

# The Small Progress Measures algorithm for Parity games

Material: "Small Progress Measures for Solving Parity Games",  
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## Parity games

Recall:

### Definition (Parity game)

A parity game  $\Gamma$  is a four tuple  $(V, E, p, (V_{Even}, V_{Odd}))$ , where:

- $(V, E)$  is a directed graph,
  - $E$  is a total edge relation,
  - $p : V \rightarrow \mathbf{N}$  assigns priorities to vertices, and
  - $(V_{Even}, V_{Odd})$  is a partitioning of  $V$
- 
- A player does a step in the game if a token is on a vertex owned by that player;
  - A play (denoted  $\pi$ ) is an infinite sequence of steps.

## Strategies

- A **strategy** for *Player* is a partial function  $\psi_{Player}: V^* \times V_{Player} \rightarrow V$ .
- A play  $\pi = v_1 v_2 v_3 \dots$  is **consistent** with strategy  $\psi_{Player}$  for *Player* iff every  $v_i \in \pi$  such that  $v_i \in V_{Player}$  is immediately followed by  $v_{i+1} = \psi_{Player}(v_1 \dots v_i)$ .

### Definition (Memoryless strategy)

A **memoryless strategy** for *Player* is a partial function  $\psi_{Player}: V_{Player} \rightarrow V$  that decides the vertex the token is played to based on the current vertex.

## Winning a parity game

Let  $\pi = v_1 v_2 v_3 \dots$  be a play:

- $\text{inf}(\pi)$  denotes set of priorities occurring infinitely often in  $\pi$ ;
- $\pi$  is winning for player *Even* iff  $\min(\text{inf}(\pi))$  is **even**;

### Definition (Winning strategy)

Strategy  $\psi_{Player}$  is a **winning strategy** for *Player* from set  $W \subseteq V$  if every play starting from a vertex in  $W$ , consistent with  $\psi_{Player}$  is winning for *Player*.

- There is a memoryless winning strategy for *Player* from  $W \subseteq V$  **iff** there is a winning strategy for *Player* from  $W$ .

## Goal

Let  $G = (V, E, p, (V_{Even}, V_{Odd}))$  be a parity game.

- There is a **unique** partition  $(W_{Even}, W_{Odd})$  of  $V$  such that:
  - *Even* has winning strategy  $\psi_{Even}$  from  $W_{Even}$ , and
  - *Odd* has winning strategy  $\psi_{Odd}$  from  $W_{Odd}$ .

### Goal of parity game algorithms

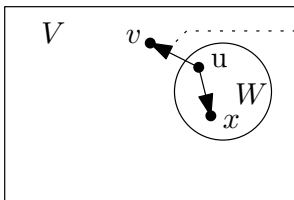
Compute partitioning  $(W_{Even}, W_{Odd})$  with strategies  $\psi_{Even}$  and  $\psi_{Odd}$  of  $V$ , such that  $\psi_{Even}$  is winning for player *Even* from  $W_{Even}$  and  $\psi_{Odd}$  is winning for player *Odd* from  $W_{Odd}$ .

## Closedness and cycles

Let  $G = (V, E, p, (V_{Even}, V_{Odd}))$  be a parity game. A strategy  $\psi_{Even}$  is **closed** on a set  $W \subseteq V$  if for all  $v \in W$ , we have:

- if  $v \in V_{Even}$  then  $\psi_{Even}(v) \in W$ , and
- if  $v \in V_{Odd}$  then  $(v, w) \in E$  implies  $w \in W$ .

Each play consistent with strategy  $\psi_{Even}$  closed on  $W$ , starting in  $W$ , stays within  $W$ .



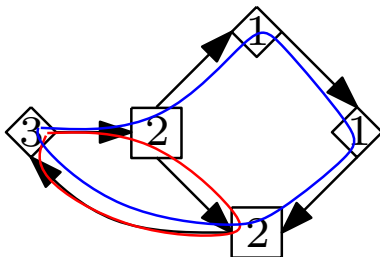
Edges  $(u, v)$  only for  $u \in V_{Even}$ , and only if there also is edge  $(u, x)$  for  $x \in W$ .

