# **2IS55 Software Evolution**

# Implementing evolution: Database migration

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Technische Universiteit **Eindhoven** University of Technology

Where innovation starts

#### Sources

Tom Mens Serge Demeyer (Eds.)

# Software Evolution

Ch. 6

Deringer





#### **Databases**

- Central for information systems
- Contain major company assets: data
- Often developed using outdated technology
  - COBOL might not be hot but is still very much alive
    - 220 bln LOC are being reported
- Migration should
  - Preserve the data
  - Improve the technology used
    - Flexibility
    - Availability of skills

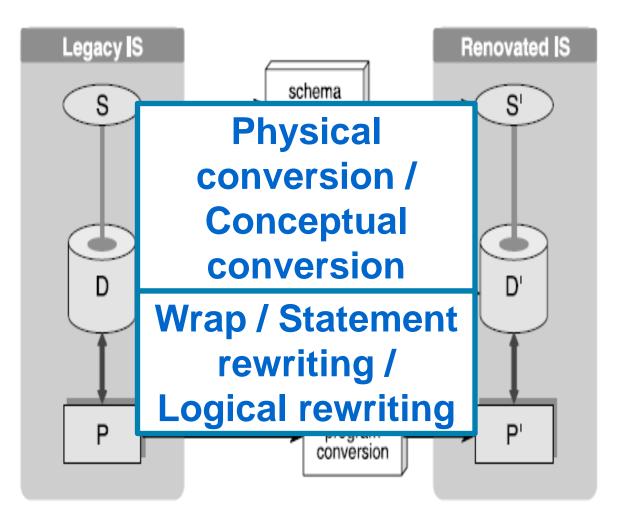


#### **Database migration**

 S – DB schema

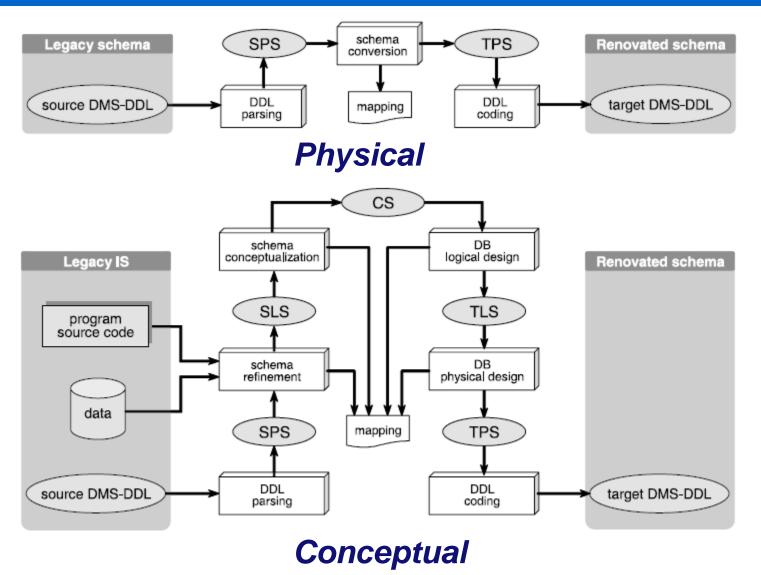
D – DB data

 P – data manipulation programs



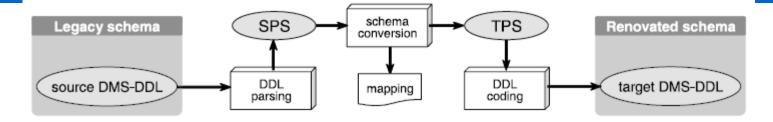


# **Schema conversion: Physical vs Conceptual**





# **Schema conversion: Physical**



#### SQL

#### COBOL

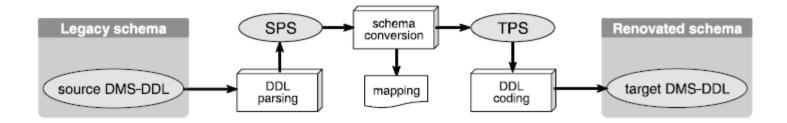
DATA DIVISION. PER FILE SECTION. PER FD PERSON-FILE PER DATA RECORD IS **PERSON-ITEM**. 01 PERSON-ITEM. 02 PERSON-KEY. 03 PERSON-ID PICTURE X(4). 02 PERSON-NAME PICTURE X(20). 02 PERSON-ADDRESS PICTURE X(20). 02 PERSON-CITY PICTURE X(18).

CREATE TABLE **PERSON-ITEM** (PERSON-ID varchar(4) PRIMARY KEY, PERSON-NAME varchar(20), PERSON-ADDRESS varchar(20), PERSON-CITY varchar(18))

> Advantages and disadvantages of physical conversion?



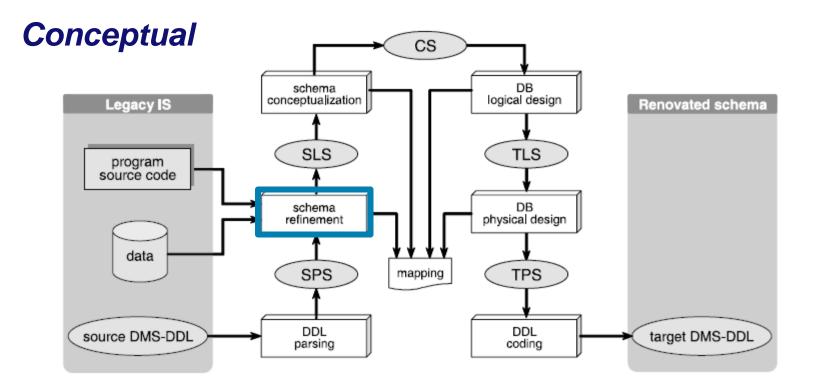
# **Schema conversion: Physical**



- Easy to automate
  - Existing work: COBOL ⇒ relational, hierarchical ⇒ relational, relational ⇒ 00
- "Migration as translation" vs "migration as improvement"
- Semantics is ignored
  - Limitations of COBOL ⇒ Design decisions in the legacy system ⇒ Automatic conversion ⇒ the same design decisions in the new system
    - Risk: compromised flexibility



