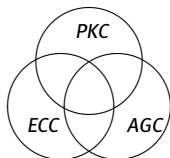


# Attacking public-key cryptosystems that use algebraic geometry codes

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- ▶ ECC = Error-correcting codes
- ▶ AGC = Algebraic geometry curves
- ▶ PKC = Public-key cryptosystems

$\mathbb{F}_q$  finite field with  $q$  elements

Hamming distance on  $\mathbb{F}_q^n$ :

$$d(\mathbf{x}, \mathbf{y}) = |\{i \mid x_i \neq y_i\}|$$

$C$  linear block code:  $\mathbb{F}_q$ -linear subspace of  $\mathbb{F}_q^n$

parameters  $[n, k, d]$ :

$n$  = length

$k = k(C)$  = dimension of  $C$

$d = d(C)$  = minimum distance of  $C$

$$d(C) = \min |\{d(\mathbf{x}, \mathbf{y}) \mid \mathbf{x}, \mathbf{y} \in C, \mathbf{x} \neq \mathbf{y}\}|$$

$C$  an  $\mathbb{F}_q$ -linear code of dimension  $k$

$G$  **generator matrix** of  $C$ : a  $k \times n$  matrix with entries in  $\mathbb{F}_q$  and

$$C = \{ \mathbf{x}G \mid \mathbf{x} \in \mathbb{F}_q^k \}$$

Code is **nondegenerate** if  $G$  has no zero column

$H$  **parity check matrix** of  $C$ : a  $(n - k) \times n$  matrix with entries in  $\mathbb{F}_q$  and

$$C = \{ \mathbf{c} \in \mathbb{F}_q^n \mid \mathbf{c}H^T = \mathbf{0} \}$$

Let  $\mathbb{F}$  be a field

Tsfasman-Vladut: A **projective system**  $\mathcal{P} = (P_1, \dots, P_n)$  in  $\mathbb{P}^r(\mathbb{F})$  is an  $n$ -tuple of points  $P_j$  in this projective space such that not all these points lie in a hyperplane

Let  $P_j = (p_{0j} : p_{1j} : \dots : p_{rj})$

Let  $G_{\mathcal{P}}$  be the  $(r + 1) \times n$  matrix with  $(p_{0j}, p_{1j}, \dots, p_{rj})^T$  as  $j$ -th column

Then  $G_{\mathcal{P}}$  has rank  $r + 1$ , since not all points lie in a hyperplane.

If  $\mathbb{F}$  is a finite field, then  $G_{\mathcal{P}}$  is the generator matrix of a nondegenerate  $[n, r + 1, d]$  code over  $\mathbb{F}$  where  $n - d$  is the maximal number of points of  $\mathcal{P}_G$  that lie in a hyperplane of  $\mathbb{P}^{k-1}(\mathbb{F})$

Conversely:

Let  $G$  be a generator matrix of a nondegenerate  $[n, k, d]$  code over  $\mathbb{F}_q$

Then  $G$  has no zero columns

Take the columns of  $G$  as homogeneous coordinates  
of points in  $\mathbb{P}^{k-1}(\mathbb{F}_q)$

This gives the projective system  $\mathcal{P}_G$  over  $\mathbb{F}_q$  of  $G$

One-to-one correspondence between:

generalized equivalence classes of nondegenerate  $[n, k]$  codes over  $\mathbb{F}_q$   
and

equivalence classes of projective systems of  $n$  points in  $\mathbb{P}^{k-1}(\mathbb{F}_q)$

$\mathbf{a} = (a_1, \dots, a_n)$  an  $n$ -tuple of **mutually distinct** elements of  $\mathbb{F}_q$

$\mathbf{b} = (b_1, \dots, b_n)$  an  $n$ -tuple of **nonzero** elements of  $\mathbb{F}_q$

GRS code:

$$GRS_k(\mathbf{a}, \mathbf{b}) = \{ (f(a_1)b_1, \dots, f(a_n)b_n) \mid f(X) \in \mathbb{F}_q[X], \deg(f(X)) < k \}$$

parameters:  $[n, k, n - k + 1]$  if  $k \leq n$

generator matrix:

$$G_k(\mathbf{a}, \mathbf{b}) = \begin{pmatrix} b_1 & \cdots & b_j & \cdots & b_n \\ a_1 b_1 & \cdots & a_j b_j & \cdots & a_n b_n \\ \vdots & \cdots & \vdots & \cdots & \vdots \\ a_1^{k-1} b_1 & \cdots & a_j^{k-1} b_j & \cdots & a_n^{k-1} b_n \end{pmatrix}$$

