



# Resource-Constrained Workflow nets

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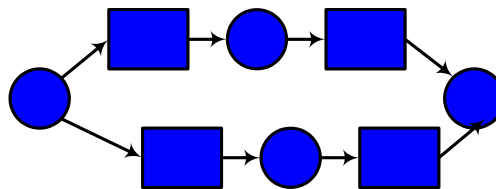
*The Netherlands*



# Workflow nets

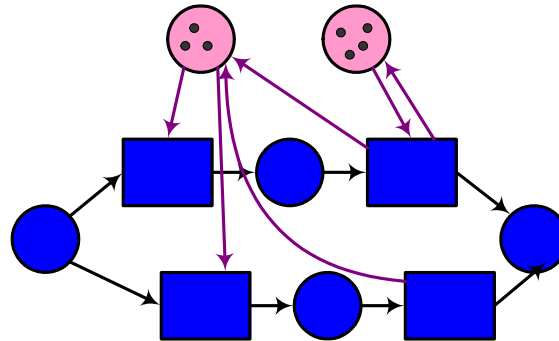
A Petri net  $N$  is a **Workflow net (WF-net)** iff:

- $N$  has two special places (or transitions): an **initial** place (transition)  $i: \bullet i = \emptyset$ , and a **final** place (transition)  $f: f\bullet = \emptyset$ .
- For any node  $n \in (P \cup T)$  there exists a path from  $i$  to  $n$  and a path from  $n$  to  $f$ .



**Applications:** business process modelling,  
software engineering, . . . .

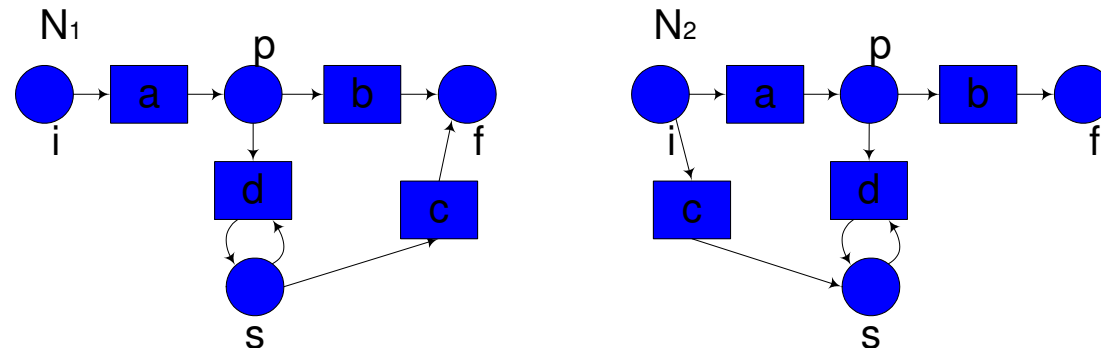
# Resource-Constrained WF-nets



A Petri net  $= \langle P_p \cup P_r, T, F_p^+ \cup F_r^+, F_p^- \cup F_r^- \rangle$  is a **Resource-Constrained Workflow net (RCWF-net)** iff:

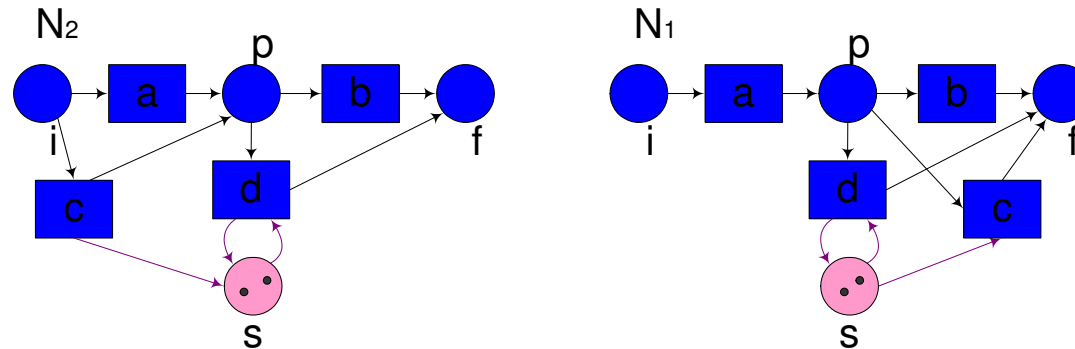
- $P_r \neq \emptyset$  and  $P_p \cap P_r = \emptyset$ ,
- $F_p^+$  and  $F_p^-$  are mappings  $(P_p \times T) \rightarrow \mathbb{N}$ ,
- $F_r^+$  and  $F_r^-$  are mappings  $(P_r \times T) \rightarrow \mathbb{N}$ , and
- $N_p = \langle P_p, T, F_p^+, F_p^- \rangle$  is a WF-net, which we call a **production net** of  $N$ .