## Cycles

A **cycle** in a parity game is a path  $v_1, v_2, \dots, v_n$ , with  $v_1 = v_n$ .

We say that a cycle  $v_1, v_2, \dots, v_n$  is

- an  **$i$ -cycle** if  $i = \min\{p(v_j) \mid 1 \leq j \leq n\}$ , i.e.  $i$  is the smallest priority occurring on the cycle.
- an **even cycle** if it is an  $i$ -cycle,  $i$  is even.



## Characterization of winning strategies

Let  $G = (V, E, p, (V_{Even}, V_{Odd}))$  be a parity game. Let  $\psi_{Even}$  be a strategy for player *Even*, closed on  $W \subseteq V$ . Define the game  $G' = (V', E', p', (V'_{Even}, V'_{Odd}))$  such that:

- $V' = W$ ,
- $V'_{Even} = V_{Even} \cap V'$
- $V'_{Odd} = V_{Odd} \cap V'$
- $E' = \{(v, w) \mid v \in V'_{Even} \wedge w = \psi_{Even}(v)\} \cup \{(v, w) \mid (v, w) \in E \wedge v \in V'_{Odd}\}$
- $p'(v) = p(v)$  for  $v \in V'$

$\psi_{Even}$  is winning for player *Even* from  $W$  if and only if all cycles in  $G'$  are even.



## Aim of small progress measures

### Aim

Characterize the cycles reachable from each vertex using a measure, such that:

- the measure is computable using **fixed point iteration**,
- the measure assigned to a vertex contains for all odd priorities the maximal number of times this priority can be seen if player *Odd* moves over the graph, until a vertex with smaller priority is seen.

## Notation

Let  $\alpha \in \mathbb{N}^d$  be a  $d$ -tuple of non-negative integers.

- we number its components from 0 to  $d - 1$ , i.e.  
 $\alpha = (\alpha_0, \alpha_1, \dots, \alpha_{d-1})$ ,
- $<, \leq, =, \neq, \geq, >$  on tuples denote **lexicographic ordering**,
- $(n_0, n_1, \dots, n_k) \equiv_i (m_0, m_1, \dots, m_l)$  iff  
 $(n_0, n_1, \dots, n_i) \equiv (m_0, m_1, \dots, m_i)$ , for  $\equiv \in \{<, \leq, =, \neq, \geq, >\}$
- Note that if  $i > k$  or  $i > l$ , the tuples may be suffixed with 0s

### Example

- $(0, 1, 0, 1) =_0 (0, 2, 0, 1) \equiv (0) = (0) \equiv \text{true}$
- $(0, 1, 0, 1) <_1 (0, 2, 0, 1) \equiv (0, 1) < (0, 2) \equiv \text{true}$
- $(0, 1, 0, 1) \geq_3 (0, 2, 0, 1) \equiv (0, 1, 0, 1) \geq (0, 2, 0, 1) \equiv \text{false}$

## Notation

Let  $G = (V, E, p, (V_{Even}, V_{Odd}))$  be a parity game, and let  $d = \max\{p(v) \mid v \in V\} + 1$ .

- For  $i \in \mathbb{N}$ , let  $V_i = \{v \mid p(v) = i \wedge v \in V\}$ ,
- denote  $n_i = |V_i|$ , the number of vertices with priority  $i$ ,

### Definition ( $\mathbb{M}_G$ )

Define  $\mathbb{M}_G \subseteq \mathbb{N}^d$ , such that it is the finite set of  $d$ -tuples, with 0 on even positions, and non-negative integers bounded by  $n_i$  on odd positions  $i$ .

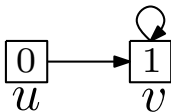
## Parity progress measure

Idea: characterize vertices that can only reach **even cycles**.

