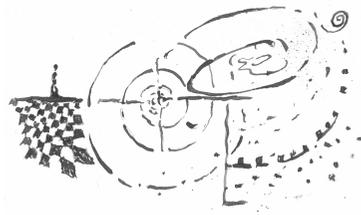


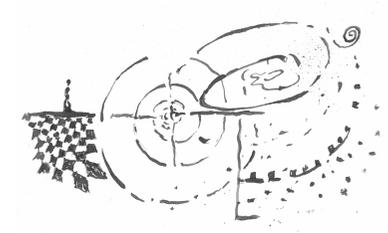
Algorithmic Adventures

From Knowledge to Magic



Book by Juraj Hromkovič, ETH Zurich
Slides by Tom Verhoeff, TU Eindhoven

Quotation

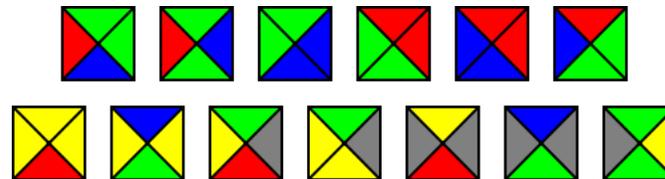
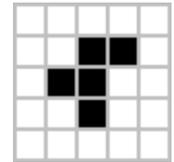


There is no greater loss than time which has been wasted

Michelangelo Buonarroti

Undecidability Is Not Rare

- Decide* whether a Game of Life configuration stabilizes
- Decide whether a set of Wang tiles can tile the plane



- Decide whether a Diophantine equation (multivariable polynomial equation, like $a^3 + b^3 = c^3$) has a solution in integers
- Decide whether a program has a specific non-trivial property, like whether it always halts, always outputs 0, ... [cf. Rice's Theorem]

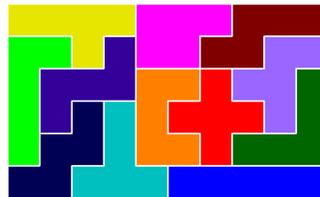
*In each case, the algorithm needs to work for *all* possible inputs (shown in yellow). All these decision problems turn out to involve a *universal* mechanism.

Some Algorithms Are Very Inefficient

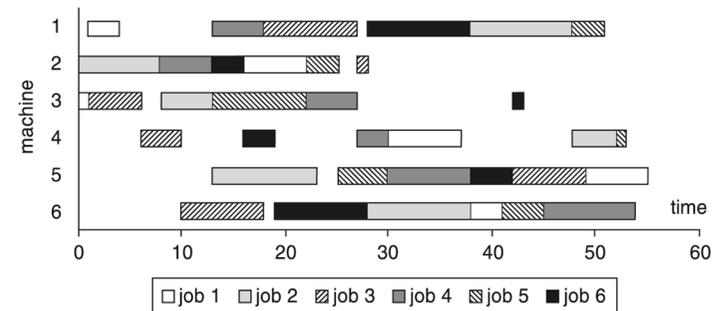
For some *algorithmically solvable* problems, our algorithmic solutions turn out to be very slow

Slow algorithms are *practically* unusable:

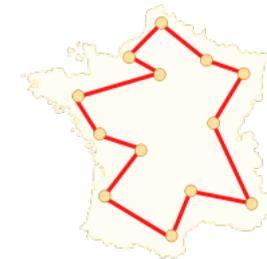
- Packing puzzles



- Scheduling jobs on machines



- Traveling Salesman Problem (**TSP**): find shortest tour visiting each town in a given set, given their distances



How can we investigate this phenomenon?

How can we overcome this limitation?

