

Is it hard to retrieve an error-correcting pair?

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- ▶ Decoding algorithm with an Error-Correcting Pair
- ▶ Existence of Error-Correcting Pairs
- ▶ Code Based Public Key Cryptosystem
- ▶ Possible attacks

Decoding algorithm with an Error-Correcting Pair

C linear block code: \mathbb{F}_q -linear subspace of \mathbb{F}_q^n

parameters $[n, k, d]$:

n = length

k = dimension of C

d = minimum distance of C

$$d = \min |\{d(\mathbf{x}, \mathbf{y}) \mid \mathbf{x}, \mathbf{y} \in C, \mathbf{x} \neq \mathbf{y}\}|$$

t = error-correcting capacity of C

$$t = \lfloor \frac{d(C) - 1}{2} \rfloor$$

Let \mathbf{a} and \mathbf{b} in \mathbb{F}_q^n

The **standard inner product** is defined by

$$\mathbf{a} \cdot \mathbf{b} = a_1 b_1 + \cdots + a_n b_n$$

Two subsets A and B of \mathbb{F}_q^n are **perpendicular**:

$A \perp B$ if and only if $\mathbf{a} \cdot \mathbf{b} = 0$ for all $\mathbf{a} \in A$ and $\mathbf{b} \in B$

The **dual code** of C is defined by

$$C^\perp = \{ \mathbf{x} \mid \mathbf{x} \cdot \mathbf{c} = 0 \text{ for all } \mathbf{c} \in C \}$$

The **star product** is defined by coordinatewise multiplication:

$$\mathbf{a} * \mathbf{b} = (a_1 b_1, \dots, a_n b_n)$$

Then

$$(\mathbf{a} * \mathbf{b}) \cdot \mathbf{c} = \sum a_i b_i c_i = (\mathbf{a} * \mathbf{c}) \cdot \mathbf{b}$$

For two subsets A and B of \mathbb{F}_q^n

$$A * B = \langle \mathbf{a} * \mathbf{b} \mid \mathbf{a} \in A \text{ and } \mathbf{b} \in B \rangle$$

The following classes of codes:

- ▶ Generalized Reed-Solomon codes
- ▶ Cyclic codes
- ▶ Alternant codes
- ▶ Goppa codes
- ▶ Algebraic geometry codes

have efficient decoding algorithms:

- ▶ Arimoto, Peterson, Gorenstein, Zierler
- ▶ Berlekamp, Massey, Sakata
- ▶ Justesen et al., Vladut-Skorobogatov,
- ▶ Error-correcting pairs

Notice that multiplying polynomials first and then evaluating gives the same answer as first evaluating and then multiplying
If $f(X), g(X) \in \mathbb{F}_q[X]$ and $h(X) = f(X)g(X)$ then

$$h(a) = f(a)g(a) \text{ for all } a \in \mathbb{F}_q$$

So

$$\begin{aligned} \text{ev}(f(X)g(X)) &= \text{ev}(f(X)) * \text{ev}(g(X)) \text{ and} \\ \text{ev}_a(f(X)g(X)) &= \text{ev}_a(f(X)) * \text{ev}_a(g(X)) \end{aligned}$$

Let $\mathbf{a} = (a_1, \dots, a_n)$ be an n -tuple of **distinct** elements of \mathbb{F}_q

Let $\mathbf{b} = (b_1, \dots, b_n)$ be an n -tuple of **nonzero** elements of \mathbb{F}_q

Evaluation map:

$$\text{ev}_{\mathbf{a}, \mathbf{b}}(f(X)) = (f(a_1)b_1, \dots, f(a_n)b_n)$$

$$\text{GRS}_k(\mathbf{a}, \mathbf{b}) = \{ \text{ev}_{\mathbf{a}, \mathbf{b}}(f(X)) \mid f(X) \in \mathbb{F}_q[X], \deg(f(X)) < k \}$$

