Kelvin-Helmholtz Instabilities Occurring at a Nacelle Exhaust

Julien Manera, Benoît Schiltz, Romain Leneveu, Stéphane Caro, Jonathan Jacqmot

Free Field Technologies SA – www.fft.be
Axis Park Louvain-La-Neuve, Rue Emile Francqui 1,
B-1435 Mont St Guibert, Belgium

Sjoerd Rienstra, TUE
Technical University of Eindhoven

The difference of flow velocity between the free stream and the jet stream at a nacelle exhaust generates a shear layer where some hydrodynamic instabilities, called Kelvin-Helmholtz instabilities, can occur. Some instabilities occur in the shear layer in the computations with Actran/DGM a time domain code which solves the LEE equations (see, and 3) but it is often difficult to conclude whether they are physical or not. This document recalls the theory on the Kelvin-Helmholtz instabilities and gives guidelines to sort out the physical and numerical instabilities. This methodology is put into practice on concrete cases studied in the frame of the TURNEX project.

I. Kelvin-Helmholtz Instabilities

An incompressible inviscid parallel mean flow with an infinitely thin shear layer dividing two regions with uniform but different velocity profiles, is linearly unstable according to the classic theory of Kelvin and Helmholtz. This remains true for shear layers of finite thickness. Since it is essentially the concentration of vorticity that makes the flow unstable, a (subsonic) compressible flow is unstable in qualitatively the same way, although now acoustic waves may excite the instability.

Due to linearity, a perturbed quantity \( q \) can be written as a sum or integral over space-time Fourier modes, of which the most unstable has our interest. Assuming the mean flow being oriented in positive \( x \)-direction, then \( q \) may be given, in the usual complex form, by

\[
q(x, y, z, t) = A(y, z) e^{i\omega t - i k x}.
\]

\( \omega \) and \( k \) are related by a dispersion relation, so that locally we can say that \( \omega = \omega(k) \). The phase and group velocities are given by

\[
v_{ph} = \frac{\omega}{k}, \quad v_g = \frac{d\omega}{dk}.
\]

The phase velocity is the easily observed speed of the wave tops, while the group velocity is the speed of the wave energy. The mean flow is said to be connected unstable if no instability mode has group velocity equal to zero. It is said to be absolute unstable if some unstable mode has group velocity equal to zero. Temporal modes are modes for which we take a real value of the wave number \( k \) and seek corresponding complex \( \omega \) which yields an unstable mode if \( \text{Im}(\omega) < 0 \). Spatial modes are modes for which we take a real value of the frequency \( \omega \) and seek corresponding complex \( k \) which yields an unstable (right-running) mode if \( \text{Im}(k) > 0 \).

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*Corresponding author: julien.manera@fft.be

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This last scenario is typical of the present class of problems, where the instability is acoustically excited by a source of fixed and given real frequency. It appears that a Kelvin-Helmholtz unstable mean flow, with no density gradients opposite to the direction of gravity, is only convected unstable with spatial modes.

In the numerical problem, however, it is not obvious that there are no other instabilities. It is one of the goals of the present research to confirm that no other instabilities occur than the physical ones.

An important property of spatial modes for shear layers of finite thickness is that they are only unstable (exponentially increasing with $x$) for shear layers that are thin enough non-dimensionally. Since compressibility is not relevant, it means thin enough compared to a suitable hydrodynamic wavelength $U/\omega$. This comes down to a small enough Strouhal number. According to Michalke, the relevant Strouhal number is defined as

$$S_t = 2\pi \frac{\int f_{KH} \theta_m}{U_{\text{jet}} - U_{\text{free}}}$$

where

- $f_{KH}$ is the Kelvin-Helmholtz instabilities frequency. Note that we make an explicit distinction between this and the frequency of the driving source, because in the end we want to show that the frequency of the observed instability is indeed equal to the one of the source.
- $U_{\text{jet}}$ is the velocity of the jet mean flow (in practice, at the jet centre line);
- $U_{\text{free}}$ is the velocity of the free mean flow that surrounds the jet (sufficiently far away from the jet).
- $\theta_m$ is the shear layer momentum thickness, defined as:

$$\theta_m = \int_0^\infty \left\{ \frac{1}{4} - \left( \frac{U(r) - \overline{U}}{\Delta U} \right)^2 \right\} dr.$$  