### Definition (Parity progress measure)

Let  $G = (V, E, p, (V_{Even}, V_{Odd}))$  be a parity game. Function  $\varrho: V \rightarrow \mathbb{N}^d$  is a parity progress measure for  $G$  if for all  $(v, w) \in E$  it holds that:

- $\varrho(v) \succeq_{p(v)} \varrho(w)$  if  $p(v)$  is even
- $\varrho(v) \succ_{p(v)} \varrho(w)$  if  $p(v)$  is odd



Problem: no parity progress measure can be assigned to these vertices, as parity progress measure only exists for even cycles. (Second clause requires  $\varrho(v) >_1 \varrho(v)$ )

## Allowing odd cycles

Let  $G = (V, E, p, (V_{Even}, V_{Odd}))$  be a parity game.

Define  $\mathbb{M}_G^\top = \mathbb{M}_G \cup \{\top\}$ , such that:

- $m \{<, <_i\} \top$  for all  $m \in \mathbb{M}_G$ ,
- $\top =_i \top$  for all  $i$ .

### Definition (Prog)

If  $\varrho: V \rightarrow \mathbb{M}_G^\top$  and  $(v, w) \in E$ , then  $Prog(\varrho, v, w)$  is the least  $m \in \mathbb{M}_G^\top$ , such that

- $m \geq_{p(v)} \varrho(w)$  if  $p(v)$  is even,
- $m >_{p(v)} \varrho(w)$ , or  $m = \varrho(w) = \top$  if  $p(v)$  is odd.

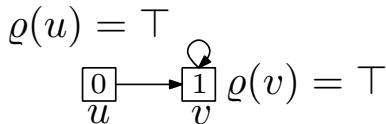
## Example

Recall the definition of *Prog*:

### Definition (Prog)

If  $\varrho: V \rightarrow \mathbb{M}_G^\top$  and  $(v, w) \in E$ , then  $Prog(\varrho, v, w)$  is the least  $m \in \mathbb{M}_G^\top$ , such that

- $m \geq_{p(v)} \varrho(w)$  if  $p(v)$  is even,
- $m >_{p(v)} \varrho(w)$ , or  $m = \varrho(w) = \top$  if  $p(v)$  is odd.



Measure can identify both *Even* and *Odd* reachable cycles.

## Game parity progress measure

### Definition (Game parity progress measure)

Let  $G = (V, E, p, (V_{Even}, V_{Odd}))$  be a parity game. A function  $\varrho: V \rightarrow \mathbb{M}_G^\top$  is a game parity progress measure if for all  $v \in V$ , it holds that:

- if  $v \in V_{Even}$ , then  $\exists_{(v,w) \in E} \varrho(v) \geq_{p(v)} \text{Prog}(\varrho, v, w)$ ;
- if  $v \in V_{Odd}$ , then  $\forall_{(v,w) \in E} \varrho(v) \geq_{p(v)} \text{Prog}(\varrho, v, w)$ , and

Note: if  $\varrho$  is a game parity progress measure, then  $\varrho(v) \neq \top$  if and only if all cycles reachable from vertex  $v$  are even.

## Strategies from progress measures

Let  $G = (V, E, p, (V_{Even}, V_{Odd}))$  be a parity game, and  $\varrho: V \rightarrow \mathbb{M}_G^T$  be a game parity progress measure.

- Define strategy  $\bar{\varrho}: V_{Even} \rightarrow V$  for player *Even*, by setting  $\bar{\varrho}(v)$  to be a **successor  $w$  of  $v$  that minimizes  $\varrho(w)$** .
- Let  $\|\varrho\| = \{v \mid v \in V \wedge \varrho(v) \neq \top\}$

Properties:

- If  $\varrho$  is a game parity progress measure, then  $\bar{\varrho}$  is a **winning strategy for player *Even* from  $\|\varrho\|$** .
- There is a game parity progress measure  $\varrho: V \rightarrow \mathbb{M}_G^T$  such that  $\|\varrho\|$  is the winning set of player *Even*.



## Fixed points

Characterize game parity progress measure as fixed point of monotone operators in a finite complete lattice:

- a least game parity progress measure  $\mu$  exists (Knaster-Tarski),
- computable by fixed point iteration (see Lecture 3, slide 13 for an algorithm),
- $\|\mu\|$  is winning set of player *Even*

Let  $G = (V, E, p, (V_{Even}, V_{Odd}))$ , and  $\mu, \varrho: V \rightarrow \mathbb{M}_G^\top$ .

