


# EXAMINATION TENSOR CALCULUS AND DIFFERENTIAL GEOMETRY

Course code: 2WAH0. Date: Tuesday April 9, 2019. Time: 09h00–12h00. Place: Atlas 8.340.

## Read this first!

- Write your name and student identification number on each paper.
- The exam consists of 3 problems. Credits are indicated in the margin.
- Items marked with  may be relatively time consuming. It is safe to postpone them until the end.
- You may consult an immaculate hardcopy of the online draft notes “Tensor Calculus and Differential Geometry (2WAH0)” by Luc Florack. No other material or equipment may be used.
- The Einstein summation convention is in effect throughout this exam.



## (25) 1. TENSOR CALCULUS MISCELLANY.

We consider a 2-dimensional real positive definite inner product space  $V$  with basis  $\{\mathbf{e}_1, \mathbf{e}_2\}$ . The metric tensor is given by  $\mathbf{g} = g_{ij} \hat{\mathbf{e}}^i \otimes \hat{\mathbf{e}}^j$ .

Let  $A^{\text{cov}} = A_{ij} \hat{\mathbf{e}}^i \otimes \hat{\mathbf{e}}^j$  be a real symmetric covariant tensor relative to the dual basis  $\{\hat{\mathbf{e}}^1, \hat{\mathbf{e}}^2\}$ , and  $A^{\text{mix}} = A_j^i \mathbf{e}_i \otimes \hat{\mathbf{e}}^j$  the associated mixed tensor with  $A_j^i = g^{ik} A_{kj}$ , with  $g^{ik} g_{kj} = \delta_j^i$ .

The  $2 \times 2$  matrix  $\mathbf{A}^{\text{cov}}$  is defined in terms of the real-valued holor  $A_{ij}$  of  $A^{\text{cov}}$ , viz.

$$\mathbf{A}^{\text{cov}} = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix}, \quad \text{with } A_{21} = A_{12}.$$

The  $2 \times 2$  matrix  $\mathbf{A}^{\text{mix}}$  is defined in terms of the holor  $A_j^i$  of  $A^{\text{mix}} = A_j^i \mathbf{e}_i \otimes \hat{\mathbf{e}}^j$ , viz.

$$\mathbf{A}^{\text{mix}} = \begin{pmatrix} A_1^1 & A_2^1 \\ A_1^2 & A_2^2 \end{pmatrix}.$$

### (5) a. Is the matrix $\mathbf{A}^{\text{mix}}$ symmetric?

Typically not. We have  $A_j^i = g^{ik} A_{kj}$ , so  $A_2^1 = g^{11} A_{12} + g^{12} A_{22} \neq g^{21} A_{11} + g^{22} A_{21} = g^{12} A_{11} + g^{22} A_{12} = A_1^2$ , unless the Gram matrix satisfies additional constraints beyond symmetry (notably  $g_{11} = g_{22}$  and  $g_{12} = g_{21} = 0$ ). Note that we have fully exploited symmetry of  $\mathbf{g}$  and  $\mathbf{A}^{\text{cov}}$  by eliminating the dependent coefficients  $g_{21}$  and  $A_{21}$  in favor of  $g_{12}$  and  $A_{12}$ .

Below we consider the generic situation in which the matrix  $\mathbf{A}^{\text{cov}}$  is nonsingular, so that  $\det \mathbf{A}^{\text{cov}} \neq 0$ .

- (5) **b.** Does this imply that the matrix  $\mathbf{A}^{\text{mix}}$  is nonsingular?

Yes. Writing  $\det g_{ij}$ ,  $\det g^{ij}$ ,  $\det A_{ij}$  and  $\det A_j^i$  for  $\det \mathbf{g}$ ,  $\det(\mathbf{g}^{-1})$ ,  $\det \mathbf{A}^{\text{cov}}$  and  $\det \mathbf{A}^{\text{mix}}$  by abuse of notation, we have  $\det A_j^i = \det(g^{ik} A_{kj}) = \det g^{ik} \det A_{kj} = \det(\mathbf{g}^{-1}) \det \mathbf{A}^{\text{cov}} = (\det \mathbf{g})^{-1} \det \mathbf{A}^{\text{cov}} \neq 0$ .

**Definition.** An eigenvector  $v = v^i \mathbf{e}_i \in V$  of  $A^{\text{mix}}$  is a nonzero vector satisfying  $\mathbf{A}^{\text{mix}} \mathbf{v} = \lambda \mathbf{v}$  for some eigenvalue  $\lambda \in \mathbb{C}$ , in which  $\mathbf{v} \in \mathbb{C}^2$  is the column vector defined in terms of the holor  $v^i$  of  $\mathbf{v}$ , i.e.

$$\mathbf{v} = \begin{pmatrix} v^1 \\ v^2 \end{pmatrix}.$$

Thus in the sense of classical matrix theory the column vector  $\mathbf{v} \in \mathbb{C}^2$  is an eigenvector of the matrix  $\mathbf{A}^{\text{mix}}$  with eigenvalue  $\lambda \in \mathbb{C}$ .