The projective system of the the code  $GRS_k(\mathbf{a}, \mathbf{b})$  with generator matrix  $G_k(\mathbf{a}, \mathbf{b})$  is

$$\mathcal{P}_k(\mathbf{a}) = ((1 : a_j : \dots : a_j^i : \dots : a_j^{k-1}) \mid j = 1, \dots, n)$$

Consider the **embedding**  $\mathbb{P}^1 \rightarrow \mathbb{P}^r$  by the degree  $r$  map given by

$$(y_0 : y_1) \mapsto (y_0^r : y_0^{r-1}y_1 : \dots : y_0^{r-i}y_1^i : \dots : y_0y_1^{r-1} : y_1^r)$$

The image of this map in  $\mathbb{P}^r$  is the **NRC** (*normal rational curve*)  $\mathcal{X}_r$

Every hyperplane intersects  $\mathcal{X}_r$  in at most  $r$  points and

$$\mathcal{P}_k(\mathbf{a}) \subseteq \mathcal{X}_{k-1}(\mathbb{F}_q)$$



The **vanishing ideal**  $I(\mathcal{X}_r)$  of  $\mathcal{X}_r$  is generated by the elements:

$$X_i X_{r-i} - X_j X_{r-j}, \text{ for } 0 \leq i < j \leq r$$

that is the determinantal ideal of the  $2 \times 2$  minors of the  $2 \times r$  matrix

$$\begin{pmatrix} X_0 & X_1 & \cdots & X_i & \cdots & X_{r-1} \\ X_1 & X_2 & \cdots & X_{i+1} & \cdots & X_r \end{pmatrix}$$

since the rows of the matrix

$$\begin{pmatrix} 1 & y & \cdots & y^i & \cdots & y^{r-1} \\ y & y^2 & \cdots & y^{i+1} & \cdots & y^r \end{pmatrix}$$

are dependent for all  $y$  and ....

Let  $\mathcal{X}$  be an **algebraic variety** over  $\mathbb{F}_q$   
with a subset  $\mathcal{P}$  of  $\mathcal{X}(\mathbb{F}_q)$  enumerated by  $P_1, \dots, P_n$

Suppose that we have a vector space  $L$  over  $\mathbb{F}_q$   
of functions on  $\mathcal{X}$  with values in  $\mathbb{F}_q$

So  $f(P_i) \in \mathbb{F}_q$  for all  $i$  and  $f \in L$

In this way we have an **evaluation map**

$$ev_{\mathcal{P}} : L \longrightarrow \mathbb{F}_q^n$$

defined by  $ev_{\mathcal{P}}(f) = (f(P_1), \dots, f(P_n))$

This evaluation map is linear, so its image is a linear code

The classical example: Generalized Reed-Solomon codes

The geometric object  $\mathcal{X}$  is the **affine line** over  $\mathbb{F}_q$

The points are  $n$  distinct elements of  $\mathbb{F}_q$

$L$  is the vector space of polynomials of degree at most  $k - 1$   
and with coefficients in  $\mathbb{F}_q$

This vector space has dimension  $k$

Such polynomials have at most  $k - 1$  zeros

so nonzero codewords have at least  $n - k + 1$  nonzeros

This code has parameters  $[n, k, n - k + 1]$  if  $k \leq n$

Let  $\mathcal{X}$  be an **algebraic curve** over  $\mathbb{F}_q$  of **genus  $g$**

$\mathbb{F}_q(\mathcal{X})$  is the **function field** of the curve  $\mathcal{X}$  with field of constants  $\mathbb{F}_q$

Let  $f$  be a nonzero rational function on the curve

The divisor of zeros and poles of  $f$  is denoted by  $(f)$

Let  $E$  be a **divisor** of  $\mathcal{X}$  of degree  $m$ . Then

$$L(E) = \{ f \in \mathbb{F}_q(\mathcal{X}) \mid f = 0 \text{ or } (f) \geq -E \}$$

The dimension of the space  $L(E)$  is denoted by  $l(E)$

Then  $l(E) \geq m + 1 - g$  and equality holds if  $m > 2g - 2$

by the Theorem of **Riemann-Roch**

Let  $D = (P_1, \dots, P_n)$  an  $n$ -tuple of mutual distinct points of  $\mathcal{X}(\mathbb{F}_q)$   
The divisor  $P_1 + \dots + P_n$  will also be denoted by  $D$

If the support of  $E$  is disjoint from  $D$ , then the **evaluation map**

$$\text{ev}_D : L(E) \rightarrow \mathbb{F}_q^n$$

where  $\text{ev}_D(f) = (f(P_1), \dots, f(P_n))$ , is well defined.

