

# Error-correcting pairs an axiomatic approach to coding theory and coded based cryptography

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- ▶ Error-correcting pairs correct errors efficiently
- ▶ Applies to many known codes
- ▶ Can be explained in a short time
- ▶ Is a distinguisher of certain classes of codes
- ▶ Polynomial attack of the McEliece public key cryptosystem using algebraic geometry codes.

- ▶ Efficient decoding algorithms
- ▶ Error-correcting pair
- ▶ Generalized Reed-Solomon codes
- ▶  $t$ -error-correcting pair corrects  $t$ -errors
- ▶ Code-based cryptography

$C$  linear block code:  $\mathbb{F}_q$ -linear subspace of  $\mathbb{F}_q^n$

parameters  $[n, k, d]$ :

$n$  = length

$k$  = dimension of  $C$

$d$  = minimum distance of  $C$

$$d = \min |\{d(\mathbf{x}, \mathbf{y}) \mid \mathbf{x}, \mathbf{y} \in C, \mathbf{x} \neq \mathbf{y}\}|$$

$t$  = error-correcting capacity of  $C$

$$t = \lfloor \frac{d(C) - 1}{2} \rfloor$$

The **standard inner product** is defined by

$$\mathbf{a} \cdot \mathbf{b} = a_1 b_1 + \cdots + a_n b_n$$

Two subsets  $A$  and  $B$  of  $\mathbb{F}_q^n$  are **perpendicular**:

$A \perp B$  if and only if  $\mathbf{a} \cdot \mathbf{b} = 0$  for all  $\mathbf{a} \in A$  and  $\mathbf{b} \in B$

Let  $\mathbf{a}$  and  $\mathbf{b}$  in  $\mathbb{F}_q^n$

The **star product** is defined by coordinatewise multiplication:

$$\mathbf{a} * \mathbf{b} = (a_1 b_1, \dots, a_n b_n)$$

For two subsets  $A$  and  $B$  of  $\mathbb{F}_q^n$

$$A * B = \langle \mathbf{a} * \mathbf{b} \mid \mathbf{a} \in A \text{ and } \mathbf{b} \in B \rangle$$

The following classes of codes:

- ▶ Generalized Reed-Solomon codes
- ▶ Cyclic codes
- ▶ Alternant codes
- ▶ Goppa codes
- ▶ Algebraic geometry codes

have efficient decoding algorithms:

- ▶ Arimoto, Peterson, Gorenstein, Zierler
- ▶ Berlekamp, Massey, Sakata
- ▶ Justesen et al., Vladut-Skrobogatov, .....
- ▶ Error-correcting pairs

Let  $C$  be a linear code in  $\mathbb{F}_q^n$

The pair  $(A, B)$  of linear subcodes of  $\mathbb{F}_{q^m}^n$  is called a **t-error correcting pair (ECP)** over  $\mathbb{F}_{q^m}$  for  $C$  if

**E.1**  $(A * B) \perp C$

**E.2**  $k(A) > t$

**E.3**  $d(B^\perp) > t$

**E.4**  $d(A) + d(C) > n$

Let  $\mathbf{a} = (a_1, \dots, a_n)$  be an  $n$ -tuple of **mutually distinct** elements of  $\mathbb{F}_q$

Let  $\mathbf{b} = (b_1, \dots, b_n)$  be an  $n$ -tuple of **nonzero** elements of  $\mathbb{F}_q$

**Evaluation map:**

$$\text{ev}_{\mathbf{a}, \mathbf{b}}(f(X)) = (f(a_1)b_1, \dots, f(a_n)b_n)$$

$$GRS_k(\mathbf{a}, \mathbf{b}) = \{ \text{ev}_{\mathbf{a}, \mathbf{b}}(f(X)) \mid f(X) \in \mathbb{F}_q[X], \deg(f(X)) < k \}$$

Parameters:  $[n, k, n - k + 1]$  if  $k \leq n$

Furthermore

$$\text{ev}_{\mathbf{a}, \mathbf{b}}(f(X)) * \text{ev}_{\mathbf{a}, \mathbf{c}}(g(X)) = \text{ev}_{\mathbf{a}, \mathbf{b} * \mathbf{c}}(f(X)g(X))$$

$$GRS_k(\mathbf{a}, \mathbf{b}) * GRS_l(\mathbf{a}, \mathbf{c}) = GRS_{k+l-1}(\mathbf{a}, \mathbf{b} * \mathbf{c})$$