Parameters:

$$[n, k, n - k + 1] \text{ if } k \leq n$$

Since a polynomial of degree $k - 1$ has at most $k - 1$ zeros

$$\text{GRS}_k(\mathbf{a}, \mathbf{b}) * \text{GRS}_l(\mathbf{a}, \mathbf{c}) = \text{GRS}_{k+l-1}(\mathbf{a}, \mathbf{b} * \mathbf{c})$$

and

$$RS_k(n, \mathbf{b}) * RS_l(n, \mathbf{c}) = RS_{k+l-1}(n, \mathbf{b} + \mathbf{c} - \mathbf{1}) \text{ if } n = q - 1$$

Now

$$\text{GRS}_k(\mathbf{a}, \mathbf{b}) = \{ \text{ev}_a(f(X)) * \mathbf{b} \mid f(X) \in \mathbb{F}_q[X], \deg f(X) < k \}$$

and similar statements hold for $\text{GRS}_l(\mathbf{a}, \mathbf{c})$ and $\text{GRS}_{k+l-1}(\mathbf{a}, \mathbf{b} * \mathbf{c})$

Furthermore

$$(\text{ev}_a(f(X)) * \mathbf{b}) * (\text{ev}_a(g(X)) * \mathbf{c}) = \text{ev}_a(f(X)g(X)) * \mathbf{b} * \mathbf{c}$$

and $\deg f(X)g(X) < k + l - 1$ if $\deg f(X) < k$ and $\deg g(X) < l$

Hence

$$\text{GRS}_k(\mathbf{a}, \mathbf{b}) * \text{GRS}_l(\mathbf{a}, \mathbf{c}) \subseteq \text{GRS}_{k+l-1}(\mathbf{a}, \mathbf{b} * \mathbf{c})$$

$$\text{GRS}_k(\mathbf{a}, \mathbf{b}) * \text{GRS}_l(\mathbf{a}, \mathbf{c}) \subseteq \text{GRS}_{k+l-1}(\mathbf{a}, \mathbf{b} * \mathbf{c})$$

Equality holds since on both sides the vector spaces are generated by the elements

$$(\text{ev}_a(X^i) * \mathbf{b}) * (\text{ev}_a(X^j) * \mathbf{c}) = \text{ev}_a(X^{i+j}) * \mathbf{b} * \mathbf{c}$$

where $0 \leq i < k$ and $0 \leq j < l$

Let $n = q - 1$

Let α be a primitive element of \mathbb{F}_q^*

Define $a_j = \alpha^{j-1}$ and $b_j = a_j^{n-b+1}$ for $j = 1, \dots, n$

Then

$$RS_k(n, b) = GRS_k(\mathbf{a}, \mathbf{b})$$

Similar statements hold for $RS_l(n, c)$ and $RS_{k+l-1}(n, b + c - 1)$

The statement concerning the star product of RS codes is now a consequence of the corresponding statement on the GRS codes

Let A and B be linear subspaces of \mathbb{F}_q^n
and $\mathbf{r} \in \mathbb{F}_q^n$ a **received word**

Define the **kernel**

$$K(\mathbf{r}) = \{ \mathbf{a} \in A \mid (\mathbf{a} * \mathbf{b}) \cdot \mathbf{r} = 0 \text{ for all } \mathbf{b} \in B \}$$

Let B^\vee be the space of all linear functions $\beta : B \rightarrow \mathbb{F}_q$

Now $K(r)$ is a subspace of A and it is the kernel of the linear map

$$S_r : A \rightarrow B^\vee$$

defined by $\mathbf{a} \mapsto \beta_{\mathbf{a}}$, where

$$\beta_{\mathbf{a}}(\mathbf{b}) = (\mathbf{a} * \mathbf{b}) \cdot r$$

Let $\mathbf{a}_1, \dots, \mathbf{a}_l$ and $\mathbf{b}_1, \dots, \mathbf{b}_m$ be bases of A and B

Then the map S_r has the $m \times l$ **syndrome matrix**

$$((\mathbf{b}_i * \mathbf{a}_j) \cdot r | 1 \leq j \leq l, 1 \leq i \leq m)$$

with respect to these bases

Let $A = \text{GRS}_{t+1}(\mathbf{a}, 1)$ and $B = \text{GRS}_t(\mathbf{a}, 1)$ and $C = \langle A * B \rangle^\perp$