Algorithmic Complexity

The **time complexity** of algorithm A on input I :

number of instructions performed in computation of A on I

The **space complexity** of algorithm A on input I :

amount of memory used in computation of A on I

Complexity varies with **size of the input** (amount of input data)

The **time complexity** of algorithm A **as function of input size**:

$Time_A(n) = \text{worst-case number of instructions performed in computation of } A \text{ on any input of size } n$

Asymptotic Algorithmic Time Complexity

The function $Time_A(n)$ also depends on details of the programming language and implementation of the algorithm as program

Definition Function $f(n) \geq 0$ is $\mathcal{O}(g(n))$ (' f is big oh of g ') when

$$f(n) \leq C \cdot g(n) \text{ for some constant } C \text{ and all sufficiently large } n$$

Example: $10n^2 + 7n + 20$ is $\mathcal{O}(n^2)$, but not $\mathcal{O}(n)$ and not $\mathcal{O}(\log n)$

The **asymptotic time complexity** of algorithm A is $f(n)$:

$$Time_A(n) \text{ is } \mathcal{O}(f(n)) \quad \text{and} \quad f(n) \text{ is } \mathcal{O}(Time_A(n))$$

The asymptotic complexity is *robust*, independent of implementation

Complexity classes: Constant, Logarithmic, Linear, Linearithmic $\mathcal{O}(n \cdot \log n)$, Quadratic, Cubic, ..., Polynomial, Exponential, ...

Asymptotic Time Complexity Examples

Complexity	Name	Example*
$\mathcal{O}(1)$	Constant	Determine whether n -bit number is even
$\mathcal{O}(\log n)$	Logarithmic	Find item in sorted list by <i>Binary Search</i>
$\mathcal{O}(n)$	Linear	Find item in list by <i>Linear Search</i>
$\mathcal{O}(n \log n)$	Linearithmic	Sort list by <i>Merge Sort</i>
$\mathcal{O}(n^2)$	Quadratic	Sort list by <i>Bubble Sort</i>
$\mathcal{O}(n^k)$	Polynomial	Determine whether n -bit number is <i>prime</i>
$\mathcal{O}(2^n)$	Exponential	Solve TSP by <i>Dynamic Programming</i>
$\mathcal{O}(n!)$	Factorial	Solve TSP by <i>Brute Force Search</i>

*The input is a list of n elements (possibly bits)

What Is the Limit of Practical Solvability?

n	10	50	100	300
$f(n)$				
$10n$	100	500	1000	3000
$2n^2$	200	5 000	20 000	180 000
n^3	1000	125 000	1 000 000	27 000 000
2^n	1024	16 digits	31 digits	91 digits
$n!$	$\approx 3.6 \cdot 10^6$	65 digits	158 digits	615 digits

A problem is called **tractable** when it can be solved by a **polynomial** algorithm (asymptotic time complexity is $\mathcal{O}(n^k)$ for some constant k)

P denotes the class of all **polynomial decisions problems**

How Much More Can You Do on a 2× Faster Machine?

Assume $n = 100$ takes 1 hour on machine A .

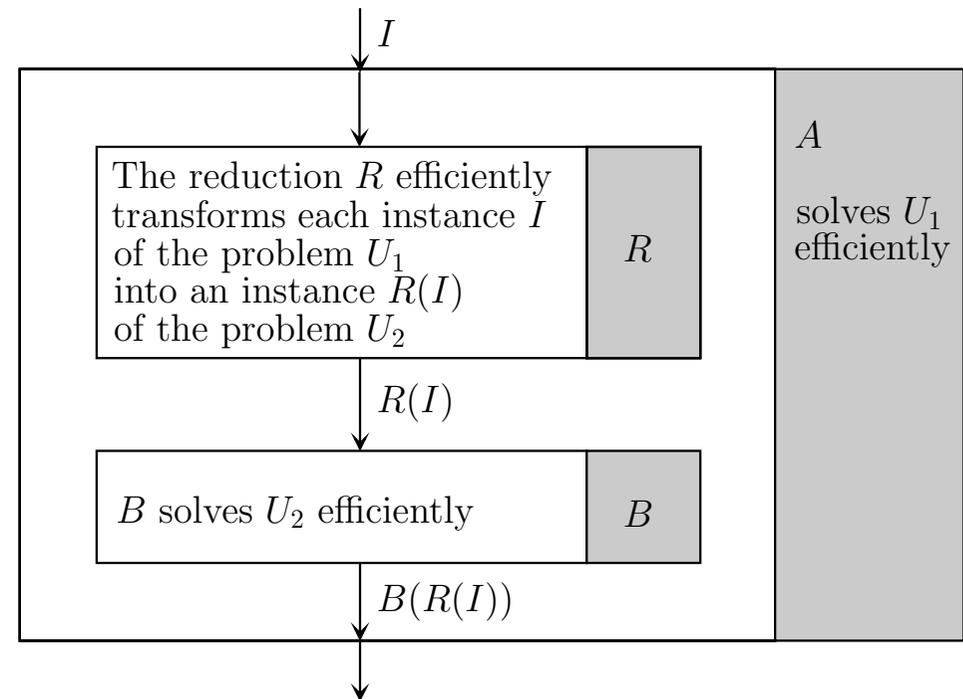
How much further do you get on a 2× faster machine B in 1 hour?

