

# Streams in Coq

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# Coinductive data types

Coinductive definitions look very much like inductive definitions:

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CoInductive stream (T: Set): Set :=  
  Cons: T -> stream T -> stream T.
```

Cons is the *constructor*.

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Cons is the *constructor*.

But the rules for what is a well-formed object of a coinductive type are different

- Terms of a coinductive type can represent infinite objects.
- These terms are evaluated *lazily*.
- Well-definedness is guaranteed via *guardedness*.

# Definition of streams

```
CoInductive Stream (T: Type): Type :=  
  Cons: T -> Stream T -> Stream T.
```

## How to define

```
ones : Stream nat  
ones = 1 :: ones
```

```
CoFixpoint ones : Stream nat :=  
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```
CoFixpoint ones : Stream nat :=  
  Cons 1 ones.
```

The recursive call to `ones` is guarded by the constructor `Cons`.  
The term `ones` does not reduce to `Cons 1 ones`.

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CoFixpoint ones: Stream nat :=  
  Cons 1 ones.
```

The definition of `ones` is *productive*: it is equal to an expression of the form

$$a_0 :: a_2 \dots :: a_n :: \text{ones}$$

with  $n > 0$ .

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In Coq, productivity is guaranteed via the syntactic criterion of *guardedness*.

```
CoFixpoint simple : Stream nat := simple.
```

is not accepted by Coq.



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Coq only allows corecursive definitions that are guarded.

Productivity is not decidable, but guardedness is.  
Coq only allows corecursive definitions that are guarded.  
For streams this means that the *CoFixpoint definition* should look like this:

$$\text{CoFixpoint } s \vec{p} = a_0 :: a_1 :: \dots :: a_n : s \vec{q} \quad \text{with } n > 1$$

A CoFixpoint definition  $\text{CoFixpoint } s \ \vec{p} = \text{Cons } a(s \ \vec{q})$  does *not* reduce:

$$s \ \vec{p} \not\rightarrow \text{Cons } a(s \ \vec{q})$$

A CoFixpoint definition  $\text{CoFixpoint } s \vec{p} = \text{Cons } a (s \vec{q})$  does *not* reduce:

$$s \vec{p} \not\rightarrow \text{Cons } a (s \vec{q})$$

But it does “under a match”:

$$\text{match } s \vec{p} \text{ with Cons } x t \Rightarrow E(x, t) \text{ end} \longrightarrow E(a, s \vec{q})$$

The following definition is not accepted by a Coq

```
CoFixpoint filter (p:A->bool) (s:Stream A) : Stream A :=
  match s with
  | Cons a t => if (p a)
                then Cons a (filter p t)
                else (filter p t)
  end.
```

The second occurrence of `filter` is not guarded by a `Cons`.

# Destructors on streams

```
Definition hd (s:Stream) := match s with  
  | Cons a t => a  
  end.
```

```
Definition tl (s:Stream) := match s with  
  | Cons a t => t  
  end.
```

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    | Cons a t => t  
    end.
```

```
Definition head (s:Stream) := let (a,t) := s in a.
```

```
Definition tail (s:Stream) := let (a,t) := s in t.
```

# Relating streams and functions

There is an isomorphism between `Stream A` and `nat -> A`.

```
CoFixpoint F2S (f:nat->A) : Stream A :=  
  Cons (f 0) (F2S (fun n:nat => f (S n))).
```

This just defines

$$F(f) := f(0) :: F(\lambda n.f(n+1))$$

which is correct, because  $F$  is guarded by the constructor.



# Relating streams and functions

We compute the  $n$ th tail and the  $n$ th element of a stream.

```
Fixpoint Str_nth_tl (n:nat) (s:Stream A) : Stream A
  match n with
  | 0 => s
  | S m => Str_nth_tl m (tl s)
  end.
```

```
Definition Str_nth (n:nat) (s:Stream A) : A :=
  hd (Str_nth_tl n s).
```

```
Definition S2F (s :Stream A) (n:nat) : A :=
  Str_nth n s.
```

This just defines

$$\text{S2F}(s) := \lambda n. \text{hd}(\text{tl}^n(s))$$

# Relating streams and functions

We can prove by induction on  $n$

$$\forall n \text{ S2F(F2S}(f))(n) = f(n)$$

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How to prove

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What is the equality on streams?

# Digression: Equality on streams

```
CoInductive EqSt (s1 s2: Stream) : Prop :=  
  eqst :  
    hd s1 = hd s2 ->  
      EqSt (tl s1) (tl s2) -> EqSt s1 s2.
```

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This is the *coinductive equality* on streams.

A proof of `EqSt s1 s2` must have the shape