- $\mu \sqsubseteq \varrho$  if  $\mu(v) \leq \varrho(v)$  for all  $v \in V$
- write  $\mu \sqsubset \varrho$  if  $\mu \sqsubseteq \varrho$  and  $\mu \neq \varrho$ .

$\sqsubseteq$  gives a complete lattice structure on the set of functions  $V \rightarrow \mathbb{M}_G^\top$ .

## Lifting progress measures

Define  $Lift(\varrho, v)$  for  $v \in V$  as follows:

$$Lift(\varrho, v)(u) = \begin{cases} \varrho(u) & \text{if } u \neq v, \\ \min\{Prog(\varrho, v, w) \mid (v, w) \in E\} & \text{if } u = v \in V_{Even} \\ \max\{Prog(\varrho, v, w) \mid (v, w) \in E\} & \text{if } u = v \in V_{Odd} \end{cases}$$

Observe:

- For every  $v \in V$ ,  $Lift(\cdot, v)$  is  $\sqsubseteq$ -monotone.
- A function  $\varrho: V \rightarrow \mathbb{M}_G^\top$  is a game parity progress measure if and only if  $Lift(\varrho, v) \sqsubseteq \varrho$  for all  $v \in V$ .

## The algorithm

The least game parity progress measure can now be computed using fixed point approximation:

### Algorithm (*ProgressMeasureLifting*)

$\mu \leftarrow \lambda v \in V. (0, \dots, 0)$

**while**  $\mu \sqsubset Lift(\mu, v)$  for some  $v \in V$  **do**

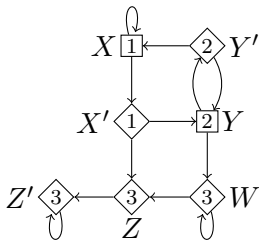
$\mu \leftarrow Lift(\mu, v)$

**end while**

## Example

Consider parity game  $G$ :

Initially:  $\mu \leftarrow \lambda v \in V.(0, 0, 0, 0)$ , so



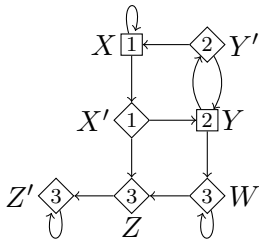
Legend:  $\square$  Odd  $\diamond$  Even

$v$	$\mu(v)$
$X$	$(0, 0, 0, 0)$
$X'$	$(0, 0, 0, 0)$
$Y$	$(0, 0, 0, 0)$
$Y'$	$(0, 0, 0, 0)$
$Z$	$(0, 0, 0, 0)$
$Z'$	$(0, 0, 0, 0)$
$W$	$(0, 0, 0, 0)$

$$\text{Lift}(\mu, X) = \max\{\text{Prog}(\mu, X, X'), \text{Prog}(\mu, X, X)\} = \max\{(0, 1, 0, 0), (0, 1, 0, 0)\} = (0, 1, 0, 0)$$

## Example

Consider parity game  $G$ :



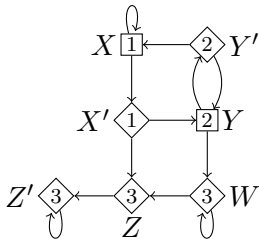
Legend:  $\square$  Odd  $\diamond$  Even

$v$	$\mu(v)$
$X$	$(0, 1, 0, 0)$
$X'$	$(0, 0, 0, 0)$
$Y$	$(0, 0, 0, 0)$
$Y'$	$(0, 0, 0, 0)$
$Z$	$(0, 0, 0, 0)$
$Z'$	$(0, 0, 0, 0)$
$W$	$(0, 0, 0, 0)$

$$\begin{aligned} \text{Lift}(\mu, X) &= \max\{\text{Prog}(\mu, X, X'), \text{Prog}(\mu, X, X)\} = \\ &= \max\{(0, 1, 0, 0), (0, 2, 0, 0)\} = (0, 2, 0, 0) \end{aligned}$$

## Example

Consider parity game  $G$ :