The **algebraic geometry code**  $C_L(\mathcal{X}, D, E)$

is the image of  $L(E)$  under the evaluation map  $\text{ev}_D$

If  $m < n$ , then  $C_L(\mathcal{X}, D, E)$  is an  $[n, k, d]$  code with

$$k \geq m + 1 - g \text{ and } d \geq n - m$$

**Embedding** of  $\mathcal{X}$  in **linear system** of  $E$  of degree  $m$

Let  $f_1, f_2, \dots, f_k$  be a basis of  $L(E)$

$$\varphi : \mathcal{X} \longrightarrow \mathbb{P}^{k-1}$$

$$P \mapsto (f_1(P), f_2(P), \dots, f_k(P))$$

$\mathcal{P} = (\varphi(P_1), \dots, \varphi(P_n))$  **projective system**

$$G = \begin{pmatrix} f_1(P_1) & \cdots & f_1(P_j) & \cdots & f_1(P_n) \\ f_2(P_1) & \cdots & f_2(P_j) & \cdots & f_2(P_n) \\ \vdots & \cdots & \vdots & \cdots & \vdots \\ f_k(P_1) & \cdots & f_k(P_j) & \cdots & f_k(P_n) \end{pmatrix} \text{generator matrix}$$

**minimum distance**  $\geq n - m$

## Decoding problem

**Input:**  $(G, \mathbf{y})$

where  $G$  is a  $k \times n$  matrix  $G$  over  $\mathbb{F}_q$  of rank  $k$ , and  $\mathbf{y}$  in  $\mathbb{F}_q^n$

**Output:** A closest codeword  $\mathbf{c}$

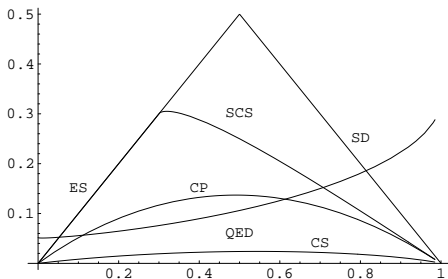
so  $d(\mathbf{c}, \mathbf{y})$  is minimal for all  $\mathbf{c}$  in the code  $C$  with generator matrix  $G$

This problem is NP-hard

Berlekamp-McEliece-Van Tilborg

## Decoding arbitrary linear codes

Exponential complexity  $\approx q^{e(R)n}$



x-axis: information rate  $R = k/n$

y-axis: complexity exponent  $e(R)$



Efficient decoding algorithms up to half the minimum distance for:

- Generalized Reed-Solomon codes
- Goppa codes
- Algebraic geometry codes

Polynomial complexity  $\mathcal{O}(n^3)$

- Peterson, Arimoto 1960
- Berlekamp-Massey 1963
- Justesen-Larsen-Havemose-Jensen-Hoeholdt 1989
- Skorobogatov-Vladut 1990
- Sakata 1990
- Feng-Rao, Duursma 1993
- Sudan, Guruswami 1997

PKC systems use **trapdoor one-way functions**

by mathematical problems that are (supposedly) **hard**

RSA, **factoring integers**: given  $n = pq$  find  $(p, q)$

Diffie-Hellman, **discrete-log problem** in  $\mathbb{F}_q$ : given  $b = a^n$  find  $n$

Elliptic curve PKC, **addition on elliptic curve**: given  $Q = nP$ , find  $n$

Code based PKC systems: **decoding of codes**

McEliece (Goppa codes)

Niederreiter (Generalized Reed-Solomon codes)

Janwa-Moreno (Algebraic geometry codes)

**McEliece:** Let  $\mathcal{C}$  be a class of codes that have efficient decoding algorithms correcting  $t$  errors with  $t \leq (d - 1)/2$

**Secret key:**  $(S, G, P)$

$S$  an invertible  $k \times k$  matrix

$G$  a  $k \times n$  generator matrix of a code  $C$  in  $\mathcal{C}$ .