# **Schema conversion: Physical vs Conceptual**

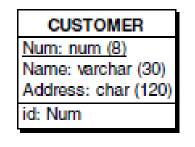


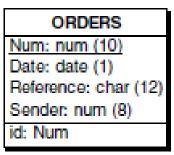
- Refinement: Data and code may contain implicit constraints on the schema
- Conceptualization: Remove implementation details



### **Implicit constraints** [Cleve, Hainaut 2008]

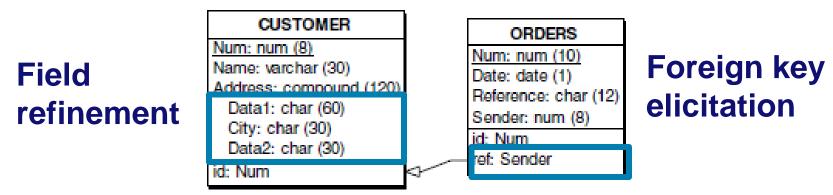
- DB schema as defined by DDL commands
- Query





select substring(Address from 61 for 30) into :CITY
from CUSTOMER C, ORDERS O
where C.Num = O.Sender and O.Num = :ORDID

• What are the implicit constraints implied?





#### **Field refinement**

- Explicit
  - select substring(Address from 61 for 30) into :CITY
- Implicit: 4 code fragments

a) Local variable ("working 01 DESCRIPTION	storage")
02 NAME PIC X(20).	b) DB table ("file section")
02 ADDRESS PIC X(40).	FD CUSTOMER.
02 FUNCTION PIC X(10).	01 CUS.
02 REC-DATE PIC X(10).	02 CUS-CODE PIC X(12).
	02 CUS-DESCR PIC X(80).
	02 CUS-HIST PIC X(1000).

**c)** MOVE DESCRIPTION TO CUS-DESCR.

d) MOVE CUS-DESCR TO DESCRIPTION.

- CUS-DESCR and DESCRIPTION refer to the same data
- They should have the same structure



#### How can we elicit foreign keys?

- Statically and dynamically
  - Do you remember the difference?
- Statically:
  - Parsing (easy for COBOL, difficult for Java)
  - M.Sc. thesis of Martin van der Vlist:
  - "Quality Assessment of Embedded Language Modules"
- Dynamically:
  - Instrument the code
  - Capture traces
  - "Guess constraints"



### **Cardinality constraints: As defined**

<ul> <li>Local variable</li> <li>Array of 20 elements</li> <li>DB attribute</li> </ul>	01 LIST-DETAIL. 02 DETAILS OCCURS 20 TIMES INDEXED BY IND-DET 03 REF-DET-STK PIC 9(5) 03 ORD-QTY PIC 9(5)
FD ORDER. 01 ORD. 02 ORD-CODE PIC 9(10) 02 ORD-CUSTOMER PIC X(12) 02 ORD-DETAIL PIC X(200).	)-

- represent the same info MOVE LIST-DETAIL TO ORD-DETAIL.
- Hence, ORD can be associated to not more than 20 details (and not less than 0 details – trivial)
  - As defined
  - What about the use?



Haraut, Hick, Henrard, Roland, Englebent PAGE 11

#### **Cardinality constraints: As used**

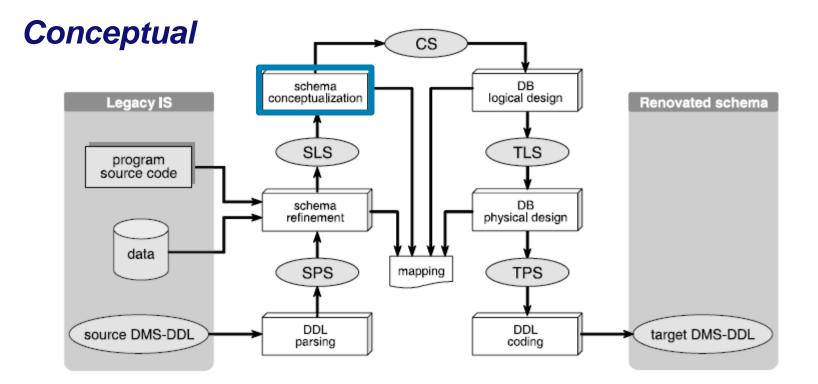
• Look for list traversals: e.g., reading data

SET IND-DET TO 1. MOVE 1 TO END-FILE. PERFORM READ-DETAIL UNTIL END-FILE = 0 OR IND-DET = 21. MOVE LIST-DETAIL TO ORD-DETAIL.

- Here: cardinality as used = cardinality as defined
  - Not always the case



#### **Schema conceptualization**



- So far we only added complexity to the schema
- Conceptualization: Remove implementation details



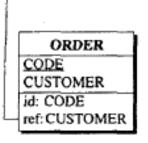
#### **Conceptualization**

- Preparation: "clean up" to understand
  - e.g., rename attributes, drop one-element compounds
- Untranslation: separate logic from limitations of technology
- **De-optimization: separate logic from performance**
- Conceptual normalization:
  - Entities vs. relations and attributes
  - Explicit IS-A relations



### **Untranslation: Foreign keys**

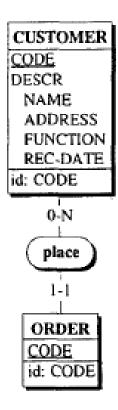
- COBOL allows "direct access" via foreign keys
- DESCR NAME ADDRESS FUNCTION REC-DATE ID id: CODE
  - ER requires a relationship set to connect two entities



CUSTOMER

CODE

- What would be the appropriate cardinality?
  - One customer can place multiple orders
  - Every order can be placed only by one customer





#### **De-optimization**

#### • Recall:

FD ORDER.

01 ORD.

- 02 ORD-CODE PIC 9(10).
- 02 ORD-CUSTOMER PIC X(12).
- 02 ORD-DETAIL PIC X(200).

