

Honors Class (Foundations of) Informatics



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Automata and Grammars



Finite State Machine (FSM)

Takes a sequence of symbols from some alphabet as **input**.

Produces a sequence of symbols from some alphabet as **output**.

Has a finite set of (computational) **states**, with one *start state*.

Has a set of state **transitions** (computational steps):

Current state & input symbol determine output symbol & next state.

Can be given as

- a *table*, with a row per state and a column per input symbol, where each entry gives output and next state;
- a *labeled directed graph*, with a node per state, and an arrow between nodes labeled by input and output per transition.

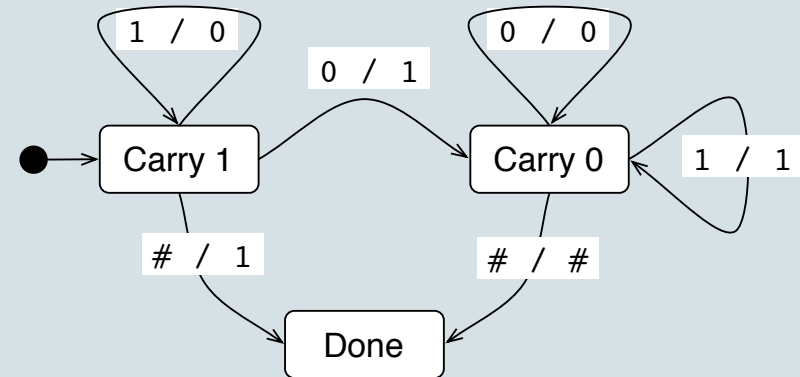
Also known as Deterministic Finite Automaton (DFA).

FSM to increment a binary number by one

Input alphabet = output alphabet = { 0, 1, # }

The number is input with its *least significant bit* first.

| Output, Next State | Input | | |
|--------------------|--------|--------|---------|
| State | 0 | 1 | # |
| A^* (Carry 1) | 1, B | 0, A | #, C |
| B (Carry 0) | 0, B | 1, B | 1#, C |
| C (Done) | , C | , C | , C |



$19 = 10011_2$ $20 = 10100_2$

How to increment a *decimal* number by one? Multiply by 3?
 How to check divisibility by 7? How to add two numbers?

*Start state

FSM has limited computational power

There exists no FSM

1. to increment an (arbitrary sized) number by one, when the number is offered with its *most significant digit* first;
2. to reverse the order of a sequence of symbols;
3. to multiply two (arbitrary sized) numbers;
4. to recognize a sequence of properly nested parentheses.

Reason: a FSM has finite storage.

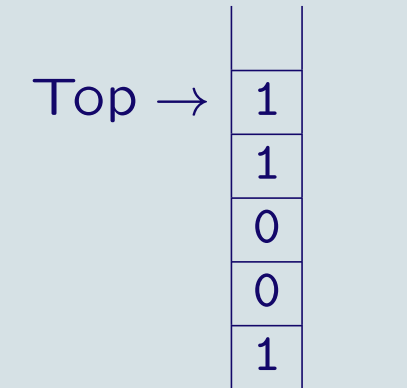
Pushdown Automaton (PDA)

Like a FSM, but it also has an unbounded **stack**.

The stack stores a sequence of symbols from the stack's