

# Arrangements, matroids and codes

third lecture

Ruud Pellikaan  
joint work with  
Relinde Jurrius

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1. Extended weight enumerator
2. Formulas for puncturing and shortening
3. Graph theory and colorings
4. Exercises

# Extended weight enumerator

Let  $G$  be the generator matrix of a linear  $[n, k]$  code  $C$  over  $\mathbb{F}_q$

$\mathbb{F}_q$  is a subfield of  $\mathbb{F}_{q^m}$

Consider the code  $C \otimes \mathbb{F}_{q^m}$  over  $\mathbb{F}_{q^m}$   
by taking all  $\mathbb{F}_{q^m}$ -linear combinations of the codewords in  $C$   
This is called the **extension code** of  $C$  over  $\mathbb{F}_{q^m}$

$G$  is also a generator matrix for the extension code  $C \otimes \mathbb{F}_{q^m}$   
Hence  $C \otimes \mathbb{F}_{q^m}$  has dimension  $k$  over  $\mathbb{F}_{q^m}$

Remember:

## Definition

For a subset  $J$  of  $[n] := \{1, 2, \dots, n\}$  define

$$C(J) = \{c \in C : c_j = 0 \text{ for all } j \in J\}$$

$$l(J) = \dim C(J)$$

## Lemma

Let  $C$  be a linear code with generator matrix  $G$

Let  $J \subseteq [n]$  and  $|J| = t$

$G_J$  is the  $k \times t$  submatrix of  $G$  consisting of the columns of  $G$  indexed by  $J$

Let  $r(J)$  be the rank of  $G_J$

Then  $l(J) = k - r(J)$

$l(J) = k - r(J)$  by a previous lemma

$r(J)$  is independent of the extension field  $\mathbb{F}_{q^m}$

Therefore

$$\dim_{\mathbb{F}_q} C(J) = \dim_{\mathbb{F}_{q^m}} (C \otimes \mathbb{F}_{q^m})(J)$$

This motivates the usage of  $T$  as a variable for  $q^m$  in the next definition

Remember:

Let  $C$  be a linear code over  $\mathbb{F}_q$

$$B_J = q^{l(J)} - 1$$

$$B_t = \sum_{|J|=t} B_J$$

Extend: **Definition**

$$B_J(T) = T^{l(J)} - 1$$

$$B_t(T) = \sum_{|J|=t} B_J(T)$$

Note that  $B_J(q^m)$  is the number of nonzero codewords in  $(C \otimes \mathbb{F}_{q^m})(J)$

## Proposition

Let  $C$  be an  $\mathbb{F}_q$ -linear code of dimension  $k$

Let  $d$  and  $d^\perp$  be the minimum distance of  $C$  and  $C^\perp$ , respectively

Let  $J \subseteq [n]$  and  $|J| = t$

Then

$$B_t(T) = \begin{cases} \binom{n}{t}(T^{k-t} - 1) & \text{for all } t < d^\perp \\ 0 & \text{for all } t > n - d \end{cases}$$

## Proof

Follows directly from the lemma on  $l(J)$



Remember:

$$W_C(X, Y) = X^n + \sum_{t=0}^n B_t(X - Y)^t Y^{n-t}$$

Define the **extended weight enumerator** by

$$W_C(X, Y, T) = X^n + \sum_{t=0}^n B_t(T)(X - Y)^t Y^{n-t}$$

## Theorem

The following holds:

$$W_C(X, Y, T) = \sum_{w=0}^n A_w(T) X^{n-w} Y^w$$

$$A_0(T) = 1, \text{ and } A_w(T) = \sum_{t=n-w}^n (-1)^{n+w+t} \binom{t}{n-w} B_t(T)$$

for  $0 < w \leq n$  and

$$B_t(T) = \sum_{w=d}^{n-t} \binom{n-w}{t} A_w(T)$$

**Proof** is similar to the proof relating the  $A_w$ 's and  $B_t$ 's

## Proposition

The weight distribution of an MDS code of length  $n$  and dimension  $k$  is given by

