}$$

$\{a \xrightarrow{l_0} a\}$ ? or  $\{b \xrightarrow{l_0} b\}$ ?

# Guess the transition relation

$$\frac{a \xrightarrow{l_0} \text{---}}{a \xrightarrow{l_0} a}$$

# Guess the transition relation

$$\frac{a \xrightarrow{l_0}}{a \xrightarrow{l_0} a}$$

?

## Stable Model [Bol&Groote96][vanGlabbeek04]

A set of formulae  $S$  is a **stable model of TSS** if and only if

for all  $p \xrightarrow{l} q \in S$ ,

there is an **upwardly branching tree** with **finite depth**

of which the **root** is  $p \xrightarrow{l} q$ , and

1. if the node is labelled with a **positive formula**, then it is **provable** from its **ancestors** using a **deduction rule** in TSS;
2. if the node is labelled with a **negative formula**  $p' \not\xrightarrow{l'}$ , then there is **no**  $q'$  such that  $p' \xrightarrow{l'} q' \in S$ .

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# Structural Congruences: A Motivating Example

$$\frac{x_0 \xrightarrow{l} y}{x_0 \parallel x_1 \xrightarrow{l} y \parallel x_1} \quad \frac{x_1 \xrightarrow{l} y}{x_0 \parallel x_1 \xrightarrow{l} x_0 \parallel y}$$

## SCs: A Motivating Example

$$\frac{x_0 \xrightarrow{l} y}{x_0 \parallel x_1 \xrightarrow{l} y \parallel x_1} \quad x_1 \parallel x_0 \equiv x_0 \parallel x_1$$

## SCs: The Meaning of $\equiv$

The set of equations  $t \equiv t'$  induces a relation  $\equiv_{sc}$  on closed terms.

$p \equiv_{sc} q$  iff one of the following holds:

0.  $p = q$  (refl.)
1.  $p \equiv_{sc} q$  is a closed instantiation of an equation  $t \equiv t'$ ; (eq.)
2.  $q \equiv_{sc} p$ ; (symm.)
3.  $p \equiv_{sc} r$  and  $r \equiv_{sc} q$ ; (trans.)
4.  $p = f(p_0, \dots, p_{ar(f)-1})$ ,  $q = f(q_0, \dots, q_{ar(f)-1})$  and  $p_i \equiv_{sc} q_i$  ( $0 \leq i \leq n$ ). (cong.)

# SCs: A Motivating Example

$$\begin{array}{c}
 x_0 \xrightarrow{l} y \\
 \hline
 x_0 \parallel x_1 \xrightarrow{l} y \parallel x_1 \\
 \\
 x_1 \parallel x_0 \equiv x_0 \parallel x_1 \\
 \\
 \hline
 x \equiv x' \quad x' \xrightarrow{l} y' \quad y' \equiv y \\
 \hline
 x \xrightarrow{l} y
 \end{array}$$

## SCs: Stable Model (extended)

A set of formulae  $S$  is a **stable model of TSS** if and only if

for all  $p \xrightarrow{l} q \in S$ ,

there is an **upwardly branching tree** with **finite depth**

of which the **root** is  $p \xrightarrow{l} q$ , and

1. if the node is labelled with a **positive formula**, then it is **provable** from their **ancestors** using a **deduction rule** in TSS;
2. if the node is labelled with a **negative formula**  $p' \not\xrightarrow{l'}$ , then there is **no**  $q'$  such that  $p' \xrightarrow{l'} q' \in S$ .
3. if the node is labelled with a **structural congruence**  $p \equiv q$ , then it holds that  $p \equiv_{sc} q$ .

# SCs: Guess the Transition Relation

$$\frac{}{f(a) \xrightarrow{l} a} \quad a \equiv b$$
$$\frac{x \equiv x' \quad x' \xrightarrow{l} y' \quad y' \equiv y}{x \xrightarrow{l} y}$$

# SCs: Guess the Transition Relation

$$\frac{}{f(a) \xrightarrow{l} a} \quad a \equiv b$$
$$\frac{x \equiv x' \quad x' \xrightarrow{l} y' \quad y' \equiv y}{x \xrightarrow{l} y}$$

$$\{f(a) \xrightarrow{l} a, f(a) \xrightarrow{l} b, f(b) \xrightarrow{l} a, f(b) \xrightarrow{l} b\}$$

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# Bisimilarity

Relation  $R$  on closed terms is a **bisimulation** relation iff for all  $(p, q) \in R$ :

$$1. \forall_{l, p'} p \xrightarrow{l} p' \Rightarrow \exists_{q'} q \xrightarrow{l} q' \wedge (p', q') \in R;$$

$$2. \forall_{l, q'} q \xrightarrow{l} q' \Rightarrow \exists_{p'} p \xrightarrow{l} p' \wedge (p', q') \in R.$$

$p$  and  $q$  are **bisimilar**, denoted by  $p \leftrightarrow q$ , iff there exists a bisimulation relation, relating  $p$  and  $q$ .

# Congruence

Relation  $R$  on terms is a **congruence** iff for all arbitrary operators  $f$ :

$$\text{I. } \forall_{0 \leq i < \text{ar}(f)} \forall_{p_i, q_i} (p_i, q_i) \in R \Rightarrow \\ (f(p_0, \dots, p_{\text{ar}(f)-1}), f(q_0, \dots, q_{\text{ar}(f)-1})) \in R.$$

# Congruence Formats

$$\frac{\{t_i \xrightarrow{l_i} y_i \mid i \in I\}}{f(x_0, \dots, x_{ar(f)-1}) \xrightarrow{l} t}$$

# Congruence Formats

$$\frac{\{t_i \xrightarrow{l_i} y_i \mid i \in I\}}{f(x_0, \dots, x_{ar(f)-1}) \xrightarrow{l} t}$$

Theorem [Groote&Vaandrager92]:

If a TSS comprises of deduction rules in tyft format, then bisimilarity with respect to its induced transition relation is a congruence.