$\overline{U} = \frac{1}{2}(U_{\text{jet}} + U_{\text{free}})$ is the mean flow velocity halfway the shear layer and $\Delta U = U_{\text{free}} - U_{\text{jet}}$ is the difference between free stream and jet stream velocities. If the density varies between the two domains, the momentum density $\rho U$ should be taken into account instead of the velocity $U$, but this does not make any difference for the order of magnitude of the effect.

Michalke’s results are illustrated in Figure 1 for various situations.

We note the following observations.

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Figure 1. Examples of Michalke’s results for 2D (upper-left) and 3D cylindrical jet flow. Note that $k = \alpha$.

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Note that a spatial mode is in itself inadmissible as a solution, because it is unbounded at infinity. Therefore, it violates, with any nonzero amplitude, the assumption of uniform smallness that is required for linearisation. Nevertheless, it is believed that a spatial mode is a useful solution anywhere it is locally small.

Like a cup of tea held upside down
• When the instability wavelength and the shear layer thickness are much smaller than the jet diameter, the instability propagation velocity should be nearly equal to the average of inner and outer flow velocities. This is clear from elementary symmetry arguments.

• When the instability wavelength is larger than the jet diameter, the instability propagation velocity should be nearly the same as the one of the jet flow. This is less obvious; the symmetry is broken while the jet and the instability wave tend to coincide.

• The Kelvin-Helmholtz instabilities are only driven by the acoustic source (i.e., their frequency is equal to the acoustic frequency). This is an obvious result from the linearisation combined with arguments of causality and an undisturbed initial flow.

Michalke’s results suggest a criterion that any shear layer instability turns into stable, decaying perturbations when the above Strouhal number $S_t$ based on the shear layer momentum thickness (Equation 3) is larger than a certain threshold value,

$$S_t > S_c$$

which is broadly speaking of order unity, but depends on further details of the geometry and type of perturbation (see\textsuperscript{15} and\textsuperscript{16}).

It explains why any infinitely thin shear layer (the vortex sheet model) is always Kelvin-Helmholtz unstable: the Strouhal number is always zero, for whatever frequency.

This reveals a weakness of the vortex sheet jet models. On the one hand these models are extremely useful, because their relatively simple geometry allows a deep analysis of the physics. Especially the celebrated solution for a circular jet with co-flow by Munt\textsuperscript{10} has shown to be seminal, in particular in the Turnex project.\textsuperscript{17–19} On the other hand, one aspect which is not unimportant in more realistic cases is not included: the stabilizing effect of a finite shear layer thickness.\textsuperscript{20}

II. Application: a Munt Type Problem

The theory explained in Section I is put into practice to the model described in Section II.A and in.\textsuperscript{3} Figure 2 shows the mode of order (9,1) at 866 Hz, where instabilities occur in the shear layer.

![Figure 2. Case 1a, Approach, Mode (9,1), physical pressure distribution](image)

II.A. Model Description

The case 1a of the TURNEX WP2 is studied here at approach conditions: the geometry corresponds to an extended Munt solution (annular duct), where the flow velocity in the bypass stream and in the free stream are theoretically uniform. They are respectively set to 155 m.s$^{-1}$ and 74.5 m.s$^{-1}$ (see\textsuperscript{3}). The center-body is acoustically hard and extends indefinitely downstream of the bypass exit plane (Figure 3).