	Time	n on A	n on B	More on B	Factor
Logarithmic	$C_1 \log_2 n$	100	10000	9900	100
Linear	$C_2 n$	100	200	100	2
Linearitmic	$C_3 n \log_2 n$	100	178	78	1.78
Quadratic	$C_4 n^2$	100	141	41	1.41
Cubic	$C_5 n^3$	100	126	26	1.26
Exponential	$C_6 2^n$	100	101	1	1.01

Polynomial-time Reduction

Algorithm R is a **polynomial reduction** from problem U_1 to U_2 when

- R is a *polynomial* algorithm, and
- the solution for instance I of problem U_1 equals the solution for instance $R(I)$ of problem U_2 , for all instances I of U_1



Polynomial-time Reduction

By definition, the following statements are equivalent:

- Problem U_1 is **polynomial-time reducible** to problem U_2
- There exists a polynomial reduction R from U_1 to U_2
- $U_1 \leq_{\text{pol}} U_2$
- Problem U_1 is *polynomially no harder than* problem U_2

An example follows

Knapsack Problem

Subset Sum Problem, or (simplified) **Knapsack Problem**:

For a given positive integer K and set S of items x with positive integer size $s(x)$, does there exist a subset T of S whose total size $\sum_{x \in T} s(x)$ equals K ?

K is the size of the knapsack, S contains the items to pack, and s gives their sizes.

The question is whether the knapsack can be filled exactly with a suitable selection T of the items.

Example: item sizes **110, 90, 70, 50, 30, 30, 20**, and $K = 150$

Settling Debts Problems

A group of friends lend each other money throughout the year. They carefully record each transaction. When Alice lends 10 euro to Bob, this is recorded as Alice $\xrightarrow{10}$ Bob.

At the end of the year they wish to settle all their debts. Money can be transferred between any *pair* of persons.

Problem variants:

- minimize the number of transfers
- minimize the total amount transferred
- minimize both

Reduce Knapsack to Settling Debts

Given an instance I for Knapsack, construct an instance $R(I)$ for **Settling Debts**: $|S|$ positive balances $s(x)$ for $x \in S$, and two negative balances $-K$ and $K - \sum_{x \in S} s(x)$. N.B. The total balance = 0.

+110	+90	+70	+50	+30	+30	+20
		-150			-250	

The instance $R(I)$ requires at least $|S|$ transfers to settle, since each positive balance needs an outgoing transfer. A settling of all debts for $R(I)$ with $|S|$ transfers exists if and only if there exists a subset T of S whose total size equals K , that is, when it solves I .

Thus: Knapsack \leq_{pol} Settling Debts *in minimum number of transfers*

Using Polynomial-time Reducibility $U_1 \leq_{\text{pol}} U_2$

(Compare to *algorithmic* reducibility and its uses, in Ch. 4)

If we know $U_1 \leq_{\text{pol}} U_2$, then this can be used in two ways:

1. Polynomial solvability of U_2 implies polynomial solvability of U_1
(Note the order of U_2 and U_1 here)
2. If U_1 *cannot* be solved by a polynomial algorithm, then U_2 *cannot* be solved by a polynomial algorithm

Many problems for which we have not found polynomial algorithms are polynomially equally hard: $U_1 \leq_{\text{pol}} U_2$ and $U_2 \leq_{\text{pol}} U_1$