Let

$$\mathbf{a}_i = \text{ev}_{\mathbf{a},1}(X^{i-1}) \text{ for } i = 1, \dots, t+1$$

$$\mathbf{b}_j = \text{ev}_{\mathbf{a},1}(X^j) \text{ for } j = 1, \dots, t$$

$$\mathbf{h}_l = \text{ev}_{\mathbf{a},1}(X^l) \text{ for } l = 1, \dots, 2t$$

Then

$\mathbf{a}_1, \dots, \mathbf{a}_{t+1}$ is a basis of A

$\mathbf{b}_1, \dots, \mathbf{b}_t$ is a basis of B

$\mathbf{h}_1, \dots, \mathbf{h}_{2t}$ is a basis of C^\perp

Furthermore

$$\mathbf{a}_i * \mathbf{b}_j = \text{ev}_{\mathbf{a},1}(X^{i+j-1}) = \mathbf{h}_{i+j-1}$$

Let \mathbf{r} be a **received word** and its **syndrome**

$$(s_1, \dots, s_{2t}) = \mathbf{r}H^T$$

Then

$$(\mathbf{b}_j * \mathbf{a}_i) \cdot \mathbf{r} = s_{i+j-1}$$

To compute the kernel $K(\mathbf{r})$ we have to compute the **null space** of the matrix of syndromes

$$\begin{pmatrix} s_1 & s_2 & \cdots & s_t & s_{t+1} \\ s_2 & s_3 & \cdots & s_{t+1} & s_{t+2} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ s_t & s_{t+1} & \cdots & s_{2t-1} & s_{2t} \end{pmatrix}$$

Let C be an \mathbb{F}_q -linear code of length n

Let r be a received word with error vector e

So $r = c + e$ for some $c \in C$

If

$$(A * B) \subseteq C^\perp$$

then

$$K(r) = K(e)$$

We have that $r = c + e$ for some codeword $c \in C$

Now $a * b$ is a parity check for C , since $A * B \subseteq C^\perp$

So $(a * b) \cdot c = 0$, and hence

$$(a * b) \cdot r = (a * b) \cdot e$$

for all $a \in A$ and $b \in B$

Let J be a subset of $\{1, \dots, n\}$

We defined before the subspace $A(J)$ of A by

$$A(J) = \{ \mathbf{a} \in A \mid a_j = 0 \text{ for all } j \in J \}$$

Let \mathbf{e} be an error vector of the received word \mathbf{r}

If

$$(A * B) \subseteq C^\perp$$

and

$$I = \text{supp}(\mathbf{e}) = \{ i \mid e_i \neq 0 \}$$

then

$$A(I) \subseteq K(\mathbf{r})$$

Let $\mathbf{a} \in A(I)$

Then $a_i = 0$ for all i such that $e_i \neq 0$

Therefore

$$(\mathbf{a} * \mathbf{b}) \cdot \mathbf{e} = \sum_{i=1}^n a_i b_i e_i = \sum_{e_i \neq 0} a_i b_i e_i = 0$$

for all $\mathbf{b} \in B$

So $\mathbf{a} \in K(\mathbf{e})$

But $K(\mathbf{e}) = K(\mathbf{r})$ by Lemma (1) hence $\mathbf{a} \in K(\mathbf{r})$

Therefore $A(I) \subseteq K(\mathbf{r})$

Let \mathbf{e} be an error vector of the received word \mathbf{r}

If

$$(A * B) \subseteq C^\perp$$

and

$$I = \text{supp}(\mathbf{e}) = \{ i \mid e_i \neq 0 \}$$

and

$$d(B^\perp) > \text{wt}(\mathbf{e}) = t$$

then

$$A(I) = K(\mathbf{r})$$

Suppose that $d(B^\perp) > \text{wt}(\mathbf{e})$

Let $\mathbf{a} \in K(\mathbf{r})$ then $\mathbf{a} \in K(\mathbf{e})$ by Lemma (1)