# SCs: Congruence

$$\overline{a \xrightarrow{l_0} a} \quad \overline{b \xrightarrow{l_0} b}$$

# SCs: Congruence

$$\frac{}{a \xrightarrow{l_0} a} \quad \frac{}{b \xrightarrow{l_0} b}$$
$$a \equiv f(b)$$

## SCs: Congruence

$$\begin{array}{c}
 \overline{a \xrightarrow{l_0} a} \quad \overline{b \xrightarrow{l_0} b} \\
 a \equiv f(b) \quad \frac{x \equiv x' \quad x' \xrightarrow{l} y' \quad y' \equiv y}{x \xrightarrow{l} y}
 \end{array}$$

$$f(b) \xrightarrow{l_0} a$$

$$f(a) \not\xrightarrow{l_0}$$

## Congruence Format for SCs (CFSC)

$$\begin{aligned} f(\vec{x}) &\equiv g(\vec{y}) \\ f(\vec{x}) &\equiv t \quad \text{if } f \text{ is a fresh function symbol.} \end{aligned}$$

Theorem [Mousavi&Reniers04]:

If a TSS comprises of deduction rules in tyft format, and structural congruences are in CFSC (with respect to the TSS) then bisimilarity with respect to its induced transition relation is a congruence.

# SCs: A Motivating Example (revisited)

$$\frac{x_0 \xrightarrow{l} y}{x_0 \parallel x_1 \xrightarrow{l} y \parallel x_1}$$
$$x_1 \parallel x_0 \equiv x_0 \parallel x_1$$
$$\frac{x \equiv x' \quad x' \xrightarrow{l} y' \quad y' \equiv y}{x \xrightarrow{l} y}$$

## SCs: A Motivating Example (revisited)

$$\begin{array}{c}
 \frac{x_0 \xrightarrow{l} y}{x_0 \parallel x_1 \xrightarrow{l} y \parallel x_1} \\
 \\
 x_1 \parallel x_0 \equiv x_0 \parallel x_1 \quad !x \equiv x \parallel !x \\
 \\
 \frac{x \equiv x' \quad x' \xrightarrow{l} y' \quad y' \equiv y}{x \xrightarrow{l} y}
 \end{array}$$

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# Guess the transition relation (revisited)

$$\frac{b \xrightarrow{l_0}}{\quad} \quad \frac{a \xrightarrow{l_0}}{\quad}}{\frac{a \xrightarrow{l_0}}{a} \quad \frac{b \xrightarrow{l_0}}{b}}$$

$$\{a \xrightarrow{l_0} a\} ? \quad \text{or} \quad \{b \xrightarrow{l_0} b\} ?$$

# Guess the transition relation (revisited)

$$\frac{a \xrightarrow{l_0}}{\quad}$$

---

$$a \xrightarrow{l_0} a$$

?

## Stratification [Groote93] (simplified)

A function  $\mathcal{S}$  is a **stratification** for a TSS in **ntyft** format if for all rules:

$$\frac{\{t_i \xrightarrow{l_i} y_i \mid i \in I\} \quad \{t_j \xrightarrow{l_j} \mid j \in J\}}{f(\vec{x}) \xrightarrow{l} t}$$

and for all closed substitutions  $\sigma$ :

1.  $\forall_{i \in I} \mathcal{S}(\sigma(f(\vec{x}))) \leq \mathcal{S}(\sigma(t_i))$
2.  $\forall_{j \in J} \mathcal{S}(\sigma(f(\vec{x}))) < \mathcal{S}(\sigma(t_j))$

# Stratification

Theorem [Bol&Groote96]:

If a TSS is in **ntyft** format and it is **stratified** then

it has a **unique stable model**

and **bisimilarity** with respect to this model is a **congruence**.

# Guess the transition relation (revisited)

$$\frac{b \xrightarrow{l_0} \text{---}}{a \xrightarrow{l_0} a}$$

$$\{a \xrightarrow{l_0} a\}$$

# Guess the transition relation

$$\frac{b \not\rightarrow^{l_0}}{a \xrightarrow{l_0} a}$$
$$a \equiv b$$

?

# Stratification (extended and simplified)

A combination of TSS in **ntyft** format and SCs in **CFSC** format is stratified if TSS is **stratified** by function  $\mathcal{S}$  and

1. for all equations of the form  $f(\vec{x}) \equiv g(\vec{y})$   
 $\mathcal{S}(\sigma(f(\vec{x}))) = \mathcal{S}(\sigma(g(\vec{y})))$
2. for all equations of the form  $f(\vec{x}) \equiv t$  then  
 $\mathcal{S}(\sigma(f(\vec{x}))) \leq \mathcal{S}(\sigma(t))$

## Stratification (extended and simplified)

Theorem [Mousavi&Reniers04]:

If a combination of a TSS and SCs is **stratified** then

it has a **unique stable model**

and **bisimilarity** with respect to this model is a **congruence**.

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## Conclusion

Done:

- Structural congruences may **harm well-definedness** of the semantics and **congruence** of strong bisimilarity, if not used with care;
- **Syntactic criteria** for making them **safe** were propose;
- **Conservative** (operational and equational) **extensions** of semantics with structural congruences were studied (not presented).

To be done:

- Studying congruence for **other notions** of bisimilarity;
- Investigating structural congruences in the **categorical model** of [Turi&Plotkin97].

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**Thank You!**