- (5) **c.** Show that, if  $\lambda \in \mathbb{C}$  is an eigenvalue of  $\mathbf{A}^{\text{mix}}$ , then  $\det(\mathbf{A}^{\text{mix}} - \lambda \mathbf{I}) = 0$ , in which  $\mathbf{I}$  is the  $2 \times 2$  identity matrix.

The system  $\mathbf{A}^{\text{mix}} \mathbf{v} = \lambda \mathbf{v}$  is equivalent to  $(\mathbf{A}^{\text{mix}} - \lambda \mathbf{I}) \mathbf{v} = \mathbf{0} \in \mathbb{C}^2$ . Since  $\mathbf{v} \neq \mathbf{0}$  this implies that the matrix  $\mathbf{A}^{\text{mix}} - \lambda \mathbf{I}$  must be singular, whence  $\det(\mathbf{A}^{\text{mix}} - \lambda \mathbf{I}) = 0$ .

**Definition.** The trace  $\text{tr } \mathbf{A}^{\text{mix}}$  is the sum of the diagonal elements of  $\mathbf{A}^{\text{mix}}$ , i.e.  $\text{tr } \mathbf{A}^{\text{mix}} = A_i^i$ .

- (5) **d.** Show that, in our 2-dimensional case and for general  $\lambda \in \mathbb{C}$  (not necessarily an eigenvalue),

$$\det(\mathbf{A}^{\text{mix}} - \lambda \mathbf{I}) = \lambda^2 - \text{tr } \mathbf{A}^{\text{mix}} \lambda + \det \mathbf{A}^{\text{mix}}.$$

[Hint: Recall  $\delta_{ij}^{k\ell} = [ij][k\ell] = \delta_i^k \delta_j^\ell - \delta_j^k \delta_i^\ell$ .]

Using the definition of the determinant for dimension  $n = 2$  we have

$$\det(\mathbf{A}^{\text{mix}} - \lambda \mathbf{I}) = \frac{1}{2} \delta_{ij}^{k\ell} (A_k^i - \lambda \delta_k^i) (A_\ell^j - \lambda \delta_\ell^j) = \frac{1}{2} \delta_{ij}^{k\ell} (A_k^i A_\ell^j - \lambda A_k^i \delta_\ell^j - \lambda A_\ell^j \delta_k^i + \lambda^2 \delta_k^i \delta_\ell^j).$$

Working out the parentheses we recognize as the first term  $\frac{1}{2} \delta_{ij}^{k\ell} A_k^i A_\ell^j = \det A_j^i$  (with the same remark on the r.h.s. notation as in the solution to problem b). For the remaining three terms it is helpful to rewrite

$$\delta_{ij}^{k\ell} = \delta_i^k \delta_j^\ell - \delta_j^k \delta_i^\ell.$$

As a result we find for the second and third term  $-\frac{1}{2} \lambda \delta_{ij}^{k\ell} (A_k^i \delta_\ell^j + A_\ell^j \delta_k^i) = -\frac{1}{2} \lambda (\delta_i^k \delta_j^\ell - \delta_j^k \delta_i^\ell) (A_k^i \delta_\ell^j + A_\ell^j \delta_k^i) = -\lambda A_i^i$ , and for the last term  $\frac{1}{2} \lambda^2 \delta_{ij}^{k\ell} \delta_k^i \delta_\ell^j = \frac{1}{2} \lambda^2 (\delta_i^k \delta_j^\ell - \delta_j^k \delta_i^\ell) \delta_k^i \delta_\ell^j = \lambda^2$ . In these computations we have used  $\delta_i^i = n = 2$ . Putting all terms together yields the stated identity.

**Definition.** We define the set  $S$  of scalar invariants associated with the tensor  $A^{\text{mix}}$  as follows:

$$S = \{t_k \in \mathbb{R} \mid t_k \doteq \text{tr}(\underbrace{\mathbf{A}^{\text{mix}} \dots \mathbf{A}^{\text{mix}}}_{k\text{-th matrix power}})\} = \delta_{\ell_1}^{\ell_{k+1}} A_{\ell_2}^{\ell_1} A_{\ell_3}^{\ell_2} \dots A_{\ell_{k+1}}^{\ell_k} \}_{k \in \mathbb{N}}.$$

- (5) **e.** Prove that each  $t_k$  is indeed invariant in the sense of being independent of the basis  $\{\mathbf{e}_1, \mathbf{e}_2\}$  of  $V$ .