Hence

$$(\mathbf{e} * \mathbf{a}) \cdot \mathbf{b} = \mathbf{e} \cdot (\mathbf{a} * \mathbf{b}) = 0$$

for all $\mathbf{b} \in B$, giving $\mathbf{e} * \mathbf{a} \in B^\perp$

Now

$$\text{wt}(\mathbf{e} * \mathbf{a}) \leq \text{wt}(\mathbf{e}) < d(B^\perp)$$

So $\mathbf{e} * \mathbf{a} = 0$ meaning that $e_i a_i = 0$ for all i

Hence $a_i = 0$ for all i such that $e_i \neq 0$

that is for all $i \in I = \text{supp}(\mathbf{e})$

Hence $\mathbf{a} \in A(I)$

Therefore $K(\mathbf{r}) \subseteq A(I)$ and equality holds by Lemma (2)

Let \mathbf{e} be an error vector of the received word \mathbf{r}

If

$$(A * B) \subseteq C^\perp$$

and

$$I = \text{supp}(\mathbf{e}) = \{ i \mid e_i \neq 0 \}$$

and

$$d(B^\perp) > \text{wt}(\mathbf{e}) = t$$

and \mathbf{a} an element of $K(\mathbf{r})$ with

$$J = \{ j \mid a_j = 0 \}$$

Then

$$I \subseteq J$$

The Lemmas imply

$$K(\mathbf{r}) = K(\mathbf{e}) = A(I)$$

Let \mathbf{a} be an element of $K(\mathbf{r})$ then $\mathbf{a} \in A(I)$

Then

$$a_j = 0 \text{ for all } j \in I$$

Hence

$$I \subseteq \{j \mid a_j = 0\} = J$$

Let $I = \text{supp}(\mathbf{e})$ be the set of error positions

The set of zero coordinates of $\mathbf{a} \in A(I)$ contains the set of error positions by the Corollary

The elements of $A(I)$ are called **error-locator** vectors or functions

The space $A(I)$ is not known to the receiver

The space $K(\mathbf{r})$ can be computed after receiving the word \mathbf{r}

The equality $A(I) = K(\mathbf{r})$ implies that

all elements of $K(\mathbf{r})$ are error-locator functions

Let C be a linear code in \mathbb{F}_q^n

The pair (A, B) of linear subcodes of $\mathbb{F}_{q^m}^n$ is called a **t-error correcting pair (ECP)** over \mathbb{F}_{q^m} for C if

E.1 $(A * B) \perp C$

E.2 $k(A) > t$

E.3 $d(B^\perp) > t$

E.4 $d(A) + d(C) > n$

Let $A * B \subseteq C^\perp$

Let (A, B) be a t -ECP for C with $d(C) \geq 2t + 1$

Suppose that $c \in C$ is the **code word sent** and $r = c + e$ is the **received word** for some **error vector** e with $\text{wt}(e) \leq t$

The **basic algorithm** for the code C :

- Compute the kernel $K(r)$

This kernel is nonzero since $k(A) > t$

- Take a nonzero element a of $K(r)$

$K(r) = K(e)$ since $(A * B) \perp C$

- Determine the set J of zero positions of a

$\text{supp}(e) \subseteq J$ since $d(B^\perp) > t$

- Compute the error values by **erasure decoding**

Faculteit Wiskunde & Informatica $|J| < d(C)$ since $n - d(A) < d(C)$

Theorem

Let C be an \mathbb{F}_q -linear code of length n

Let (A, B) be a t -error-correcting pair over \mathbb{F}_{q^m} for C

Then the basic algorithm corrects t errors
for the code C with complexity $\mathcal{O}((mn)^3)$

The pair (A, B) is a t -error-correcting for C
so $A * B \subseteq C^\perp$ and the basic algorithm can be applied to decode C

If a received word r has at most t errors
then the error vector e with support I has size at most t
 $A(I)$ is not zero, since I imposes at most t linear conditions on A
and the dimension of A is at least $t + 1$
Let a be a nonzero element of $K(r)$

Let a be a nonzero element of $K(r)$

Let $J = \{j \mid a_j = 0\}$

We assumed that $d(B^\perp) > t$

So $K(r) = A(I)$ by the Lemmas

So a is an error-locator vector and J contains I

The weight of the vector a is at least $d(A)$

so a has at most $n - d(A) < d(C)$ zeros by (4)