Legend:  $\square$  Odd  $\diamond$  Even

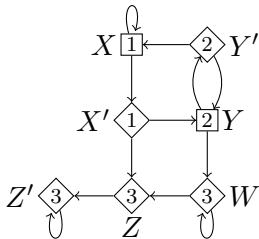
$v$	$\mu(v)$
$X$	$(0, 2, 0, 0)$
$X'$	$(0, 0, 0, 0)$
$Y$	$(0, 0, 0, 0)$
$Y'$	$(0, 0, 0, 0)$
$Z$	$(0, 0, 0, 0)$
$Z'$	$(0, 0, 0, 0)$
$W$	$(0, 0, 0, 0)$

$$\begin{aligned} \text{Lift}(\mu, X) &= \max\{\text{Prog}(\mu, X, X'), \text{Prog}(\mu, X, X)\} = \\ &= \max\{(0, 1, 0, 0), \top\} = \top \end{aligned}$$



## Example

Consider parity game  $G$ :



Legend:  *Odd*     *Even*

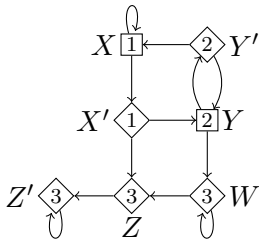
$v$	$\mu(v)$
$X$	$\top$
$X'$	$(0, 1, 0, 0)$
$Y$	$(0, 0, 0, 0)$
$Y'$	$(0, 0, 0, 0)$
$Z$	$(0, 0, 0, 0)$
$Z'$	$(0, 0, 0, 0)$
$W$	$(0, 0, 0, 0)$

$$\text{Lift}(\mu, Z') = \min\{\text{Prog}(\mu, Z', Z')\} = \min\{(0, 0, 0, 1)\} = (0, 0, 0, 1)$$



## Example

Consider parity game  $G$ :



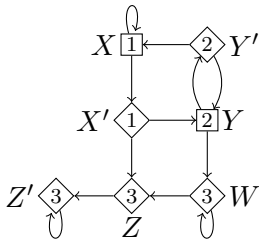
Legend:  $\square$  Odd  $\diamond$  Even

$v$	$\mu(v)$
X	$\top$
X'	(0, 1, 0, 0)
Y	(0, 0, 0, 0)
Y'	(0, 0, 0, 0)
Z	(0, 0, 0, 0)
Z'	(0, 0, 0, 1)
W	(0, 0, 0, 0)

$$\text{Lift}(\mu, Z') = \min\{\text{Prog}(\mu, Z', Z')\} = \min\{(0, 0, 0, 2)\} = (0, 0, 0, 2)$$

## Example

Consider parity game  $G$ :



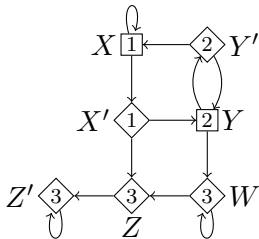
Legend:  $\square$  Odd  $\diamond$  Even

$v$	$\mu(v)$
$X$	$\top$
$X'$	$(0, 1, 0, 0)$
$Y$	$(0, 0, 0, 0)$
$Y'$	$(0, 0, 0, 0)$
$Z$	$(0, 0, 0, 0)$
$Z'$	$(0, 0, 0, 2)$
$W$	$(0, 0, 0, 0)$

$$\begin{aligned} \text{Lift}(\mu, Z') &= \min\{\text{Prog}(\mu, Z', Z')\} = \\ &= \min\{(0, 0, 0, 3)\} = (0, 0, 0, 3) \end{aligned}$$

## Example

Consider parity game  $G$ :



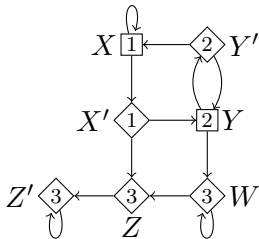
Legend:  $\square$  Odd  $\diamond$  Even