The finite element model is axisymmetric, and the flow, density and pressure profiles are computed with a Matlab routine. As suggested by\textsuperscript{20} and,\textsuperscript{3} the shear layer has a conic shape (the opening angle is set to $10^\circ$), where the flow velocity varies linearly between the free stream and the jet stream (Figure 4).
The mesh is presented on Figure 5, where some field points \( i.e \), virtual microphones) are placed in the continuation of the duct. They allow to plot the pressure variation at different time steps inside the shear layer. The model is excited with the mode \((9,1)\).

II.B. Instability Phase Speed

The pressure\(^c \) inside the shear layer is plotted on Figure 6 distribution at different time steps. This plot allows to compute the phase speed of the instability. This appears to be equal to the average flow velocity between inner and outer flows \( i.e \), 115 m.s\(^{-1} \), see (Equation 6).

\[
V = \frac{0.045}{0.023375 - 0.0229856} \approx 115 \text{ m.s}^{-1}
\]  

(6)

II.C. Instability Wavelength

The pressure distribution inside the shear layer is plotted in Figure 7 at \( t = 0.023375 \) s. The wavelength of the instability can be measured from this plot and is equal to 0.125 m. (Equation 7). This leads to an instability frequency equal to 924 Hz (Equation 8), which is in reasonable agreement with the excitation frequency of 866 Hz.

\[
\lambda = 0.535 - 0.41 = 0.125 \text{ m}
\]  

(7)

\(^c\)This corresponds to the real or imaginary part of the pressure (with a suitably chosen complex phase) in the usual complex representation in case of time harmonic perturbations.
Figure 5. Case 1a, Mesh and virtual microphones location
Figure 6. Physical pressure distribution inside the shear layer at different time steps; computation of the instability phase speed

\[ f_{KH} = \frac{V}{\lambda} = \frac{115.56}{0.125} \approx 924 \text{ Hz.} \]  

(8)

II.D. Michalke Criterion

The Michalke criterion (Equation 3) can be computed because the momentum thickness (Equation 4) is reduced in this particular case. This is due to:

- The weak variation of the density. This allows to take into account the velocity in the momentum thickness computation instead of the momentum density;
- The conic shape of the shear layer, which reduces considerably the original formula.

The new expression of the momentum thickness is shown in Equation 9.

\[ \theta_m = \delta \int_0^\delta \left\{ \frac{1}{4} - \left( \frac{U_{\text{jet}} + \frac{\delta}{2} \Delta U - U}{\Delta U} \right)^2 \right\} \text{d}r = \frac{\delta}{6} = \frac{2L \tan \frac{\alpha}{2}}{6} \]  

(9)

Where (see also Figure 8):

- \( L \) is the distance after which the Kelvin-Helmholtz instabilities should stop growing;
- \( \alpha \) is the opening angle of the shear layer;
- \( \delta \) is the shear layer thickness.

Therefore, the Michalke criterion for this particular case says that the Strouhal number \( S_t \) must be larger than 0.9 (Equation 10), as the distance \( L \) after which the Kelvin-Helmholtz instabilities stop growing is equal to 0.4 m (Figure 9). The computations parameters are reminded hereafter:

- The instabilities frequency \( f_{KH} \) is equal to 924 Hz;
- The free stream flow velocity \( U_{\text{free}} \) is equal to 74.5 m.s\(^{-1}\);
- The jet stream flow velocity \( U_{\text{jet}} \) is equal to 155 m.s\(^{-1}\);
II.E. Conclusions

Two conclusions can be drawn:

- With the wavelength of the instabilities being smaller than the diameter of the jet, it is normal that they propagate at the average flow velocity between inner and outer flows. This is consistent with the theory (see Section I);
- The instability frequency (924 Hz) is nearly the same as the source frequency (866 Hz). Therefore, the instabilities are physical (i.e., these are Kelvin-Helmholtz instabilities, see Section I).