Hence $|J| < d(C)$ and erasure decoding gives the error values

The complexity is that of solving systems of linear equations that is $\mathcal{O}(n^3)$ in case $m = 1$ and $\mathcal{O}((mn)^3)$ in general

Existence of Error-Correcting Pairs

Let $C^\perp = \text{GRS}_{2t}(\mathbf{a}, \mathbf{1})$

Then $C = \text{GRS}_{n-2t}(\mathbf{a}, \mathbf{b})$ for some \mathbf{b}
has parameters: $[n, n - 2t, 2t + 1]$

Let $A = \text{GRS}_{t+1}(\mathbf{a}, \mathbf{1})$ and $B = \text{GRS}_t(\mathbf{a}, \mathbf{1})$

Then $(A * B) \subseteq C^\perp$

A has parameters $[n, t + 1, n - t]$

B has parameters $[n, t, n - t + 1]$

So B^\perp has parameters $[n, n - t, t + 1]$

Hence (A, B) is a t -error-correcting pair for C

The converse is also true

Theorem

Let C be an $[n, n - 2t, 2t + 1]$ code that has a t -ECP
Then C is a GRS code

Let $\mathbf{x} \in \mathbb{F}_q^n$ and

J be a subset of $\{1, \dots, n\}$ consisting of m integers

$$J = \{i_1, \dots, i_m\} \text{ with } 1 \leq i_1 < \dots < i_m \leq n$$

Define

$$\mathbf{x}_J = (x_{i_1}, \dots, x_{i_m}) = (\mathbf{x}_j \mid j \in J) \in \mathbb{F}_q^m$$

the **restriction** of \mathbf{x} to the coordinates indexed by J

Puncturing is the process of deleting columns from a generator matrix of a given linear code C or equivalently

deleting the same set of coordinates in each codeword of C

Consider a subset $J \subseteq \{1, \dots, n\}$ with m elements

Let \bar{J} be the relative complement of J in $\{1, \dots, n\}$

C_J is **punctured code** of C at J , is defined by

$$C_J = \{ \mathbf{c}_{\bar{J}} \mid \mathbf{c} \in C \}$$

So C_J is the set of codewords of C restricted to the positions of \bar{J}

C^J is **shortened code** of C at J
it is obtained by puncturing at J the set of codewords
that have a zero in the J -locations:

$$C^J = \{c_J \mid c \in C \text{ and } c_J = 0\}$$

$$(C_J)^\perp = (C^\perp)^J$$

and

$$(C^J)^\perp = (C^\perp)_J$$

Suppose that C is a code that has (A, B) as t -ECP and
 A has parameters $[n, t + 1, n - t]$ and B has parameters $[n, t, n - t + 1]$
Let C_1 be the code obtained from C
by puncturing on the two right-most coordinates
Define

$$A_1 = \{ \mathbf{a}' = (a_1, \dots, a_{n-2}) \in \mathbb{F}_q^{n-2} \mid (\mathbf{a}', \mathbf{0}, a_n) \in A \text{ for some } a_n \in \mathbb{F}_q \}$$

$$B_1 = \{ \mathbf{b}' = (b_1, \dots, b_{n-2}) \in \mathbb{F}_q^{n-2} \mid (\mathbf{b}', b_{n-1}, \mathbf{0}) \in B \text{ for some } b_{n-1} \in \mathbb{F}_q \}$$

Then (A_1, B_1) is a $(t - 1)$ -ECP for C_1 and
 A_1 has parameters $[n - 2, t, n - t - 1]$ and
 B_1 has parameters $[n - 2, t - 1, n - t]$

Error-Correcting Pairs exist for:

- ▶ Generalized Reed-Solomon codes
- ▶ Cyclic codes
- ▶ Alternant codes
- ▶ Goppa codes
- ▶ Algebraic geometry codes

Code Based Public Key Cryptosystems

McEliece:

Let \mathcal{C} be a class of codes that have efficient decoding algorithms correcting t errors with $t \leq (d - 1)/2$

Secret key: (S, G, P)

- S an invertible $k \times k$ matrix
- G a $k \times n$ generator matrix of a code C in \mathcal{C} .
- P an $n \times n$ permutation matrix

Public key: $G' = SGP$

McEliece:

