

# Error-correcting pairs and majority coset decoding for public-key cryptosystems

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- ▶ Error-correcting pairs
  - Generalized Reed-Solomon codes
  - Alternant codes
  - Goppa codes
- ▶  $t$ -Error-correcting pair corrects  $t$ -errors
- ▶ Algebraic geometry codes
- ▶ Majority coset decoding
- ▶ Code-based cryptography

$C$  linear block code:  $\mathbb{F}_q$ -linear subspace of  $\mathbb{F}_q^n$

parameters  $[n, k, d]$ :

$n$  = length

$k$  = dimension of  $C$

$d$  = minimum distance of  $C$

$$d = \min |\{d(\mathbf{x}, \mathbf{y}) \mid \mathbf{x}, \mathbf{y} \in C, \mathbf{x} \neq \mathbf{y}\}|$$

$t$  = error-correcting capacity of  $C$

$$t = \lfloor \frac{d-1}{2} \rfloor$$

The **standard inner product** is defined by

$$\mathbf{a} \cdot \mathbf{b} = a_1 b_1 + \cdots + a_n b_n$$

For two subsets  $A$  and  $B$  of  $\mathbb{F}_q^n$

$A \perp B$  if and only if  $\mathbf{a} \cdot \mathbf{b} = 0$  for all  $\mathbf{a} \in A$  and  $\mathbf{b} \in B$

Let  $\mathbf{a}$  and  $\mathbf{b}$  in  $\mathbb{F}_q^n$

The **star product** is defined by coordinatewise multiplication:

$$\mathbf{a} * \mathbf{b} = (a_1 b_1, \dots, a_n b_n)$$

For two subsets  $A$  and  $B$  of  $\mathbb{F}_q^n$

$$A * B = \{\mathbf{a} * \mathbf{b} \mid \mathbf{a} \in A \text{ and } \mathbf{b} \in B\}$$

Let  $C$  be a linear code in  $\mathbb{F}_q^n$

The pair  $(A, B)$  of linear subcodes of  $\mathbb{F}_{q^m}^n$  is called a **t-error correcting pair (ECP)** over  $\mathbb{F}_{q^m}$  for  $C$  if

**E.1**  $(A * B) \perp C$

**E.2**  $k(A) > t$

**E.3**  $d(B^\perp) > t$

**E.4**  $d(A) + d(C) > n$

Let  $\mathbf{a} = (a_1, \dots, a_n)$  be an  $n$ -tuple of **mutually distinct** elements of  $\mathbb{F}_q$

Let  $\mathbf{b} = (b_1, \dots, b_n)$  be an  $n$ -tuple of **nonzero** elements of  $\mathbb{F}_q$

**Evaluation map:**

$$\text{ev}_{\mathbf{a}, \mathbf{b}}(f(X)) = (f(a_1)b_1, \dots, f(a_n)b_n)$$

$$GRS_k(\mathbf{a}, \mathbf{b}) = \{ \text{ev}_{\mathbf{a}, \mathbf{b}}(f(X)) \mid f(X) \in \mathbb{F}_q[X], \deg(f(X)) < k \}$$

Parameters:  $[n, k, n - k + 1]$  if  $k \leq n$

Furthermore

$$\text{ev}_{\mathbf{a}, \mathbf{b}}(f(X)) * \text{ev}_{\mathbf{a}, \mathbf{c}}(g(X)) = \text{ev}_{\mathbf{a}, \mathbf{b}}(f(X)g(X)) * \mathbf{c}$$

$$\langle GRS_k(\mathbf{a}, \mathbf{b}) * GRS_l(\mathbf{a}, \mathbf{c}) \rangle = GRS_{k+l-1}(\mathbf{a}, \mathbf{b} * \mathbf{c})$$

Let  $C = GRS_{n-2t}(\mathbf{a}, \mathbf{b})$

Then  $C$  has parameters:  $[n, n - 2t, 2t + 1]$

and  $C^\perp = GRS_{2t}(\mathbf{a}, \mathbf{c})$  for some  $\mathbf{c}$

Let  $A = GRS_{t+1}(\mathbf{a}, \mathbf{1})$  and  $B = GRS_t(\mathbf{a}, \mathbf{c})$

Then  $A * B \subseteq C^\perp$

$A$  has parameters  $[n, t + 1, n - t]$

$B$  has parameters  $[n, t, n - t + 1]$

So  $B^\perp$  has parameters  $[n, n - t, t + 1]$

Hence  $(A, B)$  is a  $t$ -error-correcting pair for  $C$

Conversely an  $[n, n - 2t, 2t + 1]$  code that has a  $t$ -ECP is a GRS code

Let  $\mathbf{a}$  be an  $n$ -tuple of **mutually distinct** elements of  $\mathbb{F}_{q^m}$