Let  $\mathbf{e}_i = T_i^\ell \mathbf{f}_\ell$  be a basis transformation. Then  $\hat{\mathbf{e}}^i = S_k^i \hat{\mathbf{f}}^k$ , with  $T_k^i S_j^k = \delta_j^i$ , and  $A^{\text{mix}} = A_j^i \mathbf{e}_i \otimes \hat{\mathbf{e}}^j = A_j^i S_k^j T_i^\ell \mathbf{f}_\ell \otimes \hat{\mathbf{f}}^k = \bar{A}_k^\ell \mathbf{f}_\ell \otimes \hat{\mathbf{f}}^k$ . We recognize the holor of  $A^{\text{mix}}$  relative to the new basis as  $\bar{A}_k^\ell = A_j^i S_k^j T_i^\ell$ . Inserting this in the formula for  $t_k = \bar{A}_{\ell_2}^{\ell_{k+1}} \bar{A}_{\ell_3}^{\ell_2} \dots \bar{A}_{\ell_{k+1}}^{\ell_k} =$

$A_{i_1}^{j_1} A_{i_2}^{j_2} \dots A_{i_k}^{j_k} S_{\ell_2}^{i_1} S_{\ell_3}^{i_2} \dots S_{\ell_{k+1}}^{i_k} T_{j_1}^{\ell_{k+1}} T_{j_2}^{\ell_2} \dots T_{j_k}^{\ell_k} = A_{i_1}^{j_1} A_{i_2}^{j_2} \dots A_{i_k}^{j_k} \delta_{j_2}^{i_1} \delta_{j_3}^{i_2} \dots \delta_{j_1}^{i_k} = A_{j_2}^{j_1} A_{j_2}^{j_2} \dots A_{j_1}^{j_k} = t_k$ . A less cumbersome proof relies on induction, in which case it suffices to prove that  $\overline{X}_i^i = X_i^i$  is invariant for any mixed tensor  $X_j^i$  with invariant components.



## (25) 2. AREA TWO-FORMS.

The wedge product of two covectors  $\hat{\mathbf{v}}, \hat{\mathbf{w}} \in V^*$ , i.e.  $\hat{\mathbf{v}} \wedge \hat{\mathbf{w}}$ , may be interpreted as an antisymmetric cotensor of rank 2, i.e. a bilinear map  $\hat{\mathbf{v}} \wedge \hat{\mathbf{w}} : V \times V \rightarrow \mathbb{R} : (\mathbf{a}, \mathbf{b}) \mapsto (\hat{\mathbf{v}} \wedge \hat{\mathbf{w}})(\mathbf{a}, \mathbf{b})$ , given by

$$(\hat{\mathbf{v}} \wedge \hat{\mathbf{w}})(\mathbf{a}, \mathbf{b}) = \det \begin{pmatrix} \langle \hat{\mathbf{v}}, \mathbf{a} \rangle & \langle \hat{\mathbf{v}}, \mathbf{b} \rangle \\ \langle \hat{\mathbf{w}}, \mathbf{a} \rangle & \langle \hat{\mathbf{w}}, \mathbf{b} \rangle \end{pmatrix}.$$

That is,  $\hat{\mathbf{v}} \wedge \hat{\mathbf{w}} \in V^* \otimes_A V^* \doteq \bigwedge_2(V)$ .

Similarly we may define the wedge product of two vectors,  $\mathbf{a}, \mathbf{b} \in V$ , i.e.  $\mathbf{a} \wedge \mathbf{b}$ , as an antisymmetric contratensor of rank 2, i.e. a bilinear map  $\mathbf{a} \wedge \mathbf{b} : V^* \times V^* \rightarrow \mathbb{R} : (\hat{\mathbf{v}}, \hat{\mathbf{w}}) \mapsto (\mathbf{a} \wedge \mathbf{b})(\hat{\mathbf{v}}, \hat{\mathbf{w}})$ , given by

$$(\mathbf{a} \wedge \mathbf{b})(\hat{\mathbf{v}}, \hat{\mathbf{w}}) = \det \begin{pmatrix} \langle \hat{\mathbf{v}}, \mathbf{a} \rangle & \langle \hat{\mathbf{w}}, \mathbf{a} \rangle \\ \langle \hat{\mathbf{v}}, \mathbf{b} \rangle & \langle \hat{\mathbf{w}}, \mathbf{b} \rangle \end{pmatrix}.$$

That is,  $\mathbf{a} \wedge \mathbf{b} \in V \otimes_A V \doteq \bigwedge^2(V)$ .

- (10) **a.** Prove that  $(\hat{\mathbf{v}} \wedge \hat{\mathbf{w}})(\mathbf{a}, \mathbf{b})$  actually depends only on  $\mathbf{a} \wedge \mathbf{b}$ , rather than independently on  $\mathbf{a}$  and  $\mathbf{b}$ .