```
CoFixpoint eq_proof (...) := eqst p eq_proof (...)
```

so the definition of `eq_proof` must be *guarded by* `eqst`.

# Examples of Equality on streams

Equality is reflexive:

```
CoFixpoint EqSt_reflex (s : Stream) : EqSt s s :=  
  eqst s s (refl_equal (hd s)) (EqSt_reflex (tl s))  
  
  : forall s : Stream, EqSt s s
```

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: forall s : Stream, EqSt s s
```

```
CoFixpoint Eq_sym (s1 s2 : Stream) (H : EqSt s1 s2)
  : EqSt s2 s1 :=
  eqst s2 s1 (let (H0,_) := H in sym_eq H0)
  (Eq_sym (let (_,H1) := H in H1)).

: forall s1 s2 : Stream, EqSt s1 s2 -> EqSt s2 s1
```



# Relating streams and functions

```
CoInductive EqSt (s1 s2: Stream) : Prop :=
eqst :
  hd s1 = hd s2 ->
    EqSt (tl s1) (tl s2) -> EqSt s1 s2.
```

We prove  $F2S(S2F(s)) = s$  by

```
CoFixpoint id_on_str (s : Stream A) :
EqSt (F2S (S2F s)) s :=
eqst (F2S (S2F s)) s (refl_equal (hd s))
  match s with
  | Cons _ s1 => id_on_str s1
  end.
```

`id_on_str` is guarded by `eqst`.

# Relating streams and functions

```
CoInductive EqSt (s1 s2: Stream) : Prop :=
eqst :
  hd s1 = hd s2 ->
    EqSt (tl s1) (tl s2) -> EqSt s1 s2.
```

We prove  $F(G(s)) = s$  by

```
Lemma ident_on_str : forall s: Stream A, EqSt (F2S
Proof with auto.
cofix ident_on_str.
destruct s.
simpl.
apply identity_on_str.
Qed.
```

# Final Coalgebras in Coq

$$\begin{array}{ccc} X & \xrightarrow{\exists f} & A^\omega \\ \langle c, g \rangle \downarrow & & \downarrow \langle \text{hd}, \text{tl} \rangle \\ A \times X & \xrightarrow{1 \times f} & A \times A^\omega \end{array}$$

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For  $c : X \rightarrow A$ ,  $g : X \rightarrow X$ , there is an  $f : X \rightarrow \text{Stream}A$  such that

- $\forall x : X \text{ (hd}(f(x)) = c(x))$
- $\forall x : X \text{ (tl}(f(x)) = f(g(x)))$

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- $\forall x : X \text{ (hd}(f(x)) = c(x))$
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The first  $=$  is on  $A$ , the second  $=$  is on  $\text{Stream}A$  (so:  $\text{EqSt}$ ).

We call  $f$  the *coiteration* of  $c$  and  $g$ .

# Final Coalgebras in Coq

```
CoFixpoint coit : X -> Stream A :=  
  fun x: X => Cons (c x) (coit (g x)).
```

```
Lemma hd_coit: forall x:X, hd (coit x) = c x.
```

```
Lemma tl_coit: forall x:X,  
  EqSt (tl (coit x)) (coit (g x)).
```

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In final coalgebras:  $f := \text{coit } c \ g$  is *unique*. Can we prove that in Coq? If  $\forall x : X (\text{hd}(f'(x)) = c(x))$  and  $\forall x : X (\text{tl}(f'(x)) = f'(g(x)))$ , then  $\forall x : X (f'(x) = \text{coit } c \ g(x))$ .



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Lemma unique: forall f : X -> Stream A,  
 (forall x:X, hd (f x) = c x) ->  
 (forall x:X, EqSt (tl (f x)) (f (g x))) ->  
 (forall x:X, EqSt (f x) (coit x)).

We can prove this

# Bisimulation and Stream equality in Coq

If two streams are bisimilar, they are stream-equal.

```
Variable R: (Stream A) -> (Stream A) -> Prop.
```

```
Hypothesis bisim: forall s t, R s t ->  
  hd s = hd t /\ R(tl s)(tl t).
```

```
Lemma bisim_implies_EqSt : forall s t, R s t ->  
  EqSt s t.
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If two streams are stream-equal, they are bisimilar.