Let  $\mathbf{b}$  be an  $n$ -tuple of **nonzero** elements of  $\mathbb{F}_{q^m}$

Let  $GRS_k(\mathbf{a}, \mathbf{b})$  be the GRS code over  $\mathbb{F}_{q^m}$  of dimension  $k$

The **alternant code**  $ALT_r(\mathbf{a}, \mathbf{b})$  is the  $\mathbb{F}_q$ -linear restriction

$$ALT_r(\mathbf{a}, \mathbf{b}) = \mathbb{F}_q^n \cap (GRS_r(\mathbf{a}, \mathbf{b}))^\perp$$

Then  $ALT_r(\mathbf{a}, \mathbf{b})$  has parameters  $[n, k, d]_q$  with

$$k \geq n - mr \text{ and } d \geq r + 1$$

**Every linear code** of minimum distance at least 2 is an alternant code!



Let  $C = ALT_{2t}(\mathbf{a}, \mathbf{b})$

Then  $C$  has minimum distance  $d \geq 2t + 1$

and  $C \subseteq (GRS_{2t+1}(\mathbf{a}, \mathbf{b}))^\perp$

Let  $A = GRS_{t+1}(\mathbf{a}, \mathbf{1})$  and  $B = GRS_t(\mathbf{a}, \mathbf{b})$

Then  $A * B \subseteq GRS_{2t+1}(\mathbf{a}, \mathbf{b})$

Then  $(A * B) \perp C$

$A$  has parameters  $[n, t + 1, n - t]$

$B$  has parameters  $[n, t, n - t + 1]$

So  $B^\perp$  has parameters  $[n, n - t, t + 1]$

Hence  $(A, B)$  is a  $t$ -error-correcting pair over  $\mathbb{F}_{q^m}$  for  $C$

Let  $L = (a_1, \dots, a_n)$  be an  $n$ -tuple of  $n$  distinct elements of  $\mathbb{F}_{q^m}$   
Let  $g$  be a polynomial with coefficients in  $\mathbb{F}_{q^m}$  such that

$$g(a_j) \neq 0 \text{ for all } j$$

Then  $g$  is called **Goppa polynomial** with respect to  $L$

Define the  $\mathbb{F}_q$ -linear **Goppa code**  $\Gamma(L, g)$  by

$$\Gamma(L, g) = \left\{ \mathbf{c} \in \mathbb{F}_q^n \mid \sum_{j=1}^n \frac{c_j}{X - a_j} \equiv 0 \pmod{g(X)} \right\}$$

Let  $L = \mathbf{a} = (a_1, \dots, a_n)$

Let  $g$  be a Goppa polynomial of degree  $r$

Let  $b_j = 1/g(a_j)$

Then

$$\Gamma(L, g) = ALT_r(\mathbf{a}, \mathbf{b})$$

Hence  $\Gamma(L, g)$  has parameters  $[n, k, d]_q$  with

$$k \geq n - mr \text{ and } d \geq r + 1$$

and has an  $\lfloor r/2 \rfloor$ -error-correcting pair

Let  $L = \mathbf{a} = (a_1, \dots, a_n)$

Let  $g$  be a Goppa polynomial with coefficients in  $\mathbb{F}_{2^m}$  of degree  $r$

Suppose moreover that  $g$  has no square factor

Then

$$\Gamma(L, g) = \Gamma(L, g^2)$$

Hence  $\Gamma(L, g)$  has parameters  $[n, k, d]_q$  with

$$k \geq n - mr \text{ and } d \geq 2r + 1$$

and has an  $r$ -error-correcting pair

Let  $C$  be a linear code in  $\mathbb{F}_q^n$

The pair  $(A, B)$  of linear subcodes of  $\mathbb{F}_{q^m}^n$  is called a **t-error correcting pair (ECP)** over  $\mathbb{F}_{q^m}$  for  $C$  if

**E.1**  $(A * B) \perp C$

**E.2**  $k(A) > t$

**E.3**  $d(B^\perp) > t$

**E.4**  $d(A) + d(C) > n$

Let  $(A, B)$  be linear subcodes of  $\mathbb{F}_{q^m}^n$  that satisfy **E.1**, **E.2**, **E.3** and