# Non-redundancy and non-persistency



- **Non-redundancy:** every transition can potentially fire and every **production** place can potentially obtain tokens, provided that there are enough tokens on the initial place and resource tokens.
- **Non-persistency:** it should be possible for every **production** place (except for  $f$ ) to become unmarked again.

# Redundancy and persistency



- **Redundancy:** no **resource** place can ever obtain tokens, if it was not marked initially.
- **Persistency:** every **resource** place should become marked again when the net terminates.

# Formally:

Let  $N = \langle P, T, F \rangle$  be a WF-net.

- A place  $p \in P$  is **non-redundant** iff  
 $\exists k \in \mathbb{N}, m \in \mathbb{N}^P : k[i] \xrightarrow{*} m \wedge p \in m.$
- A place  $p \in P$  is **non-persistent** iff  
 $\exists k \in \mathbb{N}, m \in \mathbb{N}^P : p \in m \wedge m \xrightarrow{*} k[f].$
- A transition  $t$  is **non-redundant** iff  
 $\exists k \in \mathbb{N}, m \in \mathbb{N}^P : k[i] \xrightarrow{*} m \xrightarrow{t}.$

All **production** places should be **non-redundant** and **non-persistent**;  
all **resource** places should be **redundant** and **persistent**.

# Siphons



A set  $R$  of places is a **siphon** if  $\bullet R \subseteq R^\bullet$ .

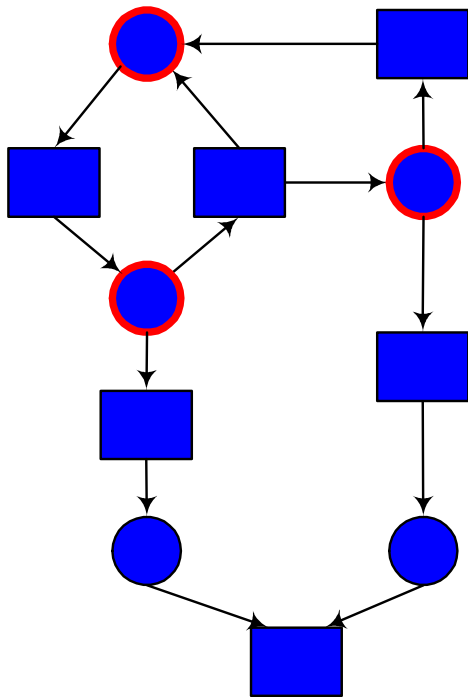
A siphon is a **proper siphon** if it is not empty.