01 LIST-DETAIL.

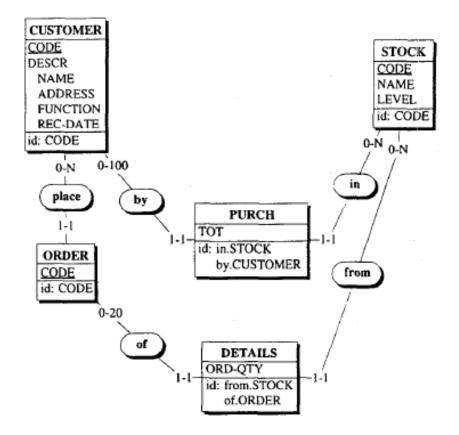
- 02 DETAILS OCCURS 20 TIMES INDEXED BY IND-DET.
  - 03 REF-DET-STK PIC 9(5).
  - 03 ORD-QTY PIC 9(5).

ORD-DETAIL is a complex multi-valued attribute

- Highly efficient COBOL trick
- ORD-DETAIL cannot exist without an order
- How would you model this in ER?
  - Weak entity set
  - One-to-many relationship



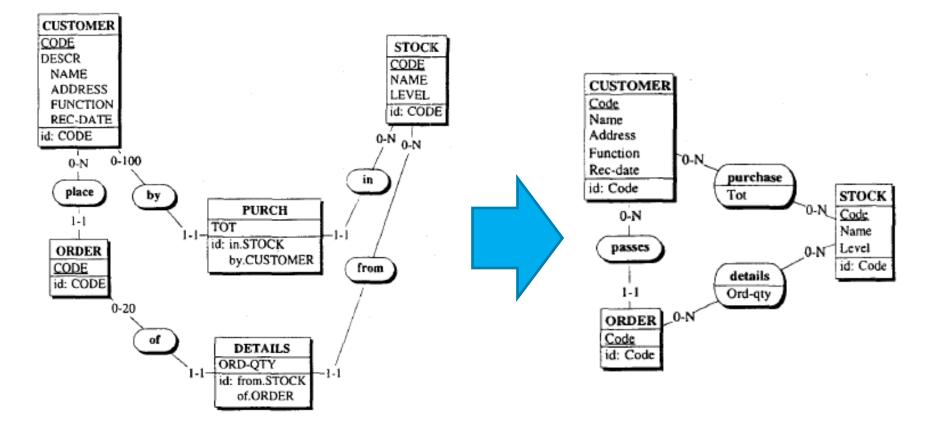
### **Conceptual normalization**



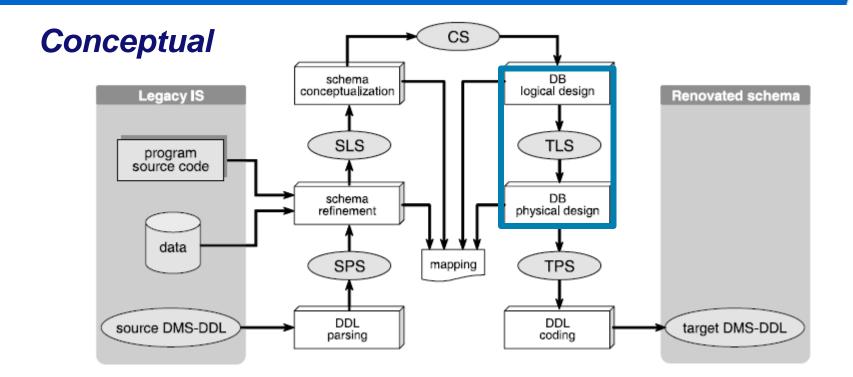
- What would you like to improve in this schema?
  - Are the cardinality constraints meaningful?
  - Which entities are, in fact, relations?
  - Are there unneeded structures?



#### **Conceptual normalization**



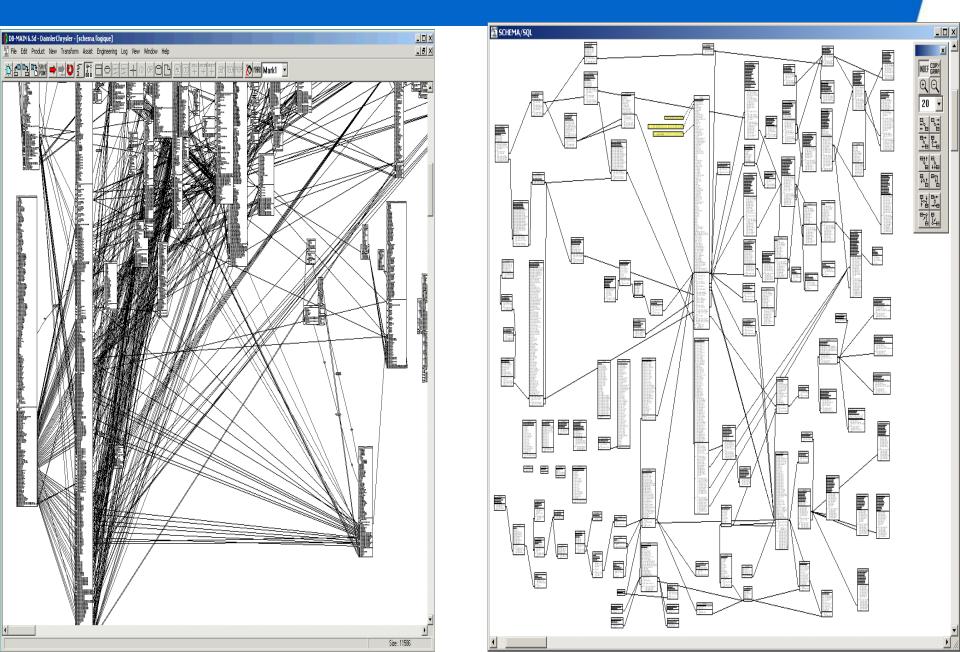




- Logical design: schema concepts ⇒ DB tables
- Physical design: e.g., naming conventions