$P$  an  $n \times n$  permutation matrix

**Public key:**  $G' = SG P$

**Message:**  $m$  in  $\mathbb{F}_q^k$

**Encryption:**  $y = mG' + e$  with random chosen  $e$  in  $\mathbb{F}_q^n$  of weight  $t$

**Decryption:**  $yP^{-1} = mSG + eP^{-1}$  and  $eP^{-1}$  has weight  $t$

Decoder gives  $c = mSG$  as closest codeword

Binary Goppa codes with parameters  $[n, k, d]$  where

$$n = 2^m, \quad k \geq 2^m - mr, \quad d \geq 2r + 1$$

Are subfield subcodes of GRS codes over  $\mathbb{F}_{2^m}$  with parameters

$$[2^m, 2^m - mr, r + 1]$$

Testcase: binary Goppa code with  $m = 10, r = 50$

$$[1024, 524, 101]$$

Corrects 50 errors

- McEliece 1978
- Brickell-Lee 1988
- Leon 1988
- van Tilburg 1988
- Stern 1989
- Canteaut-Chabaud-Sendrier 1998
- Bernstein-Lange-Peters 2008

McEliece PKC system using binary Goppa [1024, 524, 101]  
can be broken in:

- 1400 days by a single CPU or
- 7 days by a cluster of 200 CPU's

Suppose  $\mathcal{C}$  is the class of Generalized Reed-Solomon codes

A GRS code of length  $n$  and dimension  $k = r + 1$  gives a projective system of  $n$  points in general position on a NRC of degree  $r$  in projective space of dimension  $r$

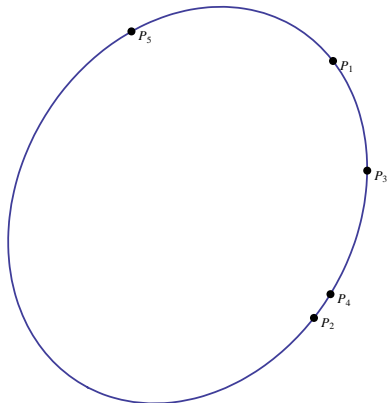
Special case:  $k = 3$  and  $r = 2$ :

a NRC of degree 2 in the projective plane is a conic  
5 points in general position determine this conic

**Steiner:** parametrization of this conic in the plane given these 5 points

Algorithm of **Sidelnikov-Shestakov** for arbitrary  $k$

Complexity: linear algebra  $\mathcal{O}(n^3)$



Veronese 1882, Bordiga 1885, Castelnuovo 1885:

Let  $\mathcal{P}$  be a collection of  $r + 3$  points in general position in  $\mathbb{P}^r$   
Then there is a unique NRC of degree  $r$  passing through the points of  $\mathcal{P}$

Twisted cubic,  $r=3$ :

(spiraal)



**Berger-Loidreau**: use **subcodes** of GRS codes

**Goppa** codes are specific subfield subcodes of GRS codes

Projection of NRC to linear subspace

Singular rational curve in projective space

Attack: **Wieschebrink**

Interpolation problem

Example:  $k = 3$ , rational plane curve of degree  $r$

Suppose that the  $P_j = (x_j : y_j : z_j)$  are not lying on the line  $Z = 0$ .

So we may suppose that  $z_j = 1$  for all  $j$ .

$$G = \begin{pmatrix} x_1 & \cdots & x_j & \cdots & x_n \\ y_1 & \cdots & y_j & \cdots & y_n \\ 1 & \cdots & 1 & \cdots & 1 \end{pmatrix}$$

## Interpolation problem

**Input:**  $n$  mutually distinct points  $P_i = (x_i, y_i)$ ,  $i = 1, \dots, n$

**Output:** an irreducible rational plane curve of degree at most  $r$   
going through the  $n$  given points

**Bézout:** If  $n > r^2$ , then this curve is unique

Remark: Curve is **rational** iff **parameterizable**

(spiraal)