# Siphons

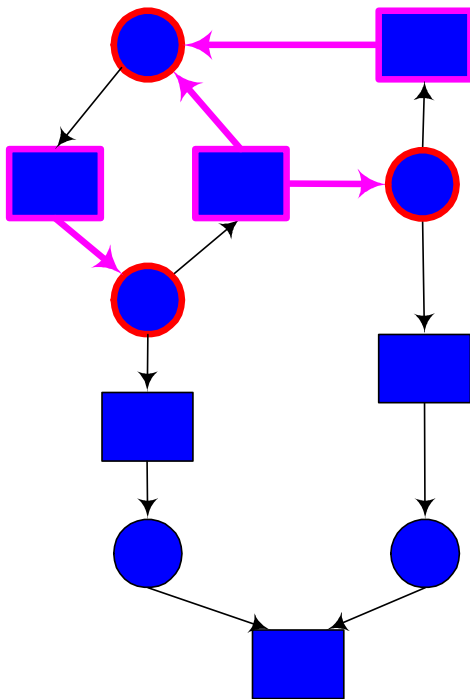
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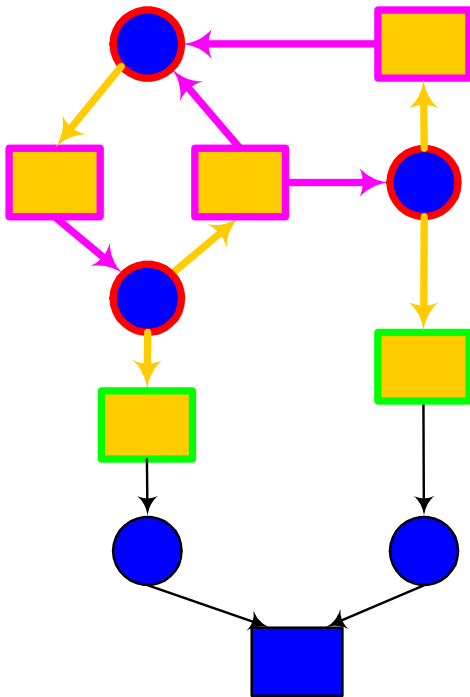
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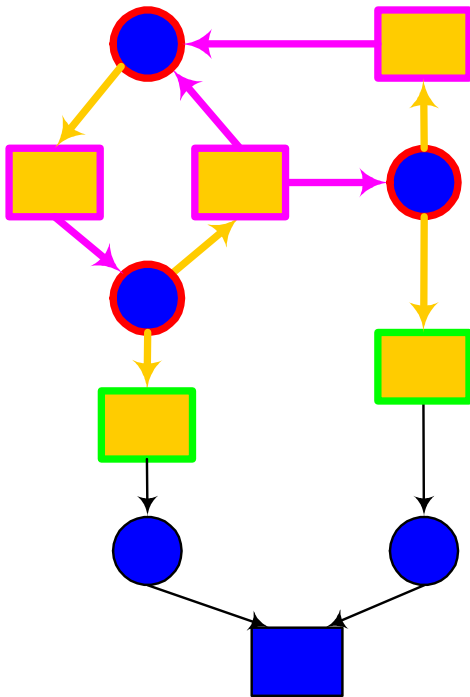
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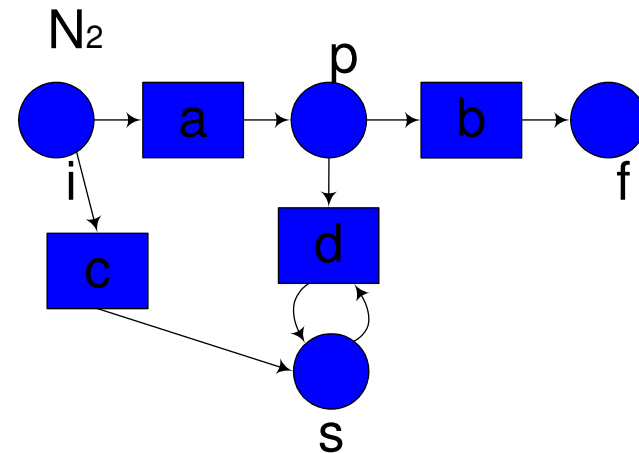
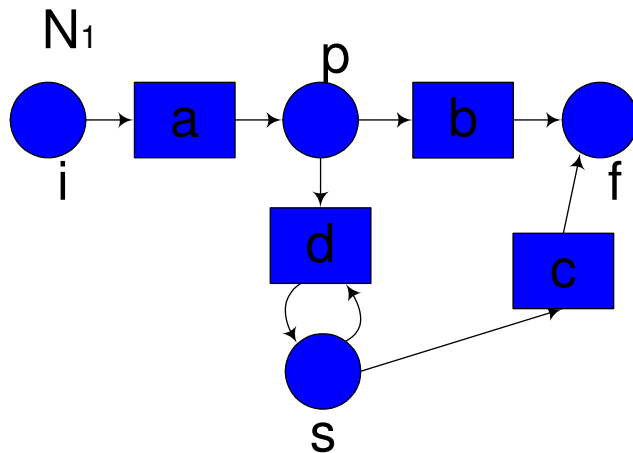


Unmarked siphons remain unmarked

# Non-redundancy criterion



- A WF-net has no redundant places iff  $P \setminus \{i\}$  contains no proper siphon.
- A WF-net has no redundant places iff it has no redundant transitions.

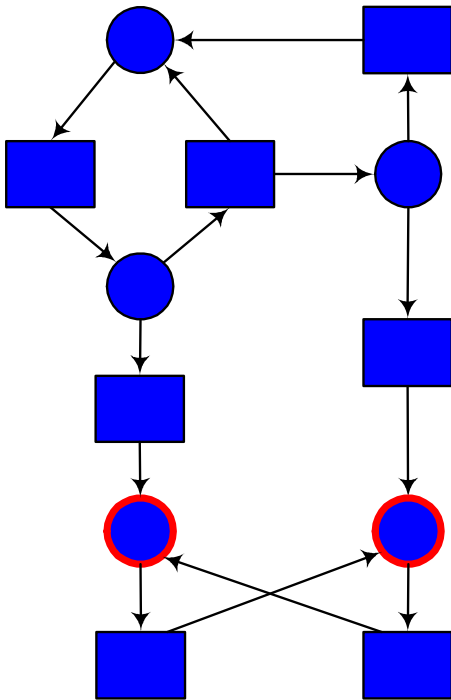


# Traps

A set  $R$  of places is a **trap** if  $R^\bullet \subseteq \bullet R$ .  
A trap is a **proper trap** if it is not empty.