$v$	$\mu(v)$
$X$	$\top$
$X'$	$(0, 1, 0, 0)$
$Y$	$(0, 0, 0, 0)$
$Y'$	$(0, 0, 0, 0)$
$Z$	$(0, 0, 0, 0)$
$Z'$	$(0, 0, 0, 3)$
$W$	$(0, 0, 0, 0)$

$$\begin{aligned} \text{Lift}(\mu, Z') &= \min\{\text{Prog}(\mu, Z', Z')\} = \\ &= \min\{(0, 1, 0, 0)\} = (0, 1, 0, 0) \end{aligned}$$

## Example

Consider parity game  $G$ :



Legend:  $\square$  Odd  $\diamond$  Even

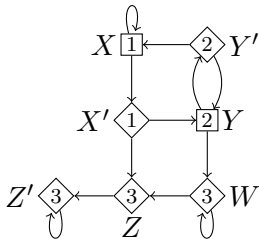
$v$	$\mu(v)$
X	$\top$
X'	(0, 1, 0, 0)
Y	(0, 0, 0, 0)
Y'	(0, 0, 0, 0)
Z	(0, 0, 0, 0)
Z'	(0, 1, 0, 0)
W	(0, 0, 0, 0)

$$\begin{aligned} \text{Lift}(\mu, Z') &= \min\{\text{Prog}(\mu, Z', Z')\} = \\ &= \min\{(0, 1, 0, 1)\} = (0, 1, 0, 1) \end{aligned}$$



## Example

Consider parity game  $G$ :



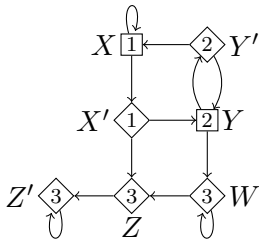
Legend:  $\square$  Odd  $\diamond$  Even

$v$	$\mu(v)$
$X$	$\top$
$X'$	$(0, 1, 0, 0)$
$Y$	$(0, 0, 0, 0)$
$Y'$	$(0, 0, 0, 0)$
$Z$	$(0, 0, 0, 0)$
$Z'$	$\top$
$W$	$(0, 0, 0, 0)$

$$\text{Lift}(\mu, Z) = \min\{\text{Prog}(\mu, Z, Z')\} = \min\{\top\} = \top$$

## Example

Consider parity game  $G$ :



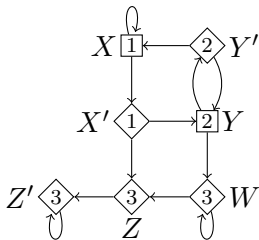
Legend:  $\square$  Odd  $\diamond$  Even

$v$	$\mu(v)$
$X$	$\top$
$X'$	$(0, 1, 0, 0)$
$Y$	$(0, 0, 0, 0)$
$Y'$	$(0, 0, 0, 0)$
$Z$	$\top$
$Z'$	$\top$
$W$	$(0, 0, 0, 0)$

$$\begin{aligned} \text{Lift}(\mu, W) &= \min\{\text{Prog}(\mu, W, Z), \text{Prog}(\mu, W, W')\} = \\ &= \min\{\top, (0, 0, 0, 1)\} = (0, 0, 0, 1) \end{aligned}$$

## Example

Consider parity game  $G$ :



Legend:  $\square$  Odd  $\diamond$  Even

$v$	$\mu(v)$
$X$	$\top$
$X'$	$(0, 1, 0, 0)$
$Y$	$(0, 0, 0, 0)$
$Y'$	$(0, 0, 0, 0)$
$Z$	$\top$
$Z'$	$\top$
$W$	$(0, 0, 0, 1)$

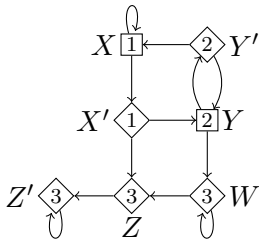
Repeat lifting of  $W$  often

$$\text{Lift}(\mu, W) = \min\{\text{Prog}(\mu, W, Z), \text{Prog}(\mu, W, W')\} = \min\{\top, \top\} = \top$$



## Example

Consider parity game  $G$ :