```
Lemma EqSt_implies_bisim :  
  exists Q : Stream A -> Stream A -> Prop,  
  (forall s t, Q s t -> hd s = hd t /\ Q(tl s)(tl t)  
    /\ forall s t, EqSt s t -> R s t).
```

# End of Part one

This is the end of part one.

# The Hamming Stream in Coq (Eelis vd Weegen)

- All natural numbers of the form  $2^i 3^j 5^k$
- ... in increasing order.

1, 2, 3, 4, 5, 6, 8, 9, 10, 12, 15, 16, 18, 20, 24, 25, 27, 30, 32, 36, ...

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Popularized by Edsger Dijkstra

We would like to

- Write a formal specification for the Hamming stream
- Give an implementation of the Hamming stream (an algorithm, e.g. Dijkstra's)
- Prove that the implementation satisfies the specification
- All this formally in Coq ...

# Dijkstra's Hamming-Stream Algorithm

Algorithm uses the `merge` function

```
merge :: [Integer] -> [Integer] -> [Integer]
merge (x:xs) (y:ys)
  | x < y    = x : merge xs (y:ys)
  | x > y    = y : merge (x:xs) ys
  | x == y   = x : merge xs ys
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hamming :: [Integer]
hamming = 1 :
  merge (map (* 2) hamming) (
    merge (map (* 3) hamming)
      (map (* 5) hamming) )
```

# Dijkstra's Hamming-Stream Algorithm

```
hamming :: [Integer]
hamming = 1 :
  merge (map (* 2) hamming) (
    merge (map (* 3) hamming)
      (map (* 5) hamming) )
```

- Functional
- Efficient
- Elegant(?)
- Representation of streams via the usual list datatype

# Formal specification

A stream  $s$  is the Hamming stream if

- when a number occurs in  $s$ , it has the right shape (“soundness”);
- when a number has the right shape, it occurs in  $s$  (“completeness”);
- $s$  is increasing.

```
Record hamming_stream: Set :=  
{ s :      Stream nat  
; s_sound: everywhere smooth s  
; s_comp  : forall n, smooth n -> in_stream (eq n) s  
; s_incr  : increases s  
}.
```

# Dijkstra's Hamming-stream algorithm

It is not possible to use Dijkstra's Hamming-stream algorithm directly in Coq.

Problem: Coq requires a CoFixpoint definition to be *explicitly guarded*. Dijkstra's Hamming-stream algorithm is not guarded:

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    merge (map (* 3) hamming)  
      (map (* 5) hamming) )
```

"map" and "merge" could do anything, e.g. take the tail of a list

...

```
CoFixpoint ff (s:Stream nat) :=  
  Cons 1 (tl (ff s)).
```

is ill-formed.

# Another Hamming-stream algorithm

How to formalize the Hamming stream?

- Use another algorithm that is (syntactically) guarded.
- Tricks to use Dijkstra's algorithm after all.

# Another Hamming-stream algorithm

```
CoFixpoint ham_from (l: ne_list nat): Stream nat :=
  Cons (head l) (ham_from (
    enqueue (head l * 2) (
      enqueue (head l * 3) (
        enqueue (head l * 5) (tail l))))))
```

where `enqueue` takes an  $n$  and an increasing list  $l$  and puts  $n$  "at the right place" in  $l$ :

```
Fixpoint enqueue(n: nat) (l: list nat) : ne_list nat
  match l with
  | nil => [n]
  | h :: t =>
    if n < h then n :: l else
      if n = h then h :: t else
        h :: (enqueue n t)
  end.
```



# The original Hamming-stream algorithm?

Define hamming and merge on lists:

```
Definition ham_body (l: list nat): list nat :=  
  cons 1 (merge (map (mult 2) l)  
    (merge (map (mult 3) l) (map (mult 5) l))).
```

# The original Hamming-stream algorithm?

Define hamming and merge on lists:

```
Definition ham_body (l: list nat): list nat :=
cons 1 (merge (map (mult 2) l)
(merge (map (mult 3) l) (map (mult 5) l))).
```

```
n | repeat_apply ham_body nil n
```

-----

```
0 | 1
```

```
1 | 1, 2, 3, 5
```

```
2 | 1, 2, 3, 4, 5, 6, 9, 10, 15, 25
```

```
3 | 1, 2, 3, 4, 5, 6, 8, 9, 10, 12, 15, 18, 20, 25
```

Taking the "diagonal" produces the Hamming stream.

# Some conclusions

- Coq can serve as a general framework for specifying and implementing streams and for proving that implementations satisfy their specifications.
- The implementation language is restricted (only guarded definitions, only terminating algorithms), so one cannot "just" write the Haskell algorithm in Coq.
- Ad hoc and more generic approaches exist to encode Hamming, Fib, Thue-Morse and to prove their correctness.