From the fact that the determinant of a matrix equals that of the transposed matrix it follows that  $(\hat{\mathbf{v}} \wedge \hat{\mathbf{w}})(\mathbf{a}, \mathbf{b}) = (\mathbf{a} \wedge \mathbf{b})(\hat{\mathbf{v}}, \hat{\mathbf{w}})$ . This shows that the independent variable for  $\hat{\mathbf{v}} \wedge \hat{\mathbf{w}}$  is actually the single two-form  $\mathbf{a} \wedge \mathbf{b} \in V \otimes_A V$  rather than the vector pair  $(\mathbf{a}, \mathbf{b}) \in V \times V$ .

- (10) **b.** Explain the meaning of the identification  $V^* \otimes_A V^* = (V \otimes_A V)^*$ .

The l.h.s. denotes the linear space of antisymmetric *bilinear* mappings of type  $V \times V \rightarrow \mathbb{R}$ , as formulated above. The r.h.s. denotes the linear space of linear mappings of type  $V \otimes_A V \rightarrow \mathbb{R}$ . The statement  $\phi \in V^* \otimes_A V^*$  says that  $\phi : V \times V \rightarrow \mathbb{R}$  is an antisymmetric bivariate function of *two* vector arguments,  $\mathbf{a}$  and  $\mathbf{b}$  say. The statement  $\phi \in (V \otimes_A V)^*$  says that  $\phi : V \otimes_A V \rightarrow \mathbb{R}$  is a linear function of a single variable, viz. a vector in the form of an antisymmetric contratensor of rank 2, i.e.  $\mathbf{a} \wedge \mathbf{b}$ . The identification is justified by the observation in problem a, and boils down to the identification of *equivalence classes* of vector pairs  $(\mathbf{a}, \mathbf{b}) \sim (\mathbf{a}', \mathbf{b}')$  for which  $\mathbf{a} \wedge \mathbf{b} = \mathbf{a}' \wedge \mathbf{b}'$ .

We consider a linear transformation  $L : V \times V \rightarrow V \times V : (\mathbf{a}, \mathbf{b}) \mapsto (\mathbf{a}', \mathbf{b}') = L(\mathbf{a}, \mathbf{b})$ , given by

$$\begin{pmatrix} \mathbf{a}' \\ \mathbf{b}' \end{pmatrix} = \mathbf{L} \begin{pmatrix} \mathbf{a} \\ \mathbf{b} \end{pmatrix} \quad \text{in which } \mathbf{L} \text{ is a } 2 \times 2 \text{ matrix.}$$

- (5) **c.** Under which conditions on  $\mathbf{L}$  do we have  $\mathbf{a} \wedge \mathbf{b} = \mathbf{a}' \wedge \mathbf{b}'$ ?

Set

$$\mathbf{L} = \begin{pmatrix} \lambda & \mu \\ \nu & \rho \end{pmatrix}.$$

Assuming  $\mathbf{a}$  and  $\mathbf{b}$  are nonzero vectors (otherwise no conditions need to be imposed on  $\mathbf{L}$ ), we have  $\mathbf{a}' \wedge \mathbf{b}' = (\lambda \mathbf{a} \wedge \mu \mathbf{b}) \wedge (\nu \mathbf{a} \wedge \rho \mathbf{b}) = (\lambda \rho - \mu \nu) \mathbf{a} \wedge \mathbf{b} = \det \mathbf{L} \mathbf{a} \wedge \mathbf{b}$ , whence  $\det \mathbf{L} = 1$ .



(50) **3. NEWTONIAN LIMIT OF EINSTEIN GRAVITY.**

**Summation convention.** In this problem we distinguish between two types of indices. Latin, or *spatial indices*  $i, j, k, \dots$  take values in the range  $\{1, 2, 3\}$ . Greek, or *spatiotemporal indices*  $\mu, \nu, \rho, \dots$  take values in the range  $\{0, 1, 2, 3\}$ . The summation convention for identical pairs of indices is in effect throughout this problem, with this tacit assumption on index range.

**Gravity according to Newton.** Newton regards spacetime as the product space of a flat Euclidean 3-space and 1-dimensional ‘absolute time’. In 3-space inertial coordinates  $(x^1, x^2, x^3) \doteq (x, y, z)$  exist such that the metric holor is  $\eta_{ij} \doteq (\partial_i | \partial_j) = \delta_{ij}$  relative to the induced coordinate basis  $\{\partial_i\}_{i=1,2,3}$ . According to Newton, absolute time  $t$  progresses at a constant pace independently of any observer.

*Kinematics.* In Newtonian gravity one explains the response of matter to gravity in terms of a scalar gravitational potential field  $\Phi$  entering Newton’s second law of motion:

$$\frac{d^2 x^i}{dt^2} = -\eta^{ij} \partial_j \Phi. \quad (1)$$

The classical 3-velocity has components  $v^i \doteq dx^i/dt$  ( $i = 1, 2, 3$ ). The r.h.s. represents the classical gravitational force field  $g^i = -\eta^{ij} \partial_j \Phi$  experienced by a test particle.