$$A_w(T) = \binom{n}{w} \sum_{j=0}^{w-d} (-1)^j \binom{w}{j} (T^{w-d+1-j} - 1)$$

for  $w \geq d = n - k + 1$

## Proof

Similar to the proof for  $A_w$

## Proposition

Let  $C$  be a linear  $[n, k]$  code over  $\mathbb{F}_q$

Then

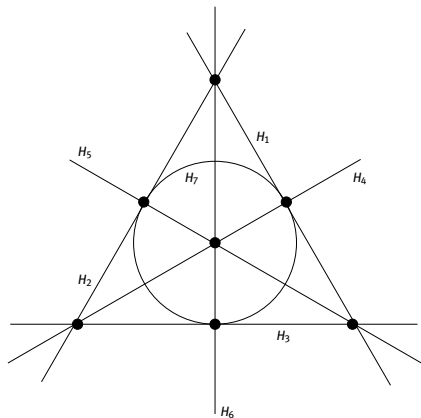
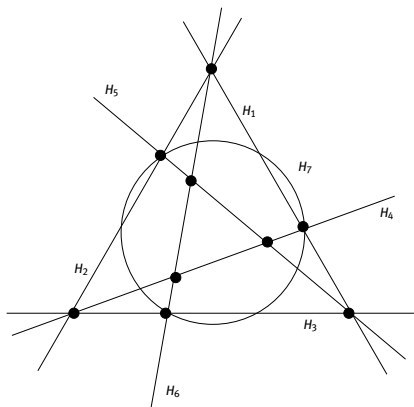
$$W_C(X, Y, q^m) = W_{C \otimes \mathbb{F}_{q^m}}(X, Y)$$

The number of codewords in  $C \otimes \mathbb{F}_{q^m}$  of weight  $w$  is equal to  $A_w(q^m)$

## Proof

Substituting  $T = q^m$  in  $B_t(T)$  gives

$B_t(q^m)$  which is equal to the  $B_t$  of  $C \otimes \mathbb{F}_{q^m}$



$$G = \begin{pmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 & 0 & 1 \end{pmatrix}$$

Let  $C$  be the code with generator matrix  $G$

We have seen for  $q$  even that

$$W_C(X, Y) = X^7 + 7(q-1)X^3Y^4 + 7(q-1)(q-2)XY^6 + (q-1)(q-2)(q-4)Y^7$$

So

$$W_{C \otimes \mathbb{F}_{q^m}}(X, Y) =$$

$$X^7 + 7(q^m - 1)X^3Y^4 + 7(q^m - 1)(q^m - 2)XY^6 + (q^m - 1)(q^m - 2)(q^m - 4)Y^7$$

Therefore

$$W_C(X, Y, T) =$$

$$X^7 + 7(T - 1)X^3Y^4 + 7(T - 1)(T - 2)XY^6 + (T - 1)(T - 2)(T - 4)Y^7$$

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For  $q$  odd we have seen that

$$W_C(X, Y) = X^7 + 6(q - 1)X^3Y^4 + 3(q - 1)X^2Y^5 + (q - 1)(7q - 17)XY^6 + (q - 1)(q - 3)^2Y^7$$

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## Theorem

Let  $C$  be an  $[n, k]$  code over  $\mathbb{F}_q$

Then

$$W_{C^\perp}(X, Y, T) = T^{-k} W_C(X + (q-1)Y, X - Y, T)$$

## Proof

Substituting  $T = q^m$  gives the MacWilliams identity for  $C \otimes \mathbb{F}_{q^m}$

$$W_{C^\perp}(X, Y, q^m) = q^{-mk} W_C(X + (q-1)Y, X - Y, q^m)$$

which holds for all  $m$

Now  $A_w(T)$  is a polynomial in  $T$  with coefficient in  $\mathbb{Z}$

Giving infinitely many identities for the weight distributions of

$$C \otimes \mathbb{F}_{q^m} \text{ and } C^\perp \otimes \mathbb{F}_{q^m} = (C \otimes \mathbb{F}_{q^m})^\perp$$

## Proposition

Let  $C$  be a linear  $[n, k]$  code over  $\mathbb{F}_q$

The following formula will be useful later in identifying the extended weight enumerator with the Tutte polynomial

$$W_C(X, Y, T) = \sum_{t=0}^n \sum_{|J|=t} T^{l(J)} (X - Y)^t Y^{n-t}$$

## Proof

Use the description of  $W_C(X, Y, T)$  in terms of the  $B_t(T)$  and the definition of  $B_t(T)$  in terms of the  $l(J)$