**E.5**  $d(A^\perp) > 1$

**E.6**  $d(A) + 2t > n$

Then  $d(C) \geq 2t + 1$  and  $(A, B)$  is a  $t$ -ECP for  $C$

Let  $A$  and  $B$  be linear subspaces of  $\mathbb{F}_q^n$

Let  $\mathbf{r} \in \mathbb{F}_q^n$  be a **received word**

Define the **kernel**

$$K(\mathbf{r}) = \{ \mathbf{a} \in A \mid (\mathbf{a} * \mathbf{b}) \cdot \mathbf{r} = 0 \text{ for all } \mathbf{b} \in B \}$$

## Lemma

Let  $C$  be an  $\mathbb{F}_q$ -linear code of length  $n$

Let  $\mathbf{r}$  be a received word with **error vector**  $\mathbf{e}$

So  $\mathbf{r} = \mathbf{c} + \mathbf{e}$  for some  $\mathbf{c} \in C$

If  $A * B \subseteq C^\perp$ , then

$$K(\mathbf{r}) = K(\mathbf{e})$$

Let  $A = GRS_{t+1}(\mathbf{a}, \mathbf{1})$  and  $B = GRS_t(\mathbf{a}, \mathbf{1})$  and  $C = \langle A * B \rangle^\perp$

Let

$$\mathbf{a}_i = \text{ev}_{\mathbf{a},1}(X^{i-1}) \text{ for } i = 1, \dots, t+1$$

$$\mathbf{b}_j = \text{ev}_{\mathbf{a},1}(X^j) \text{ for } j = 1, \dots, t$$

$$\mathbf{h}_l = \text{ev}_{\mathbf{a},1}(X^l) \text{ for } l = 1, \dots, 2t$$

Then

$\mathbf{a}_1, \dots, \mathbf{a}_{t+1}$  is a basis of  $A$

$\mathbf{b}_1, \dots, \mathbf{b}_t$  is a basis of  $B$

$\mathbf{h}_1, \dots, \mathbf{h}_{2t}$  is a basis of  $C^\perp$

Furthermore

$$\mathbf{a}_i * \mathbf{b}_j = \text{ev}_{\mathbf{a},1}(X^{i+j-1}) = \mathbf{h}_{i+j-1}$$

Let  $r$  be a **received word** and

$s = rH^T$  its **syndrome**

Then

$$(b_j * a_i) \cdot r = s_{i+j-1}.$$

To compute the kernel  $K(r)$  we have to compute the **null space** of the matrix of syndromes

$$\begin{pmatrix} s_1 & s_2 & \cdots & s_t & s_{t+1} \\ s_2 & s_3 & \cdots & s_{t+1} & s_{t+2} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ s_t & s_{t+1} & \cdots & s_{2t-1} & s_{2t} \end{pmatrix}$$



Let  $(A, B)$  be a  $t$ -ECP for  $C$

Let  $J$  be a subset of  $\{1, \dots, n\}$

Define the subspace of  $A$

$$A(J) = \{ \mathbf{a} \in A \mid a_j = 0 \text{ for all } j \in J \}$$

## Lemma

Let  $(A * B) \perp C$

Let  $\mathbf{e}$  be an error vector of the received word  $\mathbf{r}$

If  $I = \text{supp}(\mathbf{e}) = \{ i \mid e_i \neq 0 \}$ , then

$$A(I) \subseteq K(\mathbf{r})$$

If moreover  $d(B^\perp) > \text{wt}(\mathbf{e})$ , then  $A(I) = K(\mathbf{r})$

Let  $(A, B)$  be a  $t$ -ECP for  $C$  with  $d(C) \geq 2t + 1$   
Suppose that  $c \in C$  is the **code word sent** and  $r = c + e$  is  
the **received word** for some **error vector**  $e$  with  $\text{wt}(e) \leq t$

The **basic algorithm** for the code  $C$ :

- Compute the kernel  $K(r)$

This kernel is nonzero since  $k(A) > t$

- Take a nonzero element  $a$  of  $K(r)$

$K(r) = K(e)$  since  $(A * B) \perp C$

- Determine the set  $J$  of zero positions of  $a$

$\text{supp}(e) \subseteq J$  since  $d(B^\perp) > t$

$|J| < d(C)$  since  $d(A) + d(C) > n$

- Compute the error values by **erasure decoding**

## Theorem

Let  $C$  be an  $\mathbb{F}_q$ -linear code of length  $n$

Let  $(A, B)$  be a  $t$ -error-correcting pair over  $\mathbb{F}_{q^m}$  for  $C$

Then the basic algorithm corrects  $t$  errors  
for the code  $C$  with complexity  $\mathcal{O}((mn)^3)$

Let  $\mathcal{P} = (P_1, \dots, P_n)$  an  $n$ -tuple of mutual distinct points of  $\mathcal{X}(\mathbb{F}_q)$

(If the support of  $E$  is disjoint from  $\mathcal{P}$ ), then the **evaluation map**

$$\text{ev}_{\mathcal{P}} : L(E) \rightarrow \mathbb{F}_q^n$$

where  $\text{ev}_{\mathcal{P}}(f) = (f(P_1), \dots, f(P_n))$ , is well defined.