*Dynamics.* In turn,  $\Phi$  originates from the spatial distribution of matter via the Poisson equation:

$$\Delta \Phi = 4\pi G \rho. \quad (2)$$

Here  $\Delta = \partial_{xx} + \partial_{yy} + \partial_{zz}$  (in Cartesian coordinates) is the Laplacian operator,  $\rho$  denotes mass density and  $G \approx 6.674 \cdot 10^{-11} \text{ m}^3 \text{ kg}^{-1} \text{ s}^{-2}$  is the universal gravitational constant.

**Gravity according to Einstein.** According to Einstein, on the other hand, the gravitational potential is a symmetric rank-2 covariant tensor field identified with the Lorentzian spacetime metric  $g_{\mu\nu} = (\partial_\mu | \partial_\nu)$  with signature  $(-, +, +, +)$  in 4-dimensional spacetime relative to a coordinate basis  $\{\partial_\mu\}_{\mu=0,1,2,3}$  induced by arbitrary coordinates  $(x^0, x^1, x^2, x^3) \doteq (ct, x, y, z)$ , with  $c \doteq 299\,792\,458 \text{ ms}^{-1}$ .

*Kinematics.* The relativistic counterpart of (1) takes the form of a geodesic equation dictated by the metric  $g_{\mu\nu}$  via the Levi-Civita connection:

$$\frac{d^2 x^\mu}{ds^2} + \Gamma_{\nu\rho}^\mu \frac{dx^\nu}{ds} \frac{dx^\rho}{ds} = 0. \quad (3)$$

The observer independent affine parameter  $s$  is related to so-called ‘proper time’  $\tau$  via  $s \doteq c\tau$ . The vector with coefficients  $V^\mu \doteq dx^\mu/d\tau$  is the (relativistic) 4-velocity, so we may equivalently write

$$\frac{dV^\mu}{d\tau} + \Gamma_{\nu\rho}^\mu V^\nu V^\rho = 0. \quad (3)$$

Its relation to the classical 3-velocity  $v^i = dx^i/dt$  ( $i = 1, 2, 3$ ) is given by  $(V^0; V^i) = \gamma(v)(c; v^i)$ , with time dilation factor

$$\gamma(v) = \frac{dct}{ds} = \frac{dt}{d\tau} = \frac{1}{\sqrt{1 - v^2/c^2}},$$

depending on the particle’s Euclidean 3-velocity magnitude  $v$ . Note that, despite its extra dimension, the 4-velocity  $V^\mu$  has the same three degrees of freedom as the 3-velocity  $v^i$ .

*Dynamics.* The counterpart of (2) is the Einstein field equation,

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = \frac{8\pi G}{c^4}T_{\mu\nu}, \quad (4)$$

in which  $T_{\mu\nu}$  is the stress-energy tensor. The left hand side of (4) is known as the Einstein tensor. We henceforth consider a stationary matter-energy distribution, for which  $T_{00} = \rho c^2$ , in which  $\rho$  denotes mass density, and  $T_{\mu\nu} = 0$  otherwise.

- (5) **a.** Assuming the holor  $g_{\mu\nu}$  of the metric  $\mathbf{g} = g_{\mu\nu}dx^\mu \otimes dx^\nu$  to be dimensionless, determine the physical units of the tensors listed below. Write the relevant dimensions in terms of monomials in L (*length*), T (*time*), M (*mass*), or use corresponding SI units m (*meter*), s (*second*), kg (*kilogram*).

[*Example:*  $G$  has dimension  $\text{L}^3\text{M}^{-1}\text{T}^{-2}$  (SI:  $\text{m}^3\text{kg}^{-1}\text{s}^{-2}$ );  $\|u\|^2 \doteq \mathbf{g}(u, u)$  has dimension  $\text{L}^2$  (SI:  $\text{m}^2$ ) if  $u$  is a spatiotemporal displacement vector, etc. ]

