

Arithmetical Self-Similarity

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introduction

an infinite sequence w over $\Sigma_m = \{0, 1, \dots, m-1\}$ is called *scale-invariant* if for all $k > 0$:

$$w/k = w + c \pmod{m}$$

for some $c \in \Sigma_m$.

the paperfolding sequence

the paperfolding sequence $PF = T(0?1?) \in \Sigma_2^\omega$ is scale-invariant!

$PF/1 = 00100110001101100010011100110110 \dots$

$PF/2 = 0010011000110110 \dots$

$PF/3 = 11011000110110 \dots$

$PF/5 = 00100110 \dots$

\vdots

\vdots

\vdots

\vdots

questions

- ▶ what is the underlying construction for scale-invariant sequences?
- ▶ determine the arithmetical self-similarity (= set of arith. subsequences similar) of several (classes of) streams
- ▶ relation to fractals: is scale-invariance a sufficient criterion for turtle drawings to converge to a fractal curve?

notation

- ▶ an infinite sequence (stream) w over an alphabet Σ is a function $w : \{1, 2, 3, \dots\} \rightarrow \Sigma$. we write Σ^ω for the set of all infinite sequences over Σ .
- ▶ let $\bar{\mathbb{N}} = \mathbb{N} \cup \{\infty\}$, and $m \in \bar{\mathbb{N}}$.

$$\Sigma_m = \begin{cases} \{0, 1, \dots, m-1\} & \text{if } m \in \mathbb{N}, \\ \mathbb{N} & \text{if } m = \infty. \end{cases}$$

$$a +_m b = \begin{cases} a + b \bmod m & \text{if } m \in \mathbb{N}, \\ a + b & \text{if } m = \infty. \end{cases}$$

- ▶ let $w = w_1 w_2 w_3 \dots \in \Sigma_m$ and $c \in \Sigma_m$.
 $w +_m c = (w_1 +_m c) (w_2 +_m c) \dots$

arithmetic subsequences

- ▶ let $w \in \Sigma^\omega$ and $a, b > 0$. the sequence $w_b^a \in \Sigma^\omega$ defined by:

$$w_b^a = w(a) w(a+b) w(a+2b) \dots, \text{ i.e.}$$

$$w_b^a(n) = w(a + (b-1)n)$$

is called an *arithmetic subsequence* of w .

- ▶ $w/k = w_k^k = w(k) w(2k) w(3k) \dots$
- ▶ $(w_b^a)_d^c = w_{bd}^{a+(c-1)b}$ (and $(w/k)/\ell = w/k\ell$).

completely additive

- ▶ let $\mathbf{P} = \{2, 3, 5, \dots\}$ denote the set of primes
- ▶ $w \in \Sigma_m^\omega$ is *additive* if for all $a, b > 0$ relatively prime

$$w(ab) = w(a) +_m w(b) \tag{1}$$

and *completely additive* if (1) holds for all $a, b > 0$.

- ▶ e.g. logarithm is completely additive $\log 6 = \log 2 + \log 3$

p -adic valuation

- ▶ let p be prime. the p -adic valuation of $n > 0$ is defined by:

$$v_p(n) = \max \{a \mid p^a \text{ divides } n\}$$

- ▶ clearly v_p is completely additive: $v_p(n_1 n_2) = v_p(n_1) + v_p(n_2)$

▶

$$v_p(n) = \begin{cases} v_p(n/p) + 1 & \text{if } n \equiv 0 \pmod{p} \\ 0 & \text{otherwise} \end{cases}$$

and hence

$$v_p = T_\infty(0^{p-1} ?^{+1})$$

prime generated sequences

- ▶ completely additive sequences are determined by their values at prime positions . . .
- ▶ . . . there must be a one-one correspondence to multisets of primes !
- ▶ a *multiset* X on a set S is a function $X : S \rightarrow \mathbb{N}$.
for $a \in S$, $X(a)$ is the *multiplicity of a in X* .
- ▶ the sequence $\Psi_m(X) \in \Sigma_m^\omega$ generated by $X : \mathbf{P} \rightarrow \mathbb{N}$ is the sum of all p -adic sequences with $p \in X$:

$$\Psi_m(X)(n) = \sum_{p \in \mathbf{P}} X(p) \cdot v_p(n) \pmod{m}, \quad \text{for all } n > 0.$$

$$\text{PD} = \Psi_2(2) = T_2(0?^{+1})$$

- ▶ the period doubling sequence **PD** is defined as 2-adic valuation modulo 2, i.e.

$$\text{PD} = \Psi_2(2) = 0100010101000100010001010100 \dots$$

- ▶ sequences generated by singleton p are Toeplitz words:

$$\Psi_m(p) = T_m(0^{p-1} ?^{+1}). \text{ so } \text{PD} = T_2(0?^{+1})$$

- ▶ note that $(0\bar{1})[0?^{+1}] = 010?$ is the more familiar pattern to define $\text{PD} = T_2(010?)$

$\Psi_2(\{2, 3, 3\})$

n	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	...
$v_2(n)$	0	1	0	2	0	1	0	3	0	1	0	2	0	1	0	...
$2 \cdot v_3(n)$	0	0	2	0	0	2	0	0	4	0	0	2	0	0	2	...
$\Psi_2(\{2, 3, 3\})$	0	1	2	2	0	3	0	3	4	1	0	4	0	1	2	...