An (irreducible) projective plane curve of degree  $r$  has **genus**

$$g = \frac{1}{2}(r^2 - 3r + 2) - \sum_P \delta_P$$

Variety of projective plane curve of degree  $r$  has **dimension**

$$\frac{1}{2}(r^2 + 3r)$$

Expected dimension of variety of  
rational projective plane curves of degree  $r$

$$\frac{1}{2}(r^2 + 3r) - \frac{1}{2}(r^2 - 3r + 2) = 3r - 1$$

**Kontsevich-Manin 1994:**

The variety of rational projective plane curves of degree  $r$  has dimension  $3r - 1$

Given  $3r - 1$  points in the projective plane in general position, Then there are  $N_r$  rational projective plane curves of degree  $r$  going through these points

$$N_r = \sum_{r_1+r_2=r} N_{r_1} N_{r_2} \left[ r_1^2 r_2^2 \binom{3r-4}{3r_1-2} - r_1^3 r_2 \binom{3r-4}{3r_1-1} \right].$$

$N_1 = 1$ : there is a 1 line going through 2 given points

$N_2 = 1$ : there is a 1 conic going through 5 given points (no 4 on a line)

$N_3 = 12$ : there are 12 rational cubics going through 8 points in general position

**Input:**  $n$  mutually distinct points  $P_i = (x_i, y_i)$ ,  $i = 1, \dots, n$

**Output:** polynomials  $f$ ,  $g$  and  $h$  of degrees at most  $r$  such that  $f$ ,  $g$  and  $h$  are relatively prime and  $h \neq 0$  and there are  $t_1, \dots, t_n$  with

$$x_i = \frac{f(t_i)}{h(t_i)} \quad \text{and} \quad y_i = \frac{g(t_i)}{h(t_i)} \quad \text{for all } i = 1, \dots, n.$$

System of  $2n$  equations in  $n + 3(r + 1)$  variables  $t_1, \dots, t_n$  and the coefficients of  $f$ ,  $g$  and  $h$

Notice: the points  $t_1, \dots, t_n$  are **not given** as input as in the Lagrange interpolation problem

**Janwa-Moreno:** use algebraic geometry codes

Problem for the attacker:

**Input:** a generator matrix of an AG code  $C_L(\mathcal{X}, D, E)$

**Output:**  $(\mathcal{X}, D, E)$  if this triple is unique or  $(\mathcal{X}', D', E')$  otherwise

Every code  $C$  has a representation as  $C = C_L(\mathcal{X}, D, E)$

Automorphisms of the curve  $\mathcal{X}$  that leave the divisors  $D$  and  $E$  invariant give the same code  $C_L(\mathcal{X}, D, E)$  but permute the order of the points in  $D$

Fractional transformations of projective line: fix 0, 1 and  $\infty$

Let  $\mathcal{X}$  be a curve of genus  $g$  and  $D = P_1 + \cdots + P_n$

Let  $E$  and  $E'$  be divisors of degree  $m$  with  $2g - 1 < m < n - 1$

Then

$$C_L(\mathcal{X}, D, E) = C_L(\mathcal{X}, D, E')$$

if and only if

$$E' \equiv_D E \text{ that is } E' = E + (f) \text{ and } f(P_j) = 1 \text{ for all } j$$



Normal rational normal curve is defined by quadratic equations.

The canonical model of a non-hyperelliptic projective curve of genus at least three is the intersection of quadrics and cubics, and of quadrics only except in case of a trigonal curve and a plane quintic

[Enriques](#) 1919, [Petri](#) 1923 and [Babbage](#) 1939

This result for the canonical divisor was generalized for arbitrary divisors  $E$  under certain constraints on the degree

[Mumford](#) 1970, [Saint-Donat](#) 1972 and [Arbarello](#) 1978

Let  $\mathcal{X}$  be a curve embedded in projective  $r$ -space of degree  $m$

Let  $I(\mathcal{X})$  be the vanishing ideal of  $\mathcal{X}$

Let  $\mathcal{P}$  be a subset of  $\mathcal{X}$  of  $n$  points

Then

$$I(\mathcal{X}) \subseteq I(\mathcal{P})$$

Let  $I_2(\mathcal{X})$  be the ideal generated by  
the homogeneous elements of degree two in  $I(\mathcal{X})$

Suppose  $I_2(\mathcal{X}) = I(\mathcal{X})$

If  $n > 2m$ , then  $I(\mathcal{X}) = I_2(\mathcal{P})$

By Bézout