The **algebraic geometry code**  $C_L(\mathcal{X}, \mathcal{P}, E)$

is the image of  $L(E)$  under the evaluation map  $\text{ev}_{\mathcal{P}}$

If  $m < n$ , then  $C_L(\mathcal{X}, \mathcal{P}, E)$  is an  $[n, k, d]$  code with

$$k \geq m + 1 - g \text{ and } d \geq n - m$$

$n - m$  is called the **designed minimum distance** of  $C_L(\mathcal{X}, \mathcal{P}, E)$

Let  $F$  and  $G$  be divisors

Then there is a well defined linear map

$$L(F) \otimes L(G) \longrightarrow L(F + G)$$

given on generators by

$$f \otimes g \mapsto fg$$

Hence

$$C_L(\mathcal{X}, \mathcal{P}, F) * C_L(\mathcal{X}, \mathcal{P}, G) \subseteq C_L(\mathcal{X}, \mathcal{P}, F + G)$$

Let  $C = C_L(\mathcal{X}, \mathcal{P}, E)^\perp$

Choose a divisor  $F$  with support disjoint from  $\mathcal{P}$

Let  $A = C_L(\mathcal{X}, \mathcal{P}, F)$

Let  $B = C_L(\mathcal{X}, \mathcal{P}, E - F)$

Then

-  $A * B \subseteq C^\perp$

- If  $t + g \leq \deg(F) < n$ , then  $k(A) > t$

- If  $\deg(G - F) > t + 2g - 2$ , then  $d(B^\perp) > t$

- If  $\deg(G - F) > 2g - 2$ , then  $d(A) + d(C) > n$

## Proposition

An algebraic geometry code of designed minimum distance  $d$  from a curve over  $\mathbb{F}_q$  of genus  $g$  has a  $t$ -error-correcting pair over  $\mathbb{F}_q$  where

$$t = \lfloor \frac{d-1-g}{2} \rfloor$$

## Proposition

An algebraic geometry code of designed minimum distance  $d$  from a curve over  $\mathbb{F}_q$  of genus  $g$  has a  $t$ -error-correcting pair over  $\mathbb{F}_{q^m}$  where

$$t = \lfloor \frac{d-1}{2} \rfloor$$

if

$$m > \log_q (2 \binom{n}{t} + 2 \binom{n}{t+1} + 1)$$

**Not constructive!**

Majority coset decoding gives a constructive and efficient approach



## Proposition (Feng-Rao, Duursma)

Let  $C$  be code with a subcode  $D$  of codimension one

Let  $\mathbf{a}_1, \dots, \mathbf{a}_w$  and  $\mathbf{b}_1, \dots, \mathbf{b}_w$  such that

$$\begin{cases} \mathbf{a}_i * \mathbf{b}_j \in C^\perp & \text{if } i + j \leq w, \\ \mathbf{a}_i * \mathbf{b}_j \in D^\perp \setminus C^\perp & \text{if } i + j = w + 1. \end{cases}$$

Then all words of  $C \setminus D$  have weight at least  $w$

**Proof:** Let  $c \in C \setminus D$

Let  $A$  be the  $w \times n$  matrix with the  $a_i$ 's as rows

Let  $B$  be the  $w \times n$  matrix with the  $b_j$ 's as rows

Let  $D(c)$  be the diagonal matrix with  $c$  on the diagonal

Let  $S(c)$  be the  $w \times w$  matrix with entries  $s_{i,j} = a_i * b_j \cdot c$

Then

$$AD(c)B^T = S(c)$$

and

$$\begin{cases} s_{i,j} = 0 & \text{if } i + j \leq w, \\ s_{i,j} \neq 0 & \text{if } i + j = w + 1. \end{cases}$$