$\Psi_{\infty}(\mathbf{P})$

$$\Psi_{\infty}(\mathbf{P}) = \text{A001222} = 0112121322131224131322142 \dots$$

prime generated = completely additive

- ▶ p.g. sequences are c.a.: $\Psi_m(X)(n_1 n_2) = \Psi_m(X)(n_1) +_m \Psi_m(X)(n_2)$
(just because p -adic valuation is)
- ▶ c.a. sequences are p.g.: the multiset generating a c.a. sequence w simply is $w|_{\mathbf{P}}$, i.e., the function $w : \mathbb{N}_+ \rightarrow \mathbb{N}$ restricted to the domain \mathbf{P} .

completely additive = scale-invariant

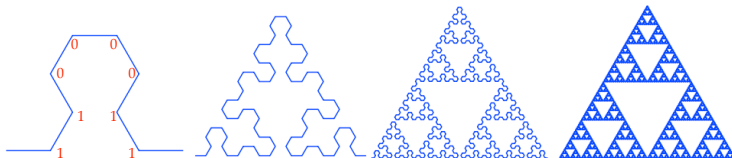
a sequence $w \in \Sigma_m^\omega$ is scale-invariant ($w/k = w +_m c$) if and only if $w - w(1)$ is completely additive

what (multi)set generates PF?

PF = 00100110001101100010011100110110 ...

PF = $\psi_2(X)$ where $X = \{p \in \mathbf{P} \mid p \equiv 3 \pmod{4}\}$

The Sierpiński stream



- ▶ what is the binary sequence behind this fractal curve?
- ▶ the Toeplitz word generated by the pattern $00111100?11000011?$ is the desired sequence. This pattern can be simplified:
- ▶ the *Sierpiński stream* $S \in 2^\omega$ is the Toeplitz word generated by the pattern $00\bar{?}11\bar{?}$:

$$S = T_2(00\bar{?}11\bar{?})$$

- ▶ indeed $(00\bar{?}11\bar{?})[(00\bar{?}11\bar{?})] = 00111100?11000011?$
- ▶ $S/2$ is generated by the set $\{p \in \mathbf{P} \mid p \equiv 2 \pmod{3}\} \cup \{3\}$

arithmetic self-similarity

- ▶ the arithmetic self-similarity of a stream $w \in \Sigma_m^\omega$ is the set of (tuples representing) arithmetic subsequences similar to w :

$$\mathcal{AS}(w) = \{ \langle a, b, s \rangle \mid a, b \geq 1, s \in \Sigma_m, w_b^a = w +_m s \}$$

- ▶ arithmetic self-similarity is closed under composition of arithmetic progressions: $p \cdot q \in \mathcal{AS}(w)$, where $\langle a, b, s \rangle \cdot \langle c, d, t \rangle = \langle a + (c - 1)b, bd, s +_m t \rangle$

scale-invariant = completely additive

- ▶ a sequence $w \in \Sigma_m^\omega$ is called *scale-invariant* if, for all $k \geq 1$:

$$\langle k, k, s \rangle \in \mathcal{AS}(w), \quad \text{where } s = w(k) - w(1)$$

- ▶ a sequence $w \in \Sigma_m^\omega$ is scale-invariant if and only if $w - w(1)$ is completely additive.

other arith. subseqs of scale-invariant sequences?

- ▶ conjecture: let $w \in \Sigma_m$ be a non-trivial c.a. sequence. then:

$$\mathcal{AS}(w) = \{\langle k, k, w(k) \rangle \mid k \geq 1\}$$

- ▶ c.a. sequences are scale-invariant, hence \supseteq .
- ▶ so far \subseteq only for $w = v_p$, $m = \infty$

results so far

- ▶ scale-invariant = completely additive = prime generated
- ▶ scale-invariant sequences have no self-similar arithmetic subsequences other than those with offset 0

to be investigated:

- ▶ determine the arithmetical self-similarity of several (classes of) streams.
- ▶ for instance of the Thue–Morse sequence M . conjecture: $AS(M)$ is the closure under composition of $\{\langle 1, 1, 0 \rangle, \langle 1, 2, 0 \rangle, \langle 2, 2, 1 \rangle\}$
- ▶ relation to fractals: is scale-invariance a sufficient criterion for turtle drawings to converge to a fractal curve?