#### Hainaut 2009: Before and After



	Physical IDS/II	Refined IDS/II	Conceptual	Relational DB2
# entity types	159	159	156	171
# relationship types	148	148	90	0
# attributes	458	9 027	2 176	2 118
max # att./entity type	8	104	61	94

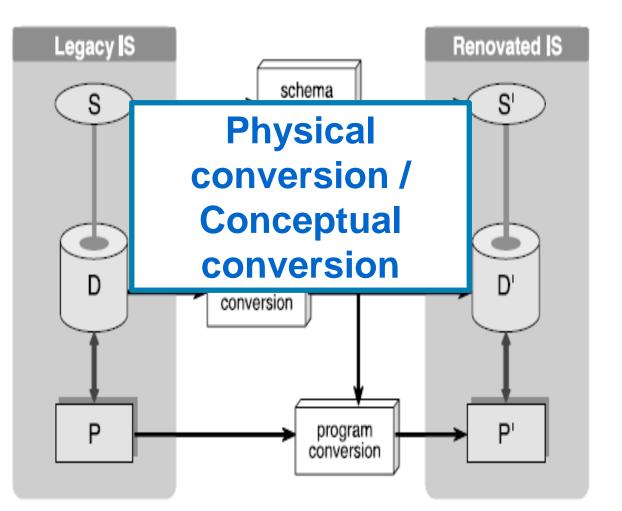
- Refined schema: decomposed attributes
  - Address = Street, Number, City, ZIP, State
- Schema refinement:
  - 89 foreign keys, 37 computed foreign keys, 60 redundancies
- Relational DB2
  - ↑entities: decomposition of arrays



#### Recall...

 So far we have considered DB schemas only

 Next step: data migration





#### **Data migration**

- Strategy depends on the schema migration strategy
- Physical conversion: straightforward
  - Data format conversion
- Conceptual conversion
  - Data may violate implicit constraints
  - Hence, data cleaning is required as preprocessing
  - Once the data has been cleaned up: akin to physical conversion



### What should be cleaned? 1 source [Rahm, Do]

#### Schema-level

#### Can be solved with appropriate integrity constraints

Scope/Problem		Dirty Data	Reasons/Remarks		
Attribute Illegal values		bdate=30.13.70	values outside of domain range		
Record	Violated attribute	age=22, bdate=12.02.70	age = (current date – birth date)		
dependencies			should hold		
Record	Uniqueness	emp1=(name="John Smith", SSN="123456")	uniqueness for SSN (social security		
type	violation	emp <sub>2</sub> =(name="Peter Miller", SSN="123456")	number) violated		
Source	Referential	emp=(name="John Smith", deptno=127)	referenced department (127) not defined		
	integrity violation				

#### Instance-level

Scope/Problem		Dirty Data	Reasons/Remarks		
Attribute	Missing values	phone=9999-999999	unavailable values during data entry (dummy values or null)		
	Misspellings	usually typos, phonetic errors			
	Cryptic values, Abbreviations				
	Embedded values	name="J. Smith 12.02.70 New York"	multiple values entered in one attribute (e.g. in a free-form field)		
	Misfielded values	city="Germany"			
Record	Violated attribute dependencies	city="Redmond", zip=77777	city and zip code should correspond		
Record type	Word transpositions	name <sub>1</sub> = "J. Smith", name <sub>2</sub> ="Miller P."	usually in a free-form field		
	Duplicated records	<pre>emp1=(name="John Smith",); emp2=(name="J. Smith",)</pre>	same employee represented twice due to some data entry errors		
	Contradicting records	<pre>emp<sub>1</sub>=(name="John Smith", bdate=12.02.70); emp<sub>2</sub>=(name="John Smith", bdate=12.12.70)</pre>	the same real world entity is described by different values		
Source Wrong references emp=(name="John Smith", deptno=17)		emp=(name="John Smith", deptno=17)	referenced department (17) is defined but wrong		

# What should be cleaned? Multiple sources

#### • Which DB tuples refer to the same real-world entity?

#### Customer (source 1)

CID	Name	Street	City	Sex
11	Kristen Smith	2 Hurley Pl	South Fork, MN 48503	0
24	Christian Smith	Hurley St 2	S Fork MN	1

#### Client (source 2)

Cno	LastName	FirstName	Gender	Address	Phone/Fax
24	Smith	Christoph	М	23 Harley St, Chicago IL, 60633-2394	333-222-6542 / 333-222-6599
493	Smith	Kris L.	F	2 Hurley Place, South Fork MN, 48503-5998	444-555-6666

#### Customers (integrated target with cleaned data)

No	LName	FName	Gender	Street	City	State	ZIP	Phone	Fax	CID	Cno
1	Smith	Kristen L.	F	2 Hurley Place	South Fork	MN	48503- 5998	444-555- 6666		11	493
2	Smith	Christian	М	2 Hurley Place	South Fork	MN	48503- 5998			24	
3	Smith	Christoph	М	23 Harley Street	Chicago	L	60633- 2394	333-222- 6542	333-222- 6599		24

- Scheme: name and structure conflicts
- Instance: data representation, duplication, identifiers



#### How to clean up data?

- Analyse:
  - Define inconsistencies and detect them
- Define individual transformations and the workflow
- Verify correctness and effectiveness
  - Sample/copy of the data
- Transform
- Backflow if needed
  - If the "old" data still will be used, it can benefit from the improvements.