# Puncturing and shortening

There are several ways to get new codes from existing ones

**Puncturing** and **shortening** codes give an alternative algorithm for finding the extended weight enumerator

This algorithm is based on the **Tutte-Grothendieck decomposition** of matrices introduced by **Brylawski**

**Greene** used this for the determination of the weight enumerator

Let  $C$  be a linear  $[n, k]$  code and let  $J \subseteq [n]$

Then the code  $C$  **punctured by  $J$**  is obtained by deleting all the coordinates indexed by  $J$  from the codewords of  $C$   
 $G_{[n] \setminus J}$  is a generator matrix of this punctured code

The length of this punctured code is  $n - |J|$   
and its dimension is at most  $k$

If we puncture the code  $C(J)$  by  $J$ , we get the code  $C$  **shortened by  $J$**   
The length of this shortened code is  $n - |J|$  and its dimension is  $l(J)$

The operations of puncturing and shortening a code are each others dual  
puncturing a code  $C$  by  $J$  and then taking the dual  
gives the same code as shortening  $C^\perp$  by  $J$



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Let  $\mathbb{F}$  be a field

Let  $G$  be a  $k \times n$  matrix over  $\mathbb{F}$

possibly of rank smaller than  $k$  and/or with zero columns

Define for  $J \subseteq [n]$ :

$$l(J) = l(J, G) = k - r(G_J).$$

Define the extended weight enumerator of  $G$  by

$$W_G(X, Y, T) = \sum_{t=0}^n \sum_{|J|=t} T^{l(J, G)} (X - Y)^t Y^{n-t}$$

This coincides with  $W_C(X, Y, T)$  if  $G$  is a generator matrix of  $C$

## Proposition

- (i)  $W_G(X, Y, T)$  is invariant under row-equivalence of matrices
- (ii) Let  $G'$  be a  $l \times n$  matrix with the same row-space as  $G$  then  $W_G(X, Y, T) = T^{k-l} W_{G'}(X, Y, T)$
- (iii)  $W_G(X, Y, T)$  is invariant under permutation of the columns of  $G$
- (iv)  $W_G(X, Y, T)$  is invariant under multiplying a column of  $G$  with an element of  $\mathbb{F}^*$
- (v) If  $G$  is the direct sum of  $G_1$  and  $G_2$ , that is of the form

$$\begin{pmatrix} G_1 & 0 \\ 0 & G_2 \end{pmatrix}$$

then  $W_G(X, Y, T) = W_{G_1}(X, Y, T) \cdot W_{G_2}(X, Y, T)$

Let  $G$  be a  $k \times n$  matrix with entries in  $\mathbb{F}$

Suppose that the  $j$ -th column is not the zero vector

Then there exists a matrix  $G'$  row-equivalent to  $G$  such that the  $j$ -th column is of the form  $(1, 0, \dots, 0)^T$

Such a matrix is called **reduced** at the  $j$ -th column

$$G' = \left( \begin{array}{c|ccc} 1 & g'_{12} & \cdots & g'_{1n} \\ 0 & g'_{22} & \cdots & g'_{2n} \\ \vdots & \vdots & \cdots & \vdots \\ 0 & g'_{k2} & \cdots & g'_{kn} \end{array} \right)$$

In general, this reduction is not unique

Let  $G$  be a matrix that is reduced at the  $j$ -th column  $a$

$G \setminus a$  is the  $k \times (n - 1)$  matrix with column  $a$  removed from  $G$

$G/a$  is the  $(k - 1) \times (n - 1)$  with first row removed from  $G \setminus a$

$$G = \left( \begin{array}{c|c} 1 & G \setminus a \\ 0 & \\ \vdots & \\ 0 & \end{array} \right) \text{ and } G \setminus a = \left( \begin{array}{ccc} \underline{g_{12} \quad \cdots \quad g_{1n}} \\ & & G/a \end{array} \right)$$

View  $G \setminus a$  as  $G$  punctured by  $a$   
and  $G/a$  as  $G$  shortened by  $a$