**a1.** Riemann curvature tensor  $R_{\mu\sigma\nu}^\rho$ ;

**a2.** Ricci curvature tensor  $R_{\mu\nu} = R_{\mu\rho\nu}^\rho$ ;

**a3.** Ricci curvature scalar  $R = g^{\mu\nu}R_{\mu\nu}$ ;

**a4.** Stress-energy tensor  $T_{\mu\nu}$ .

Since  $g_{\mu\nu}$  is dimensionless, so is  $g^{\mu\nu}$ . From the formula of the Christoffel symbols of the second kind it then follows that  $\Gamma_{\nu\rho}^\mu$  and  $\partial_\sigma\Gamma_{\nu\rho}^\mu$  have physical dimensions  $\text{L}^{-1}$  (SI:  $\text{m}^{-1}$ ), respectively  $\text{L}^{-2}$  (SI:  $\text{m}^{-2}$ ). a1: From the definition of  $R_{\mu\sigma\nu}^\rho$  in terms of the Christoffel symbols of the second kind and their spatial derivatives it follows that  $\text{L}^{-2}$  (SI:  $\text{m}^{-2}$ ) is also the physical dimension of  $R_{\mu\sigma\nu}^\rho$ . a2–a3: Since taking a trace does not affect physical dimension,  $R_{\mu\nu} = R_{\mu\rho\nu}^\rho$  and  $R = g^{\mu\nu}R_{\mu\nu}$  also have dimension  $\text{L}^{-2}$  (SI:  $\text{m}^{-2}$ ). a4: Inserting the dimensions of  $G$  and  $c$  it then follows that  $T_{\mu\nu}$  has the dimension  $\text{ML}^{-1}\text{T}^{-2}$  (SI:  $\text{kg m}^{-1}\text{s}^{-2}$  (momentum flux), or  $\text{N m}^{-2}$  (pressure), or  $\text{J m}^{-3}$  (energy density)).

In order to understand the non-relativistic limit of (3–4) and relate it to the Newtonian model (1–2) we consider the weak field approximation, in which

$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu},$$

in which  $\eta_{00} = -1$ ,  $\eta_{11} = \eta_{22} = \eta_{33} = 1$  and all other  $\eta_{\mu\nu} = 0$  in suitable coordinates (‘Minkowski background metric’) and  $h_{\mu\nu}$  is a sufficiently small non-constant perturbation field.

- (5) **b.** Show that  $g^{\mu\nu} \approx \eta^{\mu\nu} - h^{\mu\nu}$ , in which  $\eta^{\mu\rho}\eta_{\rho\nu} = \delta_\nu^\mu$ .
- (5) **c.** Express the Christoffel symbols of the second kind in terms of the Minkowski background metric and the perturbation field, up to  $\mathcal{O}(h)$  linear approximation in  $h_{\mu\nu}$ ,  $\partial_\rho h_{\mu\nu}$ , and higher order derivatives.

We have

$$\Gamma_{\mu\nu}^\rho = \frac{1}{2}g^{\rho\lambda}(-\partial_\lambda g_{\mu\nu} + \partial_\mu g_{\lambda\nu} + \partial_\nu g_{\mu\lambda}) \approx \frac{1}{2}\eta^{\rho\lambda}(-\partial_\lambda h_{\mu\nu} + \partial_\mu h_{\lambda\nu} + \partial_\nu h_{\mu\lambda}).$$

- (10) **d.**  Do the same for the Riemann tensor, the Ricci tensor and the Ricci scalar, and show that this

yields a linearized Einstein tensor

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R \approx \frac{1}{2} (\partial_{\rho\mu}h_{\nu}^{\rho} - \partial_{\mu\nu}h_{\rho}^{\rho} - \partial_{\rho}\partial^{\rho}h_{\mu\nu} + \partial_{\nu}\partial^{\rho}h_{\mu\rho} - \eta_{\mu\nu}\partial^{\rho\sigma}h_{\rho\sigma} + \eta_{\mu\nu}\partial_{\rho}\partial^{\rho}h_{\sigma}^{\sigma}) .$$

[Hint: Avoid redundant computations by considering relevant  $\mathcal{O}(h)$  terms only.]

Riemann tensor:

$$R_{\mu\sigma\nu}^{\rho} = \partial_{\sigma}\Gamma_{\mu\nu}^{\rho} - \partial_{\nu}\Gamma_{\mu\sigma}^{\rho} + \Gamma_{\lambda\sigma}^{\rho}\Gamma_{\mu\nu}^{\lambda} - \Gamma_{\lambda\nu}^{\rho}\Gamma_{\mu\sigma}^{\lambda} \approx \frac{1}{2} (\partial_{\sigma\mu}h_{\nu}^{\rho} - \partial_{\mu\nu}h_{\sigma}^{\rho} - \partial_{\sigma}\partial^{\rho}h_{\mu\nu} + \partial_{\nu}\partial^{\rho}h_{\mu\sigma}) ,$$

in which index raising is carried out with the constant dual Minkowski background metric  $\eta^{\mu\nu}$ , i.e.  $h_{\nu}^{\mu} \doteq \eta^{\mu\rho}h_{\rho\nu}$  and  $\partial^{\mu} \doteq \eta^{\mu\nu}\partial_{\nu}$ . Note that all  $\Gamma$ -symbols are of order  $\mathcal{O}(h)$ , thus in particular we may discard all  $\mathcal{O}(hh)$   $\Gamma\Gamma$ -terms in the linear approximation. Ricci tensor:

$$R_{\mu\nu} = R_{\mu\rho\nu}^{\rho} \approx \frac{1}{2} (\partial_{\rho\mu}h_{\nu}^{\rho} - \partial_{\mu\nu}h_{\rho}^{\rho} - \partial_{\rho}\partial^{\rho}h_{\mu\nu} + \partial_{\nu}\partial^{\rho}h_{\mu\rho}) .$$