Let  $C^\perp = GRS_{n-2t}(\mathbf{a}, \mathbf{1})$ , has parameters:  $[n, 2t, n - 2t + 1]$

Then  $C = GRS_{2t}(\mathbf{a}, \mathbf{b})$  for some  $\mathbf{b}$

has parameters:  $[n, n - 2t, 2t + 1]$

Let  $A = GRS_{t+1}(\mathbf{a}, \mathbf{1})$  and  $B = GRS_t(\mathbf{a}, \mathbf{1})$

Then  $(A * B) \subseteq C^\perp$

$A$  has parameters  $[n, t + 1, n - t]$

$B$  has parameters  $[n, t, n - t + 1]$

So  $B^\perp$  has parameters  $[n, n - t, t + 1]$

Hence  $(A, B)$  is a  $t$ -error-correcting pair for  $C$

Let  $C$  be a linear code in  $\mathbb{F}_q^n$

The pair  $(A, B)$  of linear subcodes of  $\mathbb{F}_{q^m}^n$  is called a **t-error correcting pair (ECP)** over  $\mathbb{F}_{q^m}$  for  $C$  if

**E.1**  $(A * B) \perp C$

**E.2**  $k(A) > t$

**E.3**  $d(B^\perp) > t$

**E.4**  $d(A) + d(C) > n$

Let  $A$  and  $B$  be linear subspaces of  $\mathbb{F}_q^n$

and  $\mathbf{r} \in \mathbb{F}_q^n$  a **received word**

Define the **kernel**

$$K(\mathbf{r}) = \{ \mathbf{a} \in A \mid (\mathbf{a} * \mathbf{b}) \cdot \mathbf{r} = 0 \text{ for all } \mathbf{b} \in B \}$$

## Lemma

Let  $C$  be an  $\mathbb{F}_q$ -linear code of length  $n$

Let  $\mathbf{r}$  be a received word with **error vector**  $\mathbf{e}$

So  $\mathbf{r} = \mathbf{c} + \mathbf{e}$  for some  $\mathbf{c} \in C$

If  $(A * B) \subseteq C^\perp$ , then

$$K(\mathbf{r}) = K(\mathbf{e})$$

Let  $A = GRS_{t+1}(\mathbf{a}, \mathbf{1})$  and  $B = GRS_t(\mathbf{a}, \mathbf{1})$  and  $C = \langle A * B \rangle^\perp$

Let

$$\mathbf{a}_i = \text{ev}_{\mathbf{a}, \mathbf{1}}(X^{i-1}) \text{ for } i = 1, \dots, t+1$$

$$\mathbf{b}_j = \text{ev}_{\mathbf{a}, \mathbf{1}}(X^j) \text{ for } j = 1, \dots, t$$

$$\mathbf{h}_l = \text{ev}_{\mathbf{a}, \mathbf{1}}(X^l) \text{ for } l = 1, \dots, 2t$$

Then

$\mathbf{a}_1, \dots, \mathbf{a}_{t+1}$  is a basis of  $A$

$\mathbf{b}_1, \dots, \mathbf{b}_t$  is a basis of  $B$

$\mathbf{h}_1, \dots, \mathbf{h}_{2t}$  is a basis of  $C^\perp$

Furthermore

$$\mathbf{a}_i * \mathbf{b}_j = \text{ev}_{\mathbf{a}, \mathbf{1}}(X^{i+j-1}) = \mathbf{h}_{i+j-1}$$

Let  $\mathbf{r}$  be a **received word** and  
 $(s_1, \dots, s_{2t}) = \mathbf{r}H^T$  its **syndrome**

Then

$$(\mathbf{b}_j * \mathbf{a}_i) \cdot \mathbf{r} = s_{i+j-1}.$$

To compute the kernel  $K(\mathbf{r})$  we have to compute  
the **null space** of the matrix of syndromes

$$\begin{pmatrix} s_1 & s_2 & \cdots & s_t & s_{t+1} \\ s_2 & s_3 & \cdots & s_{t+1} & s_{t+2} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ s_t & s_{t+1} & \cdots & s_{2t-1} & s_{2t} \end{pmatrix}$$