Hence  $\text{wt}(c) = \text{rk}(D(c)) \geq \text{rk}(S(c)) = w$

Let  $w = 2t + 1$

$$\begin{pmatrix} 0 & 0 & \cdots & 0 & 0 & \cdots & 0 & S_{1,w} \\ 0 & 0 & \cdots & 0 & 0 & \cdots & S_{2,w-1} & S_{2,w-1} \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & \cdots & 0 & S_{t,t+1} & \cdots & S_{t,w-1} & S_{t,w} \\ 0 & 0 & \cdots & S_{t+1,t} & S_{t+1,t+1} & \cdots & S_{t+1,w-1} & S_{t+1,w} \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & S_{w-1,2} & \cdots & S_{w-1,t} & S_{w-1,t+1} & \cdots & S_{w-1,w-1} & S_{w-1,w} \\ S_{w,1} & S_{w,2} & \cdots & S_{w,t} & S_{w,t+1} & \cdots & S_{w,w-1} & S_{w,w} \end{pmatrix}$$

**Decoding:** Let  $r$  be a received word

with  $r = c + e$  and  $c \in C \setminus D$  and error vector  $e$

Let  $S(r)$  be the  $t \times t$  **syndrome** matrix with entries  $s_{i,j}(r) = a_i * b_j \cdot r$

Then

$$s_{i,j}(r) = s_{i,j}(e) \quad \text{if } i + j \leq w$$

are called the **known syndromes**

Now  $D$  has codimension one in  $C$ , so there exists a  $d \in D^\perp \setminus C^\perp$   
and  $\lambda_{ij} \in \mathbb{F}_q^*$  for  $i + j = w + 1$  such that

$$a_i * b_j \equiv \lambda_{ij} d \quad \text{mod } C^\perp$$

Hence the **unknown syndromes** are related to  $d \cdot r$  by:

$$s_{i,j}(r) = \lambda_{ij} d \cdot r \quad \text{if } i + j = w + 1$$

Let  $w = 2t + 1$

$$\begin{pmatrix} s_{1,1} & s_{1,2} & \cdots & s_{1,t} & s_{1,t+1} & \cdots & s_{1,w-1} & s_{1,w} \\ s_{2,1} & s_{2,2} & \cdots & s_{2,t} & s_{2,t+1} & \cdots & s_{2,w-1} & \\ \vdots & \vdots & \ddots & \vdots & \vdots & & & \\ s_{t,1} & s_{t,2} & \cdots & s_{t,t} & s_{t,t+1} & & & \\ s_{t+1,1} & s_{t+1,2} & \cdots & s_{t+1,t} & & & & \\ \vdots & \vdots & & & & & & \\ s_{w-1,1} & s_{w-1,2} & & & & & & \\ s_{w,1} & & & & & & & \end{pmatrix}$$

At the heart of any **public-key cryptosystem** is a **one-way function**

$$y = f(x)$$

that is **easy to evaluate** but for which it is **computationally infeasible (one hopes)** to **find an inverse**

$$x \in f^{-1}(y)$$

PKC systems use **trapdoor one-way functions**

by mathematical problems that are (**supposedly**) **hard**

- RSA, **factoring integers**
- Diffie-Hellman, **discrete-log problem** in finite field
- Elliptic curve PKC, **addition on elliptic curve**
- Lattice-based PKC systems **closest vector problem**
- Code-based PKC systems, **decoding of codes**

## Code based PKC systems

Take a class of codes that have an efficient decoding algorithm:  
Scramble a generator matrix such that it looks like a random code

- Goppa codes (McEliece)
- with parity check matrix instead of generator matrix (Niederreiter)
- Algebraic geometry codes (Janwa-Moreno)
- subcodes of GRS codes (Berger-Loidreau)
- subfield subcodes of algebraic geometry codes (Janwa-Moreno)



## Generic attack – decoding algorithms:

- McEliece 1978
- .....
- Finiasz-Sendrier 2009
- Bernstein-Lange-Peters 2008-2011
- Becker-Joux-May-Meurer Eurocrypt 2012

## Structural attack:

- GRS codes (Sidelnikov-Shestakov)
- subcodes of GRS codes (Wieschebrink, Márquez-Martínez-P)
- Alternant codes: open
- Goppa codes: open
- AG codes: (Faure-Minder,  $g \leq 2$ )
- VSAG codes: (Márquez-Martínez-P-Ruano, arbitrary  $g$ )

Most (all) classes of codes used in PKC systems have an ECP

From a structural point of view we pose the question:

Is the the map

$$x = (A, B) \mapsto y = \langle A * B \rangle$$

a one-way function?

# Questions?

35/35