Ricci scalar:

$$R = g^{\mu\nu}R_{\mu\nu} \approx \partial^{\mu\nu}h_{\mu\nu} - \partial_{\mu}\partial^{\mu}h_{\nu}^{\nu} .$$

Putting things together, and using once more  $g_{\mu\nu} = \eta_{\mu\nu} + \mathcal{O}(h)$ , we obtain for the linearized Einstein tensor


$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R \approx \frac{1}{2} (\partial_{\rho\mu}h_{\nu}^{\rho} - \partial_{\mu\nu}h_{\rho}^{\rho} - \partial_{\rho}\partial^{\rho}h_{\mu\nu} + \partial_{\nu}\partial^{\rho}h_{\mu\rho} - \eta_{\mu\nu}\partial^{\rho\sigma}h_{\rho\sigma} + \eta_{\mu\nu}\partial_{\rho}\partial^{\rho}h_{\sigma}^{\sigma}) .$$

We assume mass to be statically distributed over a compact region, i.e.  $\rho = \rho(x, y, z)$  independent of  $t$  and zero if  $x^2 + y^2 + z^2 > R^2$  for some  $R > 0$ .

- (5) **e.** Show that Minkowski spacetime,  $g_{\mu\nu} = \eta_{\mu\nu}$ , provides an *exact* solution of the Einstein equation (4) in vacuum (i.e. *all*  $T_{\mu\nu} = 0$  on the r.h.s.).

For this we need to verify that  $g_{\mu\nu} = \eta_{\mu\nu}$  satisfies (4) when  $T_{\mu\nu} = 0$ , in which case the p.d.e. system reduces to  $R_{\mu\nu} = 0$  (contract with  $g^{\mu\nu}$  to see this). Since  $R_{\mu\nu} = R_{\mu\lambda\nu}^{\lambda}$  with  $R_{\mu\sigma\nu}^{\rho} = \partial_{\sigma}\Gamma_{\mu\nu}^{\rho} - \partial_{\nu}\Gamma_{\mu\sigma}^{\rho} + \Gamma_{\lambda\sigma}^{\rho}\Gamma_{\mu\nu}^{\lambda} - \Gamma_{\lambda\nu}^{\rho}\Gamma_{\mu\sigma}^{\lambda}$ , and since all  $\Gamma$ -symbols vanish identically in Minkowski coordinates due to constancy of  $\eta_{\mu\nu}$ , it follows that  $R_{\mu\nu} = 0$ .

For the stationary case we stipulate that  $g_{\mu\nu}$  is time independent. Moreover, we postulate (without further motivation here) a perturbation field of the form  $h_{\mu\nu} = \Psi\delta_{\mu\nu}$ , in which  $\Psi$  is some scalar field and  $\delta_{\mu\nu}$  the 4-dimensional Kronecker symbol.

- (5) **f.**  Show that the  $(\mu, \nu) = (0, 0)$  component of the linearized Einstein equation (4) reduces to Newton's equation (2), and establish a relation between  $\Psi$  and the Newtonian potential  $\Phi$ .

Evaluating the  $(\mu, \nu) = (0, 0)$ -component of the linearized Einstein tensor obtained in problem d we find

$$R_{00} - \frac{1}{2}\eta_{00}R \approx \frac{1}{2} (\partial_{\rho 0}h_0^{\rho} - \partial_{00}h_{\rho}^{\rho} - \partial_{\rho}\partial^{\rho}h_{00} + \partial_0\partial^{\rho}h_{0\rho} - \eta_{00}\partial^{\rho\sigma}h_{\rho\sigma} + \eta_{00}\partial_{\rho}\partial^{\rho}h_{\sigma}^{\sigma}) .$$

Inserting  $\eta_{00} = \eta^{00} = -1$ ,  $\eta_{ij} = \eta^{ij} = \delta_{ij}$ ,  $\partial_0 = c^{-1}\partial_t$ ,  $\partial^0 = -c^{-1}\partial_t$ ,  $\partial^i = \partial_i$ , and dropping all  $\partial_t$ -derivatives, yields

$$R_{00} - \frac{1}{2}\eta_{00}R \approx -\Delta\Psi .$$

Comparison with (2) and (4), using  $T_{00} = \rho c^2$ , viz.

$$\frac{2}{c^2}\Delta\Phi \stackrel{(2)}{=} \frac{2}{c^2}4\pi G\rho = \frac{8\pi G}{c^4}\rho c^2 \stackrel{(4)\&d}{\approx} R_{00} - \frac{1}{2}\eta_{00}R \stackrel{f}{=} -\Delta\Psi ,$$

allows us to conclude

$$\Phi = -\frac{1}{2}c^2\Psi + H,$$

in which  $H$  is an arbitrary harmonic function, i.e.  $\Delta H = 0$ . The latter can be adjusted to asymptotic conditions; we set  $H = 0$ . Using  $g_{00} = -1 + h_{00}$ , we may rewrite this as

$$g_{00} = -\left(1 + \frac{2\Phi}{c^2}\right).$$

(The motivation for the ansatz  $h_{\mu\nu} = \Psi\delta_{\mu\nu}$  requires a consistency check by verifying all other components of the Einstein equation.)