#### **Data cleaning: Analysis**

- Data profiling
  - Instance analysis of individual attributes
  - Min, max, distribution, cardinality, uniqueness, null values
    - max(age) > 150? count(gender) > 2?
- Data mining
  - Instance analysis of relations between the attributes
  - E.g., detect association rules
    - Confidence(A  $\Rightarrow$  B) = 99%
    - 1% of the cases might require cleaning



#### **Data cleaning: Analysis (continued)**

- Record matching problem:
  - Smith Kris L., Smith Kristen L., Smith Christian, ...
- Matching based on
  - Simplest case: unique identifiers (primary keys)
  - Approximate matching
    - Different weights for different attributes
    - Strings:
      - Edit distance
      - Keyboard distance
      - Phonetic similarity
    - Very expensive for large data sets



#### **Define data transformations**

- Use transformation languages
  - Proprietary (e.g., DataTransformationService of Microsoft)
  - SQL extended with user-defined functions (UDF):

CREATE VIEW Customer2(LName, FName, Street, CID) AS SELECT LastNameExtract(Name), FirstNameExtract(Name), Street, CID)

**FROM Customer** 

CREATE FUNCTION LastNameExtract(Name VARCHAR(255)) RETURNS VARCHAR(255) RETURN SUBSTRING(Name FROM 28 FOR 15)

#### **UDF:** advantages and disadvantages

- Advantages
  - Does not require learning a separate language
- Disadvantages
  - Suited only for information already in a DB
    - What about COBOL files?
  - Ease of programming depends on availability of specific functions in the chosen SQL dialect
    - Splitting/merging are supported but have to be reimplemented for every separate field
    - Folding/unfolding of complex attributes not supported at all.



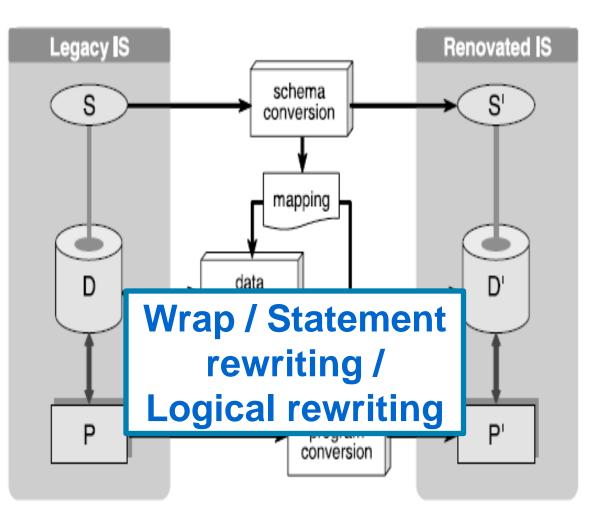
#### **Inconsistency resolution**

- If inconsistency has been detected, the offending instances
  - Are removed
  - Are modified so the offending data becomes NULL
  - Are modified by following user-defined preferences
    - One table might be more reliable than the other
    - One attribute may be more reliable than the other
  - Are modified to reduce the (total) number of modifications required to restore consistency



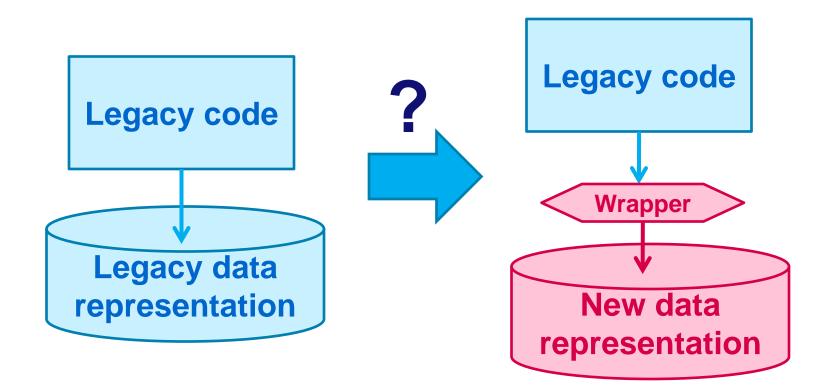
# From data to programs

- So far: schemas and data
- Next : programs
  - Wrapping
  - Statement
     rewriting
  - Program rewriting





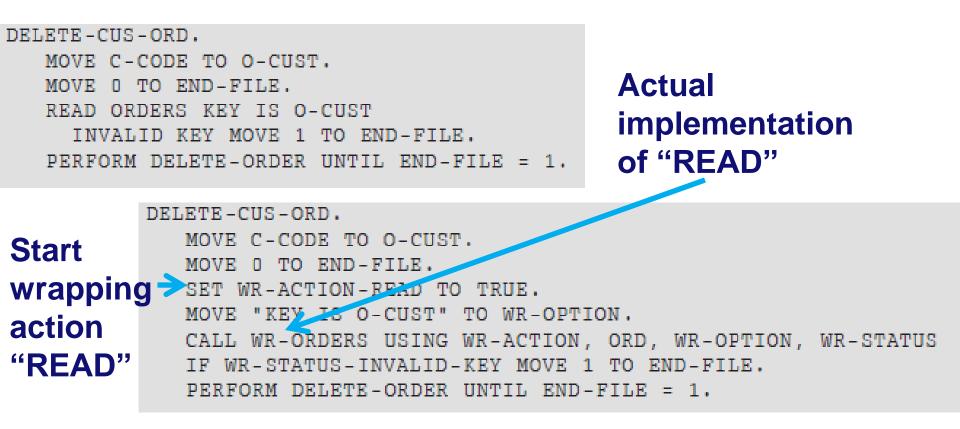
#### Wrappers





#### Wrappers

 Replace "standard" OPEN, CLOSE, READ, WRITE with wrapped operations





#### • [Thiran, Hainaut]: wrapper code can be reused

#### Cannot be expressed in the DB itself Upper wrapper Manually written Model wrapper Instance wrapper Wrapper

Common to all DMS in the family: cursor, transaction

Specific to the given DB: query translation, access optimization



# Wrapping: Pro and Contra

- Wrapping
  - Preserves logic of the legacy system
  - Can be (partially) automated
- Physical + wrapper:
  - Almost automatic (cheap and fast)
  - Quality is poor, unless the legacy DB is well-structured
- Conceptual + wrapper:
  - More complex/expensive
  - Quality is reasonable: "First schema, then code"
  - Possible performance penalty due to complexity of wrappers
    - Mismatch: "DB-like" schema and "COBOL like" code