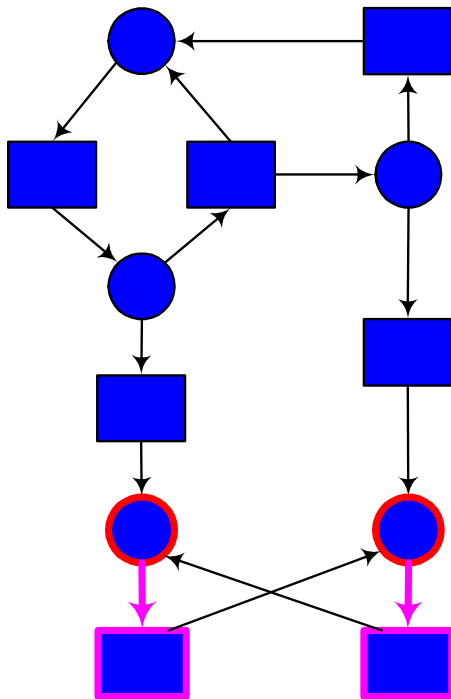
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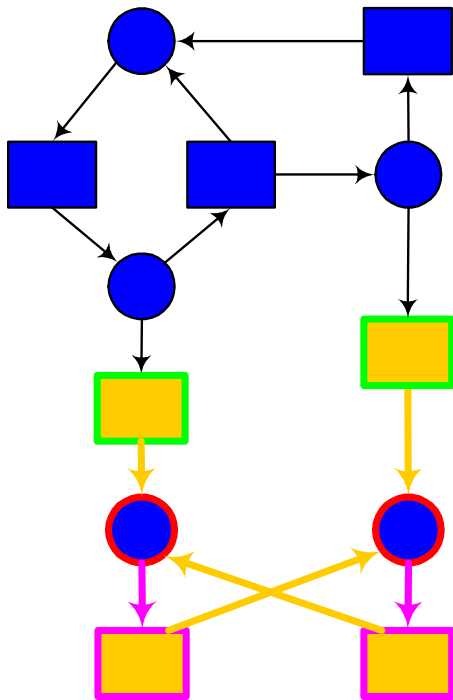
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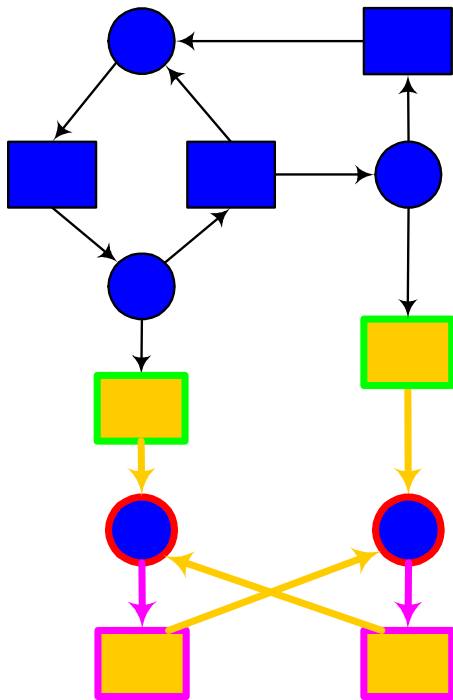
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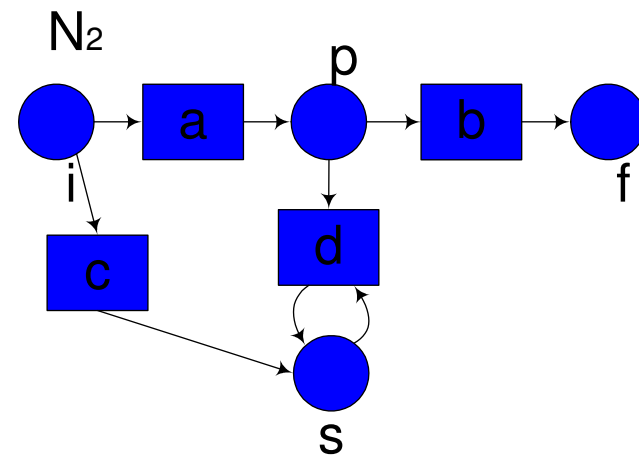
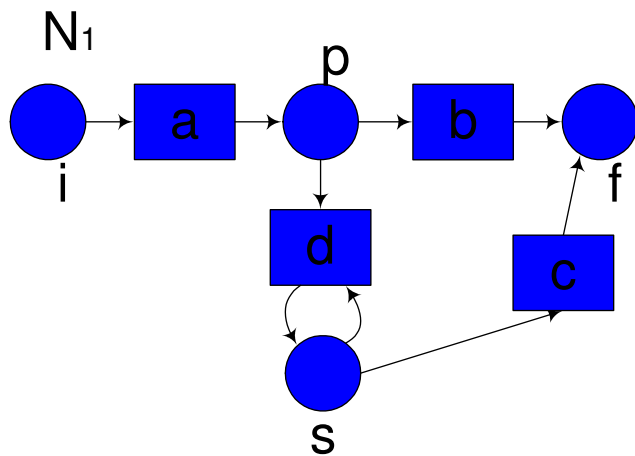