Encryption with public key $G' = SGP$ and message m in \mathbb{F}_q^k :

$$y = mG' + e$$

with random chosen e in \mathbb{F}_q^n of weight t

Decryption with secret key (S, G, P) :

$$yP^{-1} = (mG' + e)P^{-1} = mSG + eP^{-1}$$

SG and G are generator matrices of the same code C

eP^{-1} has weight t

Decoder gives $c = mSG$ as closest codeword

Minimum distance decoding is NP-hard (Berlekamp-McEliece-Van Tilborg)

It is assumed that:

1. $P \neq NP$
2. Decoding up to **half the minimum distance** is hard
3. One cannot **distinguish** nor **retrieve** the original code by disguising it with S and P

Possible attacks

Generic attack – decoding algorithms:

- McEliece 1978

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- Brickell, Lee 1988

- Leon 1988

- van Tilburg 1988

- Stern 1989

- Canteaut, Chabaud, Sendrier 1998

- Finiasz-Sendrier 2009

- Bernstein-Lange-Peters 2008-2011

- Becker-Joux-May-Meurer Eurocrypt 2012

Structural attacks:

- GRS codes (Sidelnikov-Shestakov)
- subcodes of GRS codes (Wieschebrink, Márquez-Martínez-P)
- Alternant codes: open
- Goppa codes: open
- Algebraic geometry codes: (Faure-Minder, genus $g \leq 2$)
- VSAG codes: (Márquez-Martínez-P-Ruano, arbitrary g)
- Polynomial attack on AG codes and certain subcodes (Couvreur-Márquez-P, using ECP's)

Let C be a linear code in \mathbb{F}_q^n

Let (A, B) be a pair of \mathbb{F}_{q^m} -linear subcodes of $\mathbb{F}_{q^m}^n$

Consider the following conditions

E.1 $(A * B) \perp C$

E.2 $k(A) > t$

E.3 $d(B^\perp) > t$

E.4 $d(A) + d(C) > n$

E.5 $d(A^\perp) > 1$, that means A is a non-degenerated code

E.6 $d(A) + 2t > n$

Let $\mathcal{P}(n, t, q)$ be the collection of pairs (A, B) such that there exist a positive integer m and a pair (A, B) of \mathbb{F}_q^m -linear codes of length n that satisfy the conditions E.2, E.3, E.5 and E.6

Let C be the \mathbb{F}_q -linear code of length n that is the subfield subcode that has the elements of $A * B$ as parity checks

$$C = \mathbb{F}_q^n \cap (A * B)^\perp$$

Then the minimum distance of C is at least $2t + 1$ and (A, B) is a t -ECP for C

Let $\mathcal{F}(n, t, q)$ be the collection of \mathbb{F}_q -linear codes of length n and minimum distance $d \geq 2t + 1$

Consider the following map

$$\begin{aligned} \varphi_{(n,t,q)} : \mathcal{P}(n, t, q) &\longrightarrow \mathcal{F}(n, t, q) \\ (A, B) &\longmapsto C \end{aligned}$$

The question is whether this map is a **one-way function**

One step is finding a pair of codes (A, B) such that

$(A * B) \perp C$ for a given code C

Let G be a generator matrix of C with entries g_{ij} , $1 \leq i \leq k$, $1 \leq j \leq n$

Generator matrix for A with variables X_{ij} with $1 \leq i \leq t + 1$, $1 \leq j \leq n$

and similarly for B by Y_{ij} , $1 \leq i \leq t$, $1 \leq j \leq n$

Finding a pair of codes (A, B) such that $(A * B) \perp C$

is equivalent to finding a solution of the following system

of $kt(t + 1)$ quadratic equations in $n(2t + 1)$ variables:

$$\sum_{j=1}^n g_{wj} X_{uj} Y_{vj} = 0, \quad \text{for all } 1 \leq u \leq t + 1, 1 \leq v \leq t, 1 \leq w \leq k.$$

This is a bilinear homogeneous system of equations
Such systems are studied by Faugère et al. using
Groebner bases theory and Buchbergers algorithm
and have improved complexity
Use Puncturing/shortening to reduce the number of variables

Thanks for your attention!