Light velocity  $c$  does not enter in the Newtonian theory. It is stipulated that Newton's theory emerges from Einstein's theory in the limit  $v/c \rightarrow 0$  under the weak field assumption.

**g.** Before establishing the kinematic relationship between (1) and (3), consider the following questions:

- (2) **g1.** Argue why  $V^i \ll V^0$  in the Newtonian limit.

[Hint: Recall the relation between relativistic 4-velocity  $V^\mu$  and classical 3-velocity  $v^i$ .]

$V^\mu = (V^0; V^i) = \gamma(v)(c; v^i)$ , whence  $V^i = \gamma(v)v^i \ll \gamma(v)c = V^0$ . Note that  $v^i \ll c$  amounts to  $\gamma(v) \approx 1 \doteq \gamma(0)$ .

- (2) **g2.** Show that this implies that we may approximate (3) by  $\frac{dV^\mu}{d\tau} + \Gamma_{00}^\mu (V^0)^2 = 0$ .

All  $\Gamma$ -symbols are  $\mathcal{O}(h)$  in the weak field approximation. Based on g1,  $\Gamma$ -terms with  $\nu = \rho = 0$  in (3) will dwarf all other terms in the Newtonian limit  $v/c \rightarrow 0$ .

- (2) **g3.** Use the weak field approximation to show that  $\frac{dV^\mu}{d\tau} = \frac{1}{2}\eta^{\mu\nu}\partial_\nu h_{00} (V^0)^2$ .

This follows by inserting the  $\mathcal{O}(h)$ -approximation of  $\Gamma_{00}^\mu$  as derived in problem c in the expression under g2.

- (2) **g4.** Argue why we may assume  $V^0$  to be approximately constant if the gravitational field is static.

Taking  $\mu = 0$  in the equation under g3 and using the static assumption  $\partial_0 h_{00} = 0$  we find  $\frac{dV^0}{d\tau} = 0$ .

- (2) **g5.** Argue why this explains Newton's 'absolute time' paradigm.

Recall that  $V^\mu = dx^\mu/d\tau$ , whence  $V^0 = dx^0/d\tau = cdt/d\tau = \text{constant}$ . Since the parameter  $\tau$  is observer independent, any observer will see the same steady rate of progression of time  $t$  up to an arbitrary offset ('absolute time'). Note that, by definition, the constant equals  $c\gamma(0) = c$ , cf. g1. Thus with a suitable offset to gauge clocks, observer time  $t$  will always coincide with observer independent proper time  $\tau$ .

- (5) **h.** Establish the relation between (1) and (3) by relating the classical scalar gravitational potential  $\Phi$  to the relativistic metric tensor  $g_{\mu\nu}$  in the Newtonian limit. Is it consistent with your result under f?

[Hint: Consider  $\mu = i = 1, 2, 3$  in g3 in the Newtonian limit.]

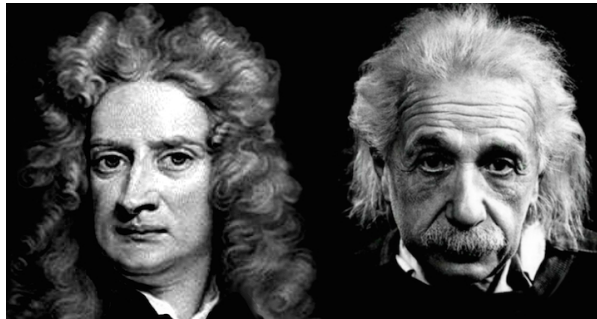
Take  $t = \tau$  (recall g5) so that we may replace  $d/d\tau \rightarrow d/dt$ ,  $\gamma \rightarrow 1$ ,  $V^0 \rightarrow c$  and  $V^i \rightarrow v^i$  in the equation under g3. Then for  $\mu = i = 1, 2, 3$  we obtain, using diagonality of the dual metric  $\eta^{\mu\nu}$ ,

$$\frac{dv^i}{dt} = \frac{1}{2}c^2\eta^{ij}\partial_j h_{00}.$$

Comparison with (1) suggests that we take  $\partial_j \Phi = -\frac{1}{2}c^2\partial_j h_{00}$ . We may therefore take  $\Phi = -\frac{1}{2}c^2 h_{00}$  (up to an integration constant), i.e.

$$g_{00} = -\left(1 + \frac{2\Phi}{c^2}\right),$$

consistent with the result under  $f$ . Note that one is always free to add a constant to the potential in the kinematic equations, since only derivatives have physical significance.



NEWTON (1643–1727) AND EINSTEIN (1879–1955).

THE END