These problems are called **NP-hard**

Knapsack (Subset Sum) is known to be NP-hard

Hence, **Settling Debts in minimum number of transfers** is NP-hard

Easy/Hard Pairs

- *Hard*: Determine whether a graph has a **Hamiltonian circuit** that visits each **vertex** exactly once

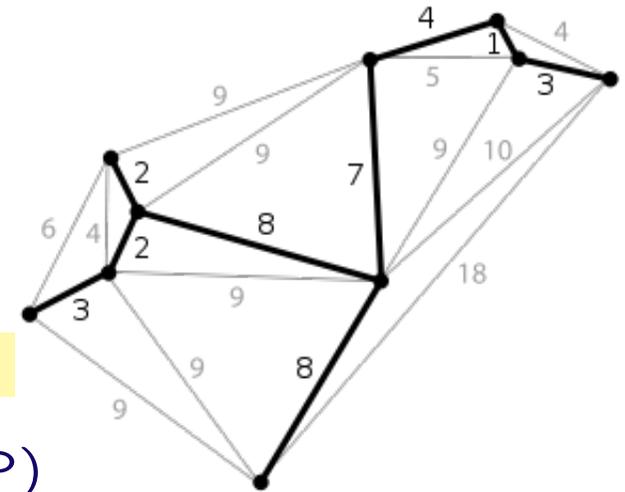
Easy: Determine whether a graph has an **Euler circuit** that visits each **edge** exactly once

- *Hard*: Determine a settling of all debts, that minimizes the **number of transfers**

Easy: Determine a settling of all debts, that minimizes the **total amount transferred**

- *Hard*: **Traveling Salesman Problem** (TSP)

Easy: Determine a **Minimum Spanning Tree** (MST) of a connected, edge-weighted graph: a set of edges of minimum total weight that connects all vertices (this is a *tree*; see figure)



Settling Debts, Minimizing Total Amount Transferred, Is Easy

Here is a greedy* algorithm :

1. Determine the balance b_i for each person
2. While there is still someone with a nonzero balance, do:
 - (a) Select any person i with $b_i < 0$, and any person j with $b_j > 0$
 - (b) Let m be the minimum of $-b_i$ and b_j ; hence, $m > 0$
 - (c) Include transfer $i \xrightarrow{m} j$ in the settlement
 - (d) Increase b_i by m and decrease b_j by m
3. All $b_k = 0$, hence the included transfers settle all debts

*Step 2a makes it greedy: settle maximally among the first candidate pair found

Settling Debts, Minimizing Total Amount Transferred: Proof

$\sum_k b_k = 0$ holds initially and after every iteration of Step 2. (Invariant)

Step 2a is always possible, because $\sum_k b_k = 0$ and not all $b_k = 0$.

The repetition of Step 2 terminates, because in each iteration at least one nonzero b_k is reduced to zero by Step 2d.

Therefore, the number of transfers is at most N (number of persons). In fact, it is at most $N - 1$, because the final two nonzero balances cancel each other in a *single* transfer.

Let P be the total amount of the positive balances, and N the total amount of the negative balances. Hence, $P = -N$. The *minimum total amount to be transferred* equals P .

The total amount transferred equals P , and hence is minimal.

Summary

- Algorithmically solvable does not mean **practically solvable**
- **Time complexity** of an algorithm: how many steps it takes to compute an answer, in relation to input *size* (worst-case)
- **Complexity classes** defined in terms of *asymptotic* complexity: Polynomial time (**P**), Exponential time (**EXP**), ...
- **NP decision problem** \approx YES answer *verifiable* in polynomial time
- **NP-hard**: class of *hardest* NP problems (**polynomial reduction**)
- **$P \stackrel{?}{=} NP$** : Can all NP problems be solved in polynomial time?
- Today we know only *exponential* algorithms for NP-hard problems: **intractable**, practically unsolvable for larger inputs; not hopeless

Not Covered in the Slides

- It is hard to prove lower bounds on the (time) efficiency of algorithms that solve a specific problem
- For some problems, every algorithm solving it can be made more efficient; i.e., there is no lower bound on efficiency (**Blum's Speed-up Theorem**)
- The street supervision problem ($VC = \text{Vertex Cover}$)
- Approximation algorithm for VC