## Proposition

Let  $G$  be a  $k \times n$  matrix that is reduced at the  $j$ -th column  $a$   
Then

$$W_G = (X - Y)W_{G/a} + YW_{G \setminus a}$$

The  $(X, Y, T)$  part in  $W_G(X, Y, T)$  is omitted for clarity

**Proof** See notes

# Graph theory and colorings



## Definition

A **graph**  $\Gamma$  is a pair  $(V, E)$

where  $V$  is a non-empty set and  $E$  is a set disjoint from  $V$

The elements of  $V$  are **vertices**

and members of  $E$  are **edges**

Edges are **incident** to one or two vertices

the **ends** of the edge

If  $u$  and  $v$  are vertices that are incident with an edge

then they are called **neighbors** or **adjacent**

Suppose that  $V' \subseteq V$  and  $E' \subseteq E$  and

all the endpoints of  $e'$  in  $E'$  are in  $V'$

Then  $\Gamma' = (V', E')$  is called a **subgraph** of  $\Gamma$

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Then  $\Gamma' = (V', E')$  is called a **subgraph** of  $\Gamma$

A **loop** is an edge that is incident with exactly one vertex

Two edges are called **parallel** if they are incident with the same vertices

The graph is called **simple** if it has no loops and no parallel edges

Deleting loops and parallel edges from a graph gives a simple graph

There is a choice in the process of deleting parallel edges  
but the resulting graphs are all isomorphic

This simple graph is called the **simplification**  $\bar{\Gamma}$  of the graph  $\Gamma$

Let  $\Gamma = (V, E)$  be a graph

Let  $K$  be a finite set and  $k = |K|$

The elements of  $K$  are called **colors**

A  **$k$ -coloring** of  $\Gamma$  is a map  $\gamma : V \rightarrow K$

such that  $\gamma(u) \neq \gamma(v)$  for all distinct adjacent vertices  $u$  and  $v$  in  $V$

So vertex  $u$  has color  $\gamma(u)$  and

all other adjacent vertices have a color distinct from  $\gamma(u)$

Let  $P_{\Gamma}(k)$  be the number of  $k$ -colorings of  $\Gamma$

Then  $P_{\Gamma}$  is called the **chromatic polynomial** of  $\Gamma$

If the graph  $\Gamma$  has no edges and  $v$  vertices  
then

$$P_{\Gamma}(k) = k^v$$

since it is equal to the number of all maps from  $V$  to  $K$

In particular there is no map from  $V$  to an empty set  
in case  $V$  is nonempty

So the number of 0-colorings is zero for every graph.

Let  $K_n$  be the **complete graph** on  $n$  vertices in which every pair of two distinct vertices is connected by exactly one edge

Then there is no  $k$  coloring if  $k < n$

Now let  $k \geq n$

Take an enumeration of the vertices

Then there are  $k$  possible choices of a color of the first vertex

Now suppose by induction that we have a coloring of the first  $i$  vertices

then there are  $k - i$  possibilities to color the next vertex

since the  $(i + 1)$ -th vertex is connected to the first  $i$  vertices

Hence

$$P_{K_n}(k) = k(k - 1) \cdots (k - n + 1)$$

So  $P_{K_n}(k)$  is a polynomial in  $k$  of degree  $n$

## Proposition

Let  $\Gamma = (V, E)$  be a graph

Then  $P_\Gamma(k)$  is a polynomial in  $k$

## Proof

Let  $\gamma : V \rightarrow K$  be a  $k$ -coloring of  $\Gamma$  with exactly  $i$  colors

Let  $\sigma$  be a permutation of  $K$

Then  $\sigma \circ \gamma$  is also a  $k$ -coloring of  $\Gamma$  with exactly  $i$  colors

Two such colorings are called **equivalent**

Then  $k(k-1) \cdots (k-i+1)$  is the number of colorings  
in the equivalence class of a given  $k$ -coloring of  $\Gamma$  with exactly  $i$  colors

## Proposition

Let  $\Gamma = (V, E)$  be a graph

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## Proof

Let  $\gamma : V \rightarrow K$  be a  $k$ -coloring of  $\Gamma$  with **exactly  $i$**  colors

Let  $\sigma$  be a permutation of  $K$

Then  $\sigma \circ \gamma$  is also a  $k$ -coloring of  $\Gamma$  with exactly  $i$  colors

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Let  $m_i$  be the number of equivalence classes of colorings with exactly  $i$  colors of the set  $K$