III. Application: Realistic Problem

III.A. Model Description

In Section II.D, the Michalke criterion was computed thanks to the simple shape of the shear layer. In the case of a realistic mean flow, it is not possible to reduce the computation of the momentum thickness to an
analytical formula as done in Equation 9: the shear layer shape is often too complex. The TURNEX case 5 is studied in this part as an example of realistic case. The flow and the mesh are shown on Figure 10 and 11 (static-approach flight condition).

Figure 12 shows a pressure map of the plane wave mode at 3000 Hz. Instabilities occur mainly in the external shear layer (between the by-pass flow and the external flow). Figure 13 details the instabilities and plots the direction of acoustic velocity.
III.B. Instabilities Wavelength and Velocity Propagation

The methodology described in Section II.B and II.C is applied to this case in order to compute the instabilities wavelength and their propagation velocity.
The pressure inside the shear layer is plotted on Figure 14 at different time steps. The distance travelled by a peak can be computed thanks to virtual microphones located inside the shear layer for different time steps. This allows to compute the instabilities phase speed (see Equation 11) which is almost equal to the average flow velocity between by-pass and outer flows (i.e., 75 m.s$^{-1}$).

\[ V = \frac{0.058}{0.022150 - 0.022084} \approx 87.9 \text{ m.s}^{-1} \quad (11) \]

The virtual microphones also allow computing the instability wavelength ($\lambda = 0.029$ m see Figure 15). This leads to an instability frequency equal to 3031 Hz (Equation 12), which is in agreement with the excitation frequency of 3000 Hz.

\[ f_{KH} = \frac{V}{\lambda} = \frac{87.9}{0.029} \approx 3031 \text{ Hz.} \quad (12) \]

### III.C. Michalke Criterion

The most problematic step to compute the Michalke Criterion is to assess the momentum thickness. The aim of this section is to describe the numerical method used to compute the momentum thickness of realistic
shear layers.

### III.C.1. Methodology

The method consists in interpolating the mean flow on an interpolation mesh (Figure 16).

![Interpolation mesh](image)

Figure 16. Method for computing the momentum thickness of a CFD mean flow

Thanks to this interpolation sequence, the velocity information is available on every node and can thus be extracted to compute the momentum thickness in different planes. For example, in the $Y = 0.3$ m plane, the momentum thickness formula can be reduced to Equation 13.

$$
\theta_m = \sum_{i=1}^{5} \left\{ \frac{1}{4} - \left( \frac{U_{ri} - U}{\Delta U} \right)^2 \right\} dr
$$

Where $dr$ is the distance between two nodes.
III.C.2. Validation

The purpose of this part is to compare the two computational methods of the momentum thickness (Equation 9 and Equation 13) on the case described in Section II.A (i.e., the conic shear layer). This comparison allows to validate the method described in Subsection III.C.1. The momentum thickness is computed in the $Y = 1$ m plane. Figure 17 shows the interpolation mesh.

![Interpolation mesh](image)

Figure 17. Case 1a, Interpolation mesh (colorbar in [m/s]).

The flow value on each node of the $Y = 1$ m plane is known thanks to the flow interpolation. Therefore, it is possible to plot the flow profile (Figure 18) which shows that the shear layer is bordered between $r = 1$ m and $r = 1.4$ m. Equation 13 must be therefore applied in this range (Equation 14).

$$\theta_m = \sum_{r=1}^{1.4} \left\{ \frac{1}{4} - \left( \frac{U_r - \bar{U}}{\Delta U} \right)^2 \right\} dr = 0.02916 \text{ m}$$ (14)

Where:

- The distance between two nodes $dr$ is equal to $5 \cdot 10^{-3}$ m;
- The jet stream flow velocity $U_{\text{jet}}$ is equal to $155 \cdot 1$ m.s$^{-1}$;
- The free stream flow velocity $U_{\text{free}}$ is equal to $74.5$ m.s$^{-1}$;
- $\bar{U} = \frac{U_{\text{jet}} + U_{\text{free}}}{2}$;
- $\Delta U = U_{\text{free}} - U_{\text{jet}}$.