Let  $(A, B)$  be a  $t$ -ECP for  $C$

Let  $J$  be a subset of  $\{1, \dots, n\}$

Define the subspace of  $A$  of **error-locating** vectors:

$$A(J) = \{ \mathbf{a} \in A \mid a_j = 0 \text{ for all } j \in J \}$$

## Lemma

Let  $(A * B) \perp C$

Let  $\mathbf{e}$  be an error vector of the received word  $\mathbf{r}$

If  $I = \text{supp}(\mathbf{e}) = \{ i \mid e_i \neq 0 \}$ , then

$$A(I) \subseteq K(\mathbf{r})$$

If moreover  $d(B^\perp) > \text{wt}(\mathbf{e})$ , then  $A(I) = K(\mathbf{r})$

Let  $(A, B)$  be a  $t$ -ECP for  $C$  with  $d(C) \geq 2t + 1$   
Suppose that  $c \in C$  is the **code word sent** and  $r = c + e$  is  
the **received word** for some **error vector**  $e$  with  $\text{wt}(e) \leq t$

The **basic algorithm** for the code  $C$ :

- Compute the kernel  $K(r)$

This kernel is nonzero since  $k(A) > t$

- Take a nonzero element  $k$  of  $K(r)$

$K(r) = K(e)$  since  $(A * B) \perp C$

- Determine the set  $J$  of zero positions of  $k$

$\text{supp}(e) \subseteq J$  since  $d(B^\perp) > t$

- Compute the error values by **erasure decoding**

$|J| < d(C)$  since  $n - d(A) < d(C)$

## Theorem

Let  $C$  be an  $\mathbb{F}_q$ -linear code of length  $n$

Let  $(A, B)$  be a  $t$ -error-correcting pair over  $\mathbb{F}_{q^m}$  for  $C$

Then the basic algorithm corrects  $t$  errors  
for the code  $C$  with complexity  $\mathcal{O}((mn)^3)$



## McEliece:

Let  $\mathcal{C}$  be a class of codes that have efficient decoding algorithms correcting  $t$  errors with  $t \leq (d - 1)/2$

**Secret key:**  $(S, G, P)$

- $S$  an invertible  $k \times k$  matrix
- $G$  a  $k \times n$  generator matrix of a code  $C$  in  $\mathcal{C}$ .
- $P$  an  $n \times n$  permutation matrix

**Public key:**  $G' = SG P$

McEliece:

Encryption with public key  $G' = SG P$  and message  $m$  in  $\mathbb{F}_q^k$ :

$$y = mG' + e$$

with random chosen  $e$  in  $\mathbb{F}_q^n$  of weight  $t$

Decryption with secret key  $(S, G, P)$ :

$$yP^{-1} = (mG' + e)P^{-1} = mSG + eP^{-1}$$

$SG$  and  $G$  are generator matrices of the same code  $C$

$eP^{-1}$  has weight  $t$

Decoder gives  $c = mSG$  as closest codeword

## Minimum distance decoding is NP-hard (Berlekamp-McEliece-Van Tilborg)

It is assumed that:

1.  $P \neq NP$
2. Decoding up to **half the minimum distance** is hard
3. One cannot **distinguish** nor **retrieve** the original code by disguising it by  $S$  and  $P$

## Generic attack – decoding algorithms:

- McEliece 1978

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- Brickell, Lee 1988

- Leon 1988

- van Tilburg 1988

- Stern 1989

- Canteaut, Chabaud, Sendrier 1998

- Finiasz-Sendrier 2009

- Bernstein-Lange-Peters 2008-2011

- Becker-Joux-May-Meurer Eurocrypt 2012

## Structural attacks:

- GRS codes (Sidelnikov-Shestakov)
- subcodes of GRS codes (Wieschebrink, Márquez-Martínez-P)
- Alternant codes: open
- Goppa codes: open
- Algebraic geometry codes: (Faure-Minder, genus  $g \leq 2$ )
- VSAG codes: (Márquez-Martínez-P-Ruano, arbitrary  $g$ )
- Polynomial attack on AG codes: (Couvreur-Márquez-P, using ECP's)