Let  $v = |V|$

Then  $P_{\Gamma}(k)$  is equal to

$$m_1 k + \dots + m_i k(k-1) \cdots (k-i+1) + \dots + m_v k(k-1) \cdots (k-v+1)$$

A graph  $\Gamma = (V, E)$  is called **bipartite** if  $V$  is the disjoint union of two nonempty sets  $M$  and  $N$  such that the ends of an edge are in  $M$  and in  $N$

Hence no two points in  $M$  are adjacent and no two points in  $N$  are adjacent. Let  $m$  and  $n$  be integers such that  $1 \leq m \leq n$

The **complete bipartite graph**  $K_{m,n}$  is the graph on a set of vertices  $V$  that is the disjoint union of two sets  $M$  and  $N$  with  $|M| = m$  and  $|N| = n$  and such that every vertex in  $M$  is connected with every vertex in  $N$  by a unique edge

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Let  $\Gamma = (V, E)$  be a graph

Let  $e$  be an edge that is incident to the vertices  $u$  and  $v$

Then the **deletion**  $\Gamma \setminus e$  is the graph  
with vertices  $V$  and edges  $E \setminus \{e\}$

The **contraction**  $\Gamma/e$  is the graph  
obtained by identifying  $u$  and  $v$  and deleting  $e$

Notice that the number of  $k$ -colorings of  $\Gamma$  does not change  
by deleting loops and a parallel edge  
Hence the chromatic polynomial of  $\Gamma$  and  $\bar{\Gamma}$  are the same

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## Proposition

Let  $\Gamma = (V, E)$  be a simple graph

Let  $e$  be an edge of  $\Gamma$

Then

$$P_{\Gamma}(k) = P_{\Gamma \setminus e}(k) - P_{\Gamma/e}(k)$$

for all positive integers  $k$

## Proof

Define  $\Gamma/e$  formally as follows

Let  $\tilde{u} = \tilde{v} = \{u, v\}$ , and  $\tilde{w} = \{w\}$  if  $w \neq u$  and  $w \neq v$

Let  $\tilde{V} = \{\tilde{w} : w \in V\}$

Then  $\Gamma/e$  is the graph  $(\tilde{V}, E \setminus \{e\})$

where an edge  $f \neq e$  is incident with  $\tilde{w}$  in  $\Gamma/e$

if  $f$  is incident with  $w$  in  $\Gamma$

Let  $u$  and  $v$  be the vertices of  $e$

Then  $u \neq v$ , since the graph is simple

Let  $\gamma$  be a  $k$ -coloring of  $\Gamma \setminus e$

Then  $\gamma$  is also a coloring of  $\Gamma$  if and only if  $\gamma(u) \neq \gamma(v)$

If  $\gamma(u) = \gamma(v)$ , then consider the induced map  $\tilde{\gamma}$  on  $\tilde{V}$  defined by  $\tilde{\gamma}(\tilde{u}) = \gamma(u)$  and  $\tilde{\gamma}(\tilde{w}) = \gamma(w)$  if  $w \neq u$  and  $w \neq v$   
The map  $\tilde{\gamma}$  gives a  $k$ -coloring of  $\Gamma/e$

Conversely, every  $k$ -coloring of  $\Gamma/e$  gives a  $k$ -coloring  $\gamma$  of  $\Gamma \setminus e$  such that  $\gamma(u) = \gamma(v)$

Therefore

$$P_{\Gamma \setminus e}(k) = P_{\Gamma}(k) + P_{\Gamma/e}(k)$$



# Exercises

1. Compute the extended weight enumerator of the binary simplex code  $\mathcal{S}_3(2)$  and its dual Hamming code  $\mathcal{H}_3(2)$
2. Compute the extended weight enumerators of the ternary simplex code  $\mathcal{S}_3(3)$  and its dual the ternary Hamming code  $\mathcal{H}_3(3)$
3. Compute the extended weight enumerators of the  $n$ -fold repetition code and its dual
4. Compute the extended weight enumerators of all codes of length at most 5 using the puncturing-shortening formula
5. Give the complexity of the computation of the extended weight enumerator of code by means of the puncturing-shortening formula as a function of the length  $n$  and dimension  $k$  of the code
6. Compute the chromatic polynomial of  $K_{3,3}$
7. Compute the chromatic polynomials of all simple graphs on at most 4 points by using the deletion-contraction formula