The comparison between the integral formulation of the momentum thickness for a conic shear layer (Equation 15) and the numerical way (Equation 14) validates this method.

$$\theta_m = \int_0^\delta \left\{ \frac{1}{4} - \left( \frac{U_{\text{jet}} + \frac{r}{6} \Delta U - \bar{U}}{\Delta U} \right)^2 \right\} dr = \frac{\delta}{6} = \frac{2L \tan \frac{\alpha}{2}}{6} = 0.02918 \text{ m}$$ (15)

III.C.3. Application

The method of Subsection III.C.1 being validated, it is applied to the TURNEX case 5 at static-approach flow condition described at the beginning of this chapter. The interpolation mesh shown on Figure 19 allows to plot the flow profile in the $Y = 0.11$ m plane (Figure 20).

Only the first shear layer is studied in this document. However, the same methodology can be used to determine the Michalke criterion of the second shear layer located between the by-pass flow and the core flow.
Figure 18. Case 1a, Flow profile in the \( Y = 1 \) m plane

Figure 19. Case 5, Interpolation mesh (colorbar in \([\text{m/s}]\))
The momentum thickness of the first shear layer can be computed thanks to Equation 15 for every Y plane. For the plane \( Y = 0.11 \) m, the first shear layer is bordered between \( r=0.10 \) m and \( r=0.16 \) m (Figure 20). The momentum thickness is therefore equal to \( 3.34 \times 10^{-3} \) m (Equation 16).

\[
\theta_m = \sum_{r=0.10}^{0.16} \left\{ \frac{1}{4} - \left( \frac{U_r - \bar{U}}{\Delta U} \right)^2 \right\} dr = 3.34 \times 10^{-3} \text{ m} \tag{16}
\]

Where:

- The distance between two nodes \( dr \) is equal to \( 5.0 \times 10^{-3} \) m;
- The by-pass jet stream flow velocity \( U_{\text{jet1}} \) is equal to \( 151 \) m.s\(^{-1}\);
- The exterior jet stream flow velocity \( U_{\text{jet2}} \) is equal to \( 0 \) m.s\(^{-1}\) (static flight condition);
- \( \bar{U} = \frac{U_{\text{jet1}} + U_{\text{jet2}}}{2} \);
- \( \Delta U = U_{\text{jet2}} - U_{\text{jet1}} \).

With the momentum thickness being computed for every Y plane the Strouhal number can be computed (Equation 3). Figure 21 illustrates the variation of the Strouhal number with respect to the distance from the trailing edge of the by-pass duct. The amplitude of the instabilities decreases from a distance larger than \( 0.75 \) m (Figure 22). Thanks to the plot shown on Figure 21 the Michalke criterion can be assessed and is equal to 0.33 in this case. This means that the shear layer instabilities become stable once the Strouhal number is larger than 0.33.

The Strouhal number is plotted for 3000 Hz and 7000 Hz on Figure 23. The Michalke criterion at 7000 Hz is always larger than 0.33. This means that the instabilities should decrease immediately after their appearance: this is confirmed on the pressure map of Figure 24.
Figure 21. Case 5. Variation of the Strouhal number with the distance from trailing edge.

Figure 22. Case 5. Location of the maximum of instabilities amplitude.
Figure 23. Strouhal number of case 5 for 3000 Hz and 7000 Hz

Figure 24. Pressure map for plane wave mode at frequency 7000 Hz
IV. Conclusions

- This work has allowed to set-up and validate a methodology for computing the momentum thickness of realistic shear layers.

- This methodology is applied to a realistic case in this paper. The propagation velocity and the frequency of the instabilities being consistent with the theory (see Chapter 1), the instabilities observed in the ACTRAN/DGM computations are therefore physical (i.e., these are Kelvin-Helmoltz instabilities).

- The methodology described in this paper can be applied on 3D computations (see Figure 25) to confirm that the instabilities visualized are indeed the physical ones.

Figure 25. Pressure map for 3D configuration

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