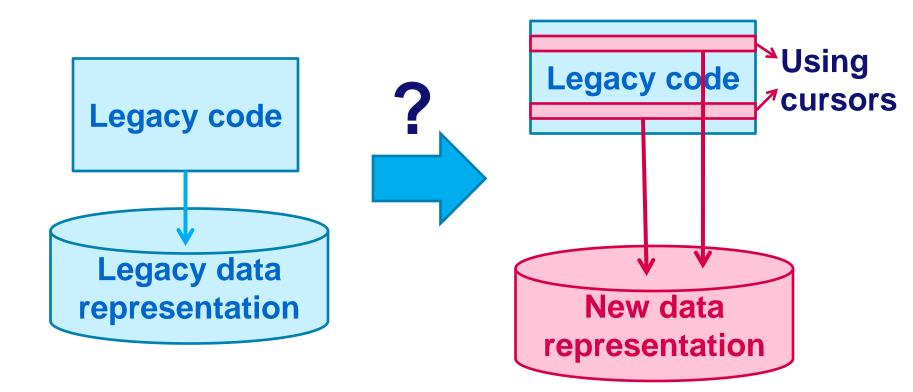


# Wrapping in practice

Table 6.2. Program transformation results		
	Migrated	Manually transformed
# programs	669	17
# copybooks	3 917	68
# IDS/II verbs	5 314	420

- Wrappers
  - 159 wrappers
  - 450 KLOC







 Control structure for the successive traversal of records in a query result

_	EXEC SQL DECLARE CURSOR ORD_GE_K1 FOR
<ul> <li>Cursor</li> </ul>	SELECT CODE, CUS_CODE
declaration	FROM ORDERS WHERE CUS_CODE >= :0-CUST
uecialation	ORDER BY CUS_CODE
	END-EXEC.

What will this cursor return? O\_CUST = J12

CUS_CODE	CODE
J11	12
J12	11
J13	14
K01	15

Why would you like to use such a cursor?

READ ORDERS KEY IS O-CUST

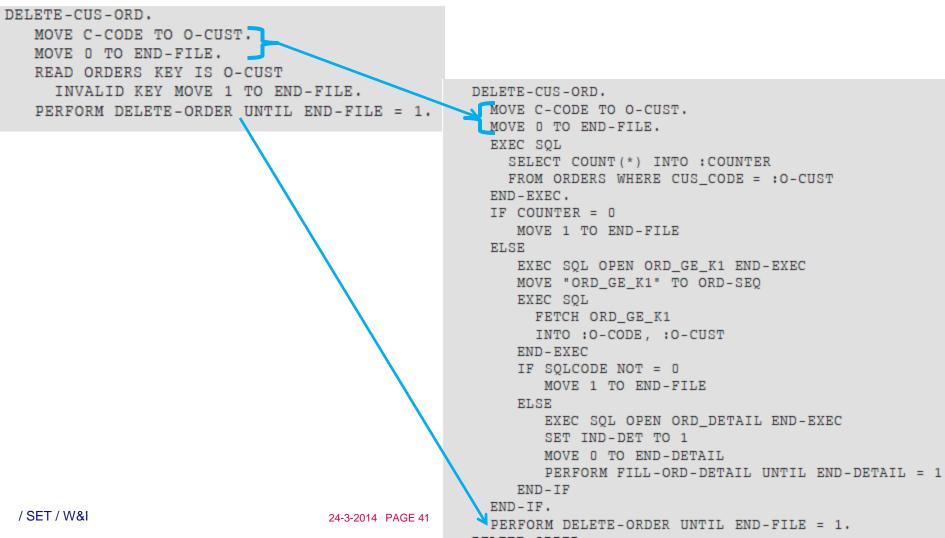
COBOL READ: Sequential reading starting from the first tuple with the given key

#### Cursor?..

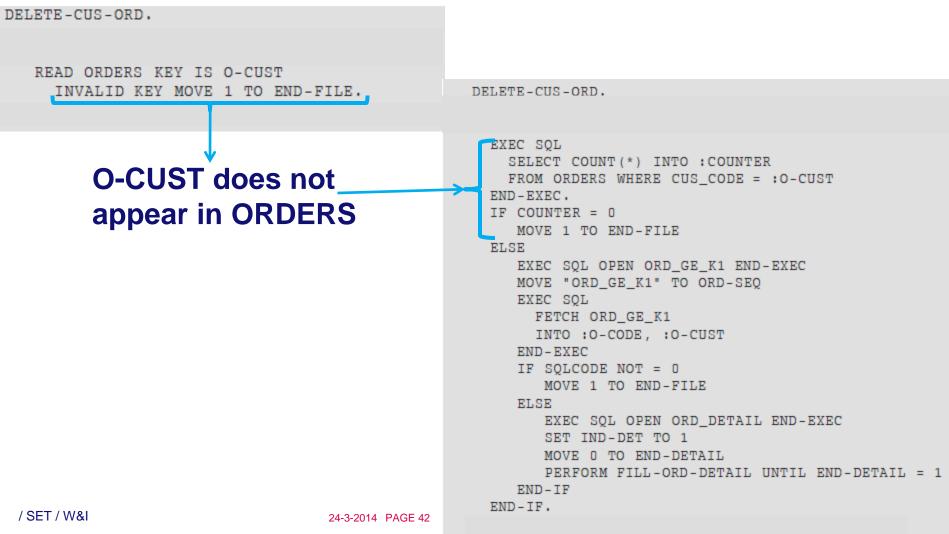
- Control structure for the successive traversal of records in a query result
- Cursor declaration EXEC SQL DECLARE CURSOR ORD\_GE\_K1 FOR SELECT CODE, CUS\_CODE FROM ORDERS WHERE CUS\_CODE >= :0-CUST ORDER BY CUS\_CODE END-EXEC.
- Opening a EXEC SQL OPEN ORD\_GE\_K1 END-EXEC
   CURSOR
   EXEC SQL
- Retrieving
   data
- EXEC SQL FETCH ORD\_GE\_K1 INTO :O-CODE, :O-CUST END-EXEC
- Closing cursor



#### Replace "standard" OPEN, CLOSE, READ, WRITE with explicit SQL operations



#### Replace "standard" OPEN, CLOSE, READ, WRITE with explicit SQL operations



#### Replace "standard" OPEN, CLOSE, READ, WRITE with explicit SQL operations

DELETE-CUS-ORD.

READ ORDERS KEY IS O-CUST

DELETE-CUS-ORD.

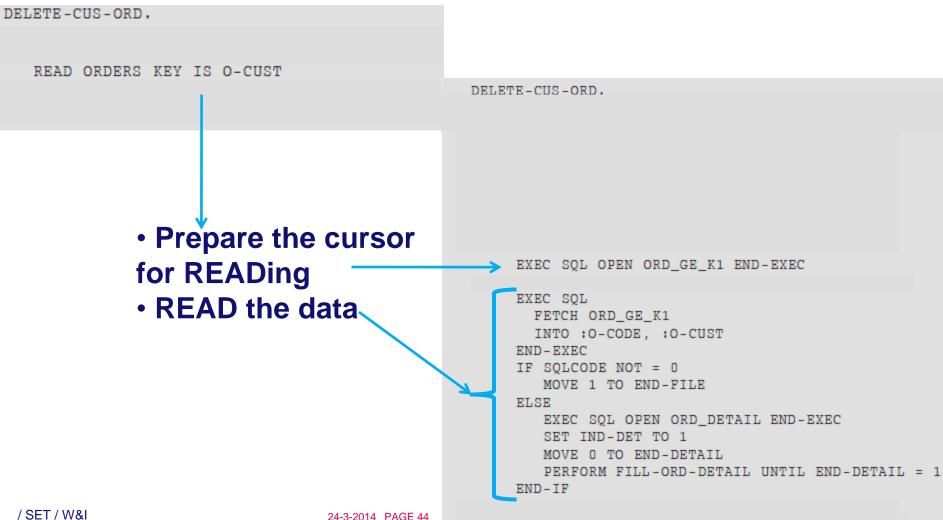
• Files can have multiple keys and multiple READ commands

#### • We need to remember which key/READ is used!

IF ORD-SEQ = "ORD\_GE\_K1"
EXEC SQL
FETCH ORD\_GE\_K1 INTO :O-CODE,:O-CUST
END-EXEC

EXEC SQL OPEN ORD\_GE\_K1 END-EXEC MOVE "ORD\_GE\_K1" TO ORD-SEQ EXEC SQL FETCH ORD\_GE\_K1 INTO :O-CODE, :O-CUST END-EXEC IF SQLCODE NOT = 0 MOVE 1 TO END-FILE ELSE EXEC SQL OPEN ORD\_DETAIL END-EXEC SET IND-DET TO 1 MOVE 0 TO END-DETAIL PERFORM FILL-ORD-DETAIL UNTIL END-DETAIL = 1 END-IF

#### Replace "standard" OPEN, CLOSE, READ, WRITE • with explicit SQL operations



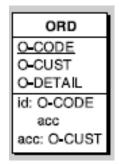
#### Replace "standard" OPEN, CLOSE, READ, WRITE with explicit SQL operations

DELETE-CUS-ORD.

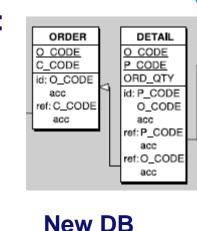
READ ORDERS KEY IS O-CUST

DELETE-CUS-ORD.

#### • We need additional cursor and procedure to read the order details:



Legacy DB



EXEC SQL OPEN ORD\_GE\_K1 END-EXEC EXEC SQL FETCH ORD\_GE\_K1 INTO :O-CODE, :O-CUST END-EXEC IF SQLCODE NOT = 0 MOVE 1 TO END-FILE ELSE EXEC SQL OPEN ORD\_DETAIL END-EXEC SET IND-DET TO 1 MOVE 0 TO END-DETAIL PERFORM FILL-ORD-DETAIL UNTIL END-DETAIL = 1 END-IF

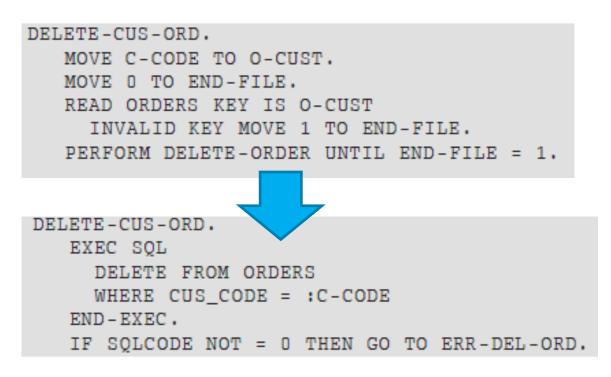
# **Statement rewriting: Pro and Contra**

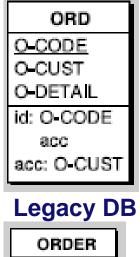
- Statement rewriting
  - Preserves logic of the legacy system
  - Intertwines legacy code with new access techniques
  - Detrimental for maintainability
- Physical + statement
  - Inexpensive and popular
  - Blows up the program: from 390 to ~1000 LOC
  - Worst strategy possible
- Conceptual + statement
  - Good quality DB, unreadable code: "First schema, then – code"
  - Meaningful if the application will be rewritten on the short term

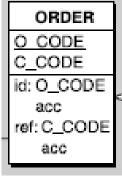


# **Alternative 3: Logic Rewriting**

- Akin to conceptual conversion
  - e.g., COBOL loop  $\Rightarrow$  SQL join
  - And meaningful only in combination with it
    - Otherwise: high effort with poor results



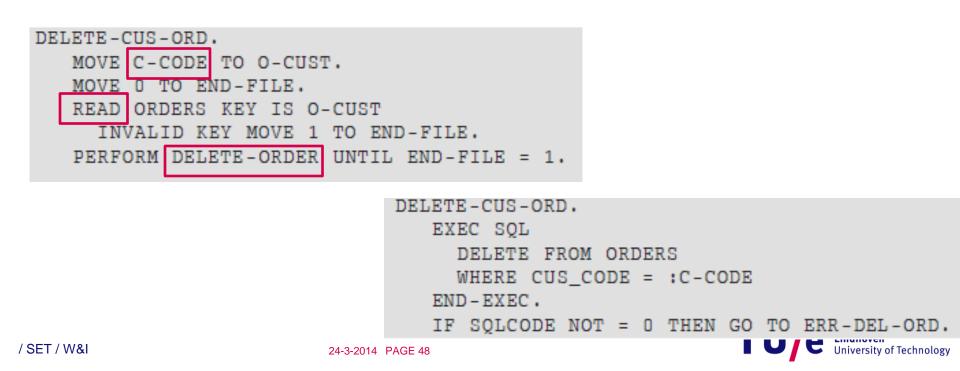




New DB Technische Universiteit Eindhoven University of Technology

## **Alternative 3: Logic Rewriting**

- Manual transformation with automatic support
  - Identify file access statements
  - Identify and understand data and statements that depend on these statements
  - Rewrite these statements and redefine the objects

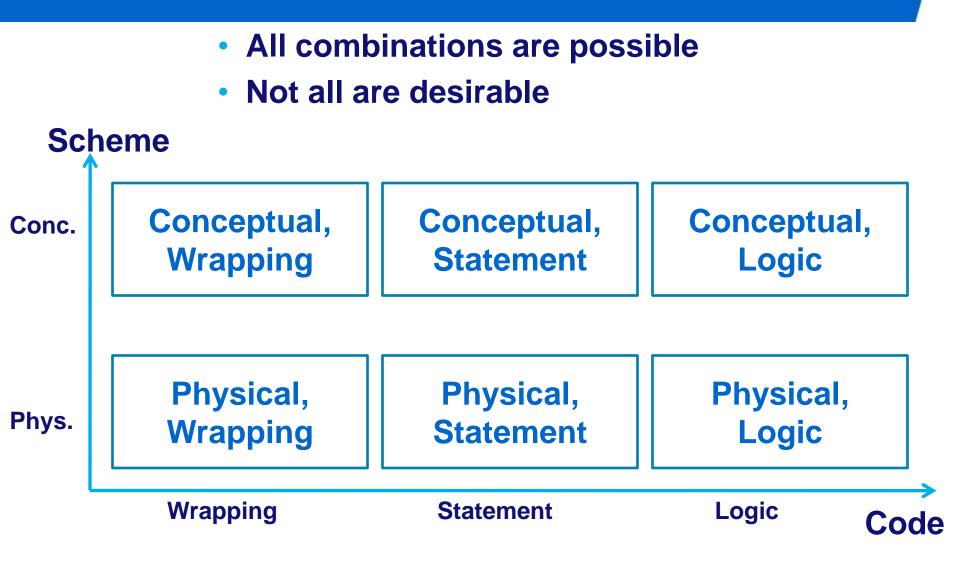


# Logic rewriting: Pro and Contra

- Logic rewriting + physical
  - Low quality DB
  - High costs due to logic rewriting
  - Unfeasible
- Logic rewriting + conceptual
  - High quality
  - Highest costs



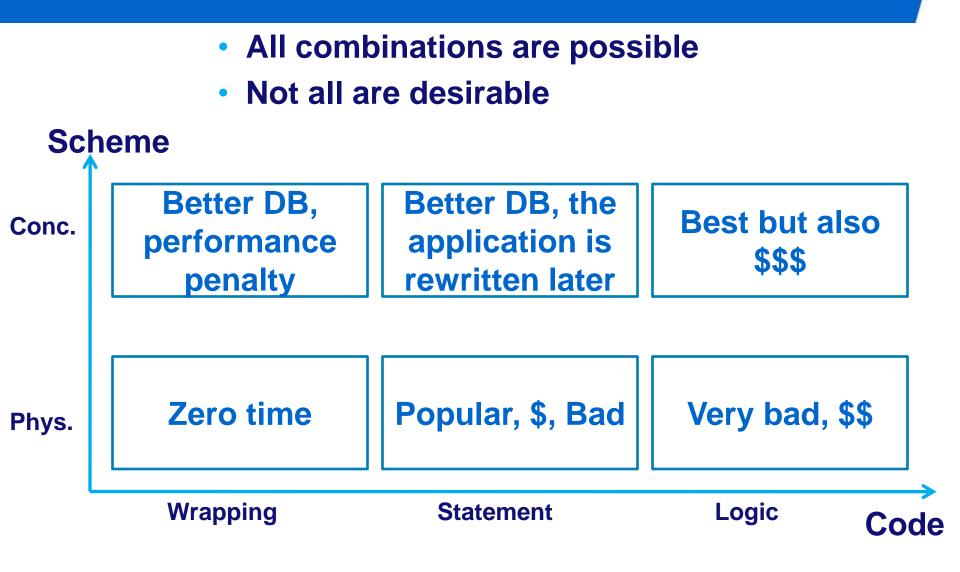
# **Putting it all together**





/ SET / W&I

# **Putting it all together**





# Tools

- DB-MAIN CASE tool (University of Namur, ReVeR)
  - DDL extraction
  - Schema storage, analysis and manipulation
  - Implicit constraint validation
  - Schema mapping management
  - Data analysis & migration
  - Wrapper generation (COBOL-to-SQL, CODASYL-to-SQL)
- Transformations
  - Eclipse Modelling Framework: ATL
  - ASF+SDF Meta-Environment (CWI, Amsterdam)



# Conclusions

- 3 levels of DB migration: schema, data, code
- Schema: physical/conceptual
- Data: determined by schema
- Code: wrapper/statement rewriting/logical rewriting
- Popular but bad: physical + statement
- Expensive but good: conceptual + logic
- Alternatives to consider:
  - conceptual + wrapping/statement
  - physical + wrapping (zero time)