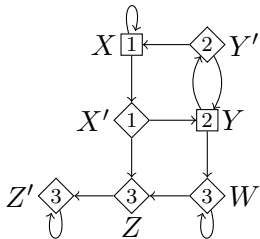
Legend:  $\square$  Odd  $\diamond$  Even

$v$	$\mu(v)$
$X$	$\top$
$X'$	$(0, 1, 0, 0)$
$Y$	$(0, 0, 0, 0)$
$Y'$	$(0, 0, 0, 0)$
$Z$	$\top$
$Z'$	$\top$
$W$	$\top$

$$\text{Lift}(\mu, Y) = \max\{\text{Prog}(\mu, Y, W), \text{Prog}(\mu, Y, Y')\} = \max\{\top, (0, 0, 0, 0)\} = \top$$

## Example

Consider parity game  $G$ :



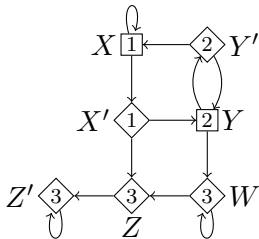
Legend:  $\square$  Odd  $\diamond$  Even

$v$	$\mu(v)$
$X$	$\top$
$X'$	$(0, 1, 0, 0)$
$Y$	$\top$
$Y'$	$(0, 0, 0, 0)$
$Z$	$\top$
$Z'$	$\top$
$W$	$\top$

$$\text{Lift}(\mu, X') = \min\{\text{Prog}(\mu, X', Z), \text{Prog}(\mu, X', Y)\} = \min\{\top, \top\} = \top$$

## Example

Consider parity game  $G$ :



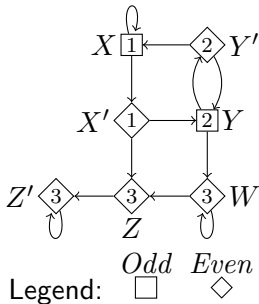
Legend:  *Odd*     *Even*

$v$	$\mu(v)$
$X$	$\top$
$X'$	$\top$
$Y$	$\top$
$Y'$	$(0, 0, 0, 0)$
$Z$	$\top$
$Z'$	$\top$
$W$	$\top$

$$\text{Lift}(\mu, X') = \min\{\text{Prog}(\mu, Y', X), \text{Prog}(\mu, Y', Y)\} = \min\{\top, \top\} = \top$$

## Example

Consider parity game  $G$ :



$v$	$\mu(v)$
$X$	$\top$
$X'$	$\top$
$Y$	$\top$
$Y'$	$\top$
$Z$	$\top$
$Z'$	$\top$
$W$	$\top$

$\mu$  is least game parity progress measure, and  $\|\mu\| = \{v \mid v \in V \wedge \mu(v) \neq \top\} = \emptyset$  is winning set for player *Even*. Hence **player Odd wins from all vertices**

## Complexity

Let  $G = (V, E, p, (V_{Even}, V_{Odd}))$  be a parity game;  
 $n = |V|, e = |E|, d = \max\{p(v) \mid v \in V\}$ .

Worst-case running time complexity:

$$\mathcal{O}(de \cdot \left(\frac{n}{\lfloor d/2 \rfloor}\right)^{\lfloor d/2 \rfloor})$$

Lowerbound on worst-case:

$$\Omega(\left(\lceil n/d \rceil\right)^{\lceil d/2 \rceil})$$

## Complexity

Let  $G = (V, E, p, (V_{Even}, V_{Odd}))$  be a parity game;  
 $n = |V|, e = |E|, d = \max\{p(v) \mid v \in V\}$ .

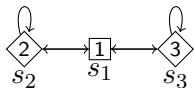
- Algorithm with best known upper bound: **Big step** algorithm due to Schewe, with complexity

$$\mathcal{O}(d \cdot n^{d/3})$$

- Big step combines **recursive algorithm** with **small progress measures**;

## Exercise

Consider the following parity game:



Legend:  $\square$  *Odd*  $\diamond$  *Even*

- Compute the winning sets  $W_{Even}$ ,  $W_{Odd}$  for players *Even* and *Odd* in this parity game using the small progress measures algorithm.
- Compare the solution with the solution obtained using the recursive algorithm and Gauß elimination.