Marked traps remain marked.

# Non-persistence criterion



- A WF-net has no persistent places iff  $P \setminus \{f\}$  contains no proper trap.



# A check for structural correctness



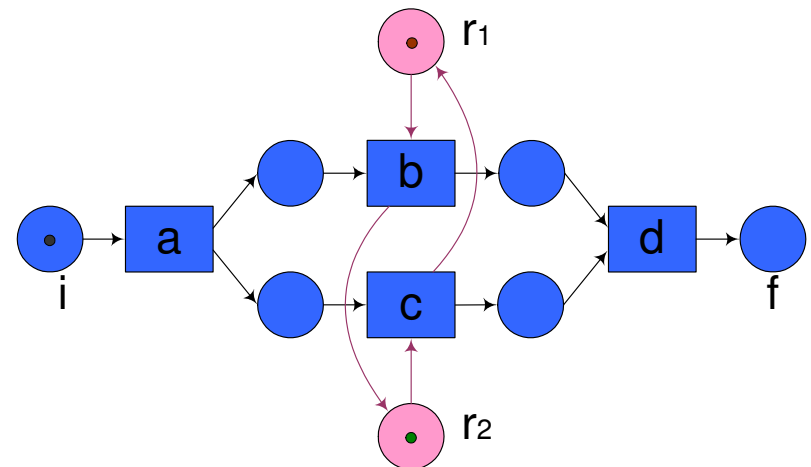
- Check that the production net has no redundant places and no persistent places;
- Check that all resource places are redundant and persistent;
- Check whether resources are independent of each other, if necessary: resource  $r$  is independent of other resources iff place  $r$  is redundant and persistent in the net with all other resource places removed.



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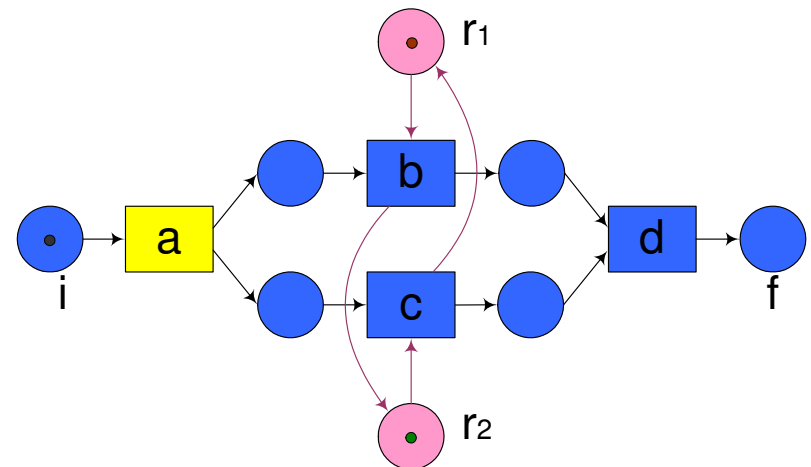
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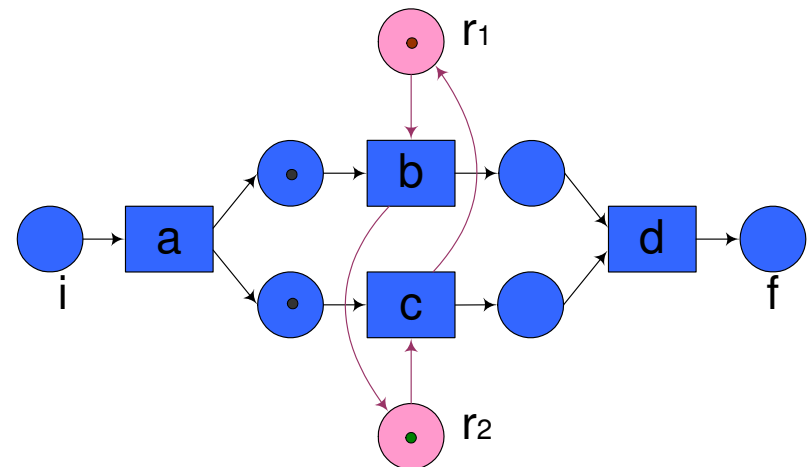
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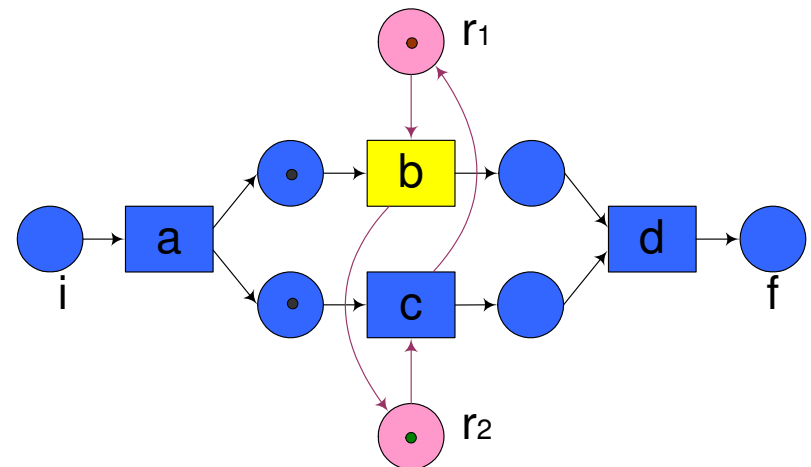
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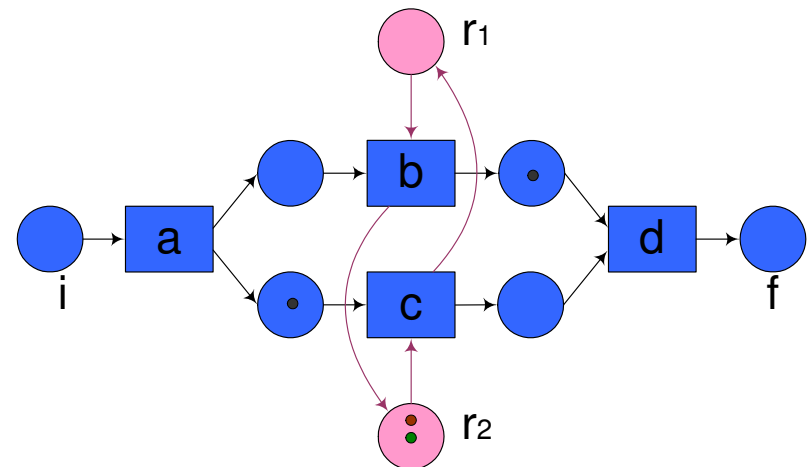
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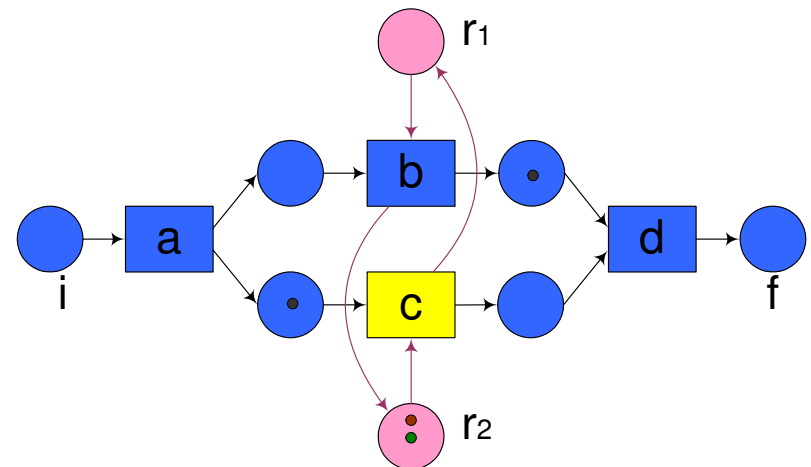
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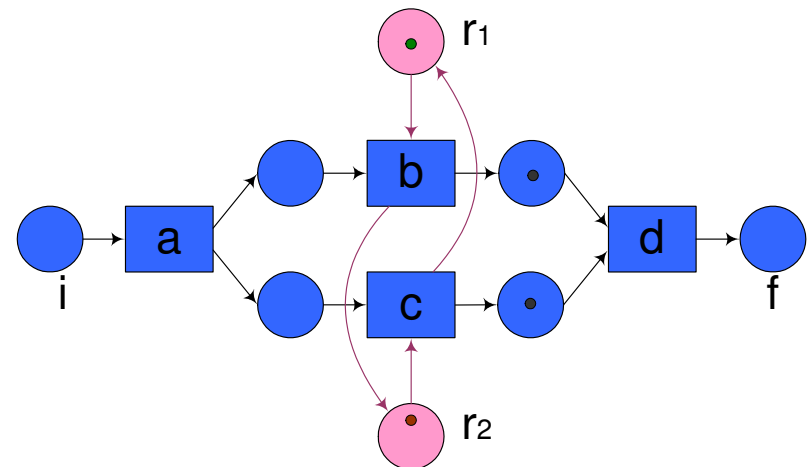
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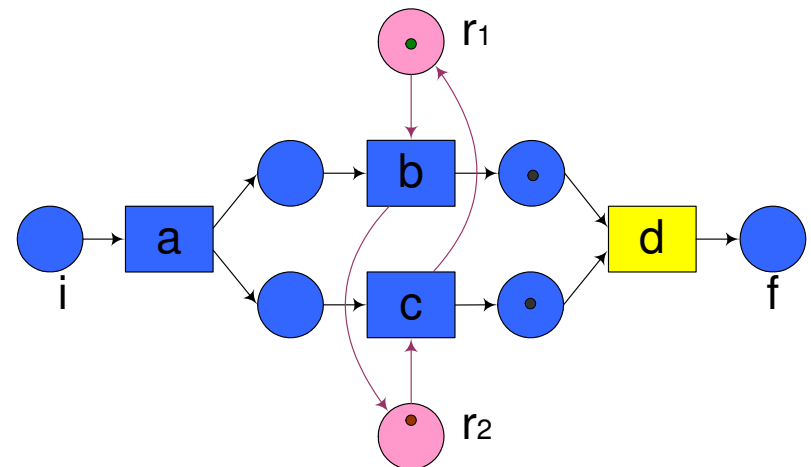
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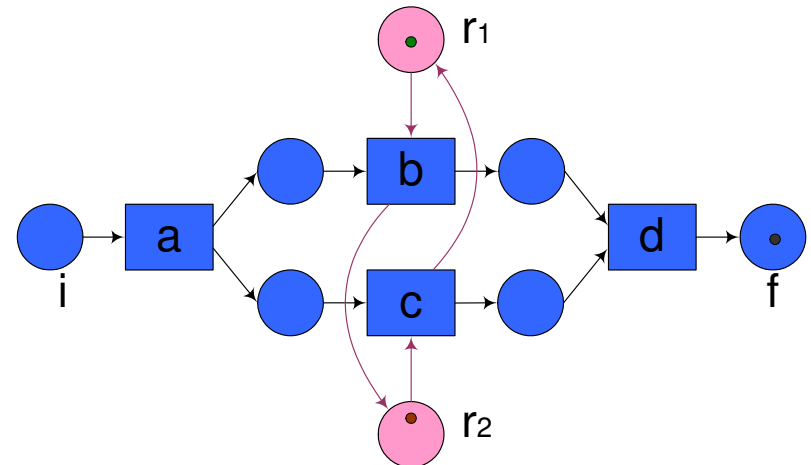
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# Soundness

Desired property: proper completion

Soundness for WF-nets:

A WF-net  $N$  with initial and final places  $i$  and  $f$  resp. is  *$k$ -sound* for  $k \in \mathbb{N}$  iff  $[f^k]$  is reachable from all markings  $m$  from  $\mathcal{M}(N, [i^k])$ .

A WF-net is (generalised) *sound* iff it is  $k$ -sound for every natural  $k$ .

Generalised soundness is decidable  
[van Hee, Sidorova, Voorhoeve 2004]

# Soundness of RCWF-nets

$N$  is  $(k, m_r)$ -sound for some  $k \in \mathbb{N}, m_r \in \mathbb{N}^{P_r}$  iff for all  $m \in \mathcal{R}(k[i] + m_r), m \xrightarrow{*} (k[f] + m_r)$ .

$N$  is  $k$ -sound iff there exists  $m_r \in \mathbb{N}^{P_r}$  such that it is  $(k, m')$ -sound for all  $m' \geq m_r$ .

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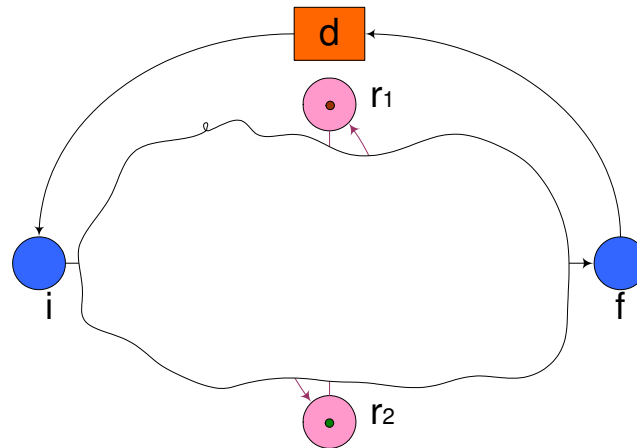
- (1) If  $N$  is  $k$ -sound, the underlying production WF-net  $N_p$  is  $k$ -sound as well.
- (2) If  $N$  is sound,  $N_p$  is sound, too.

# Soundness and transition invariants

Soundness includes the requirement to work correctly for all “large” markings.



Every transition invariant of the closure of the production net is a transition invariant of the the closure of the RCWF-net  $N$ .



# Soundness and transition invariants

Check that  $\forall x \in \mathbb{Z}^T : F'_p \cdot x = 0 \Leftrightarrow F' \cdot x = 0$ .

If not, the net is not sound.

If yes, then if no deadlock or livelock occurs due to the lack of resources, then the RCWF-net terminates properly:

Let  $N$  be an RCWF-net such that its production net  $N_p$  has no redundant transitions, and for the closure nets  $N'$  and  $N'_p$  holds  $\forall x \in \mathbb{Z}^T : F'_p \cdot x = 0 \Leftrightarrow F' \cdot x = 0$ .

Then for any  $k \in \mathbb{N}$ ,  $m_r \in \mathbb{N}^{P_r}$ ,  $m' \in \mathbb{N}^P$ ,  
 $k[i] + m_r \xrightarrow{*} k[f] + m'$  implies  $m_r = m'$ .

# Soundness and place invariants

Let  $N$  be a sound RCWF-net and  $r$  is a resource place. Then there exists a place invariant  $I \in \mathcal{I}$  such that  $I(i) = I(f) = 0$  and  $I(r) \neq 0$ .

An additional characterization of resource independence:

In a sound net, all invariants satisfy  $I(i) = I(f)$ .

Decompose a linear space  $\mathcal{I}$  of all place invariants into the subspaces  $\mathcal{I}_P$ , the production invariants, and  $\mathcal{I}_R$ , the resource invariants satisfying  $I(i) = I(f) = 0$ .

If the resources are independent,  $\mathcal{I}_R$  is decomposable into subspaces  $\mathcal{I}_r$  for  $r \in P_r$  such that

$$\forall I \in \mathcal{I}_r, q \in P_r : I(q) \neq 0 \iff q = r.$$

# Soundness and place invariants

A desirable property for RCWF-nets with independent resources is the existence of bases for the  $\mathcal{I}_r$  having nonnegative coefficients (i.e. resources can only become available when released after being claimed earlier).

RCWF-nets with this property are connected to the  $S^4PR$  nets of [Colom2003].

# Conclusion



- (Non-)redundancy and (non-)persistency: simple structural correctness checks with the use of traps and siphons
- Soundness of the production net is necessary for the soundness of the RCWF-net
- Transition invariants of the closure of the production net are the same as of the closure of the RCWF-net, if the RCWF-net is sound. (guarantee for the resource conservation)
- Soundness implies the existence of a resource place invariant for every resource place, which relates sound RCWF-nets to  $S^4PR$  nets [Colom2003].

# Related works



- Colom, Ezpeleta, Martinez, Silva, Turuel et al.  
**Flexible manufacturing systems:** the key issue is the construction of appropriate schedules.
- Barkaoui&Petrucci:  
**Nets with shared resources:** structural soundness corresponds approx. to the existence of  $k, m_r$  such that the net is  $(k, m_r)$  sound.



# Future work



- We gave only necessary conditions for soundness. What are sufficient conditions?
- Is the soundness problem decidable for RCWF-nets?
- What are the structural patterns for building sound-by-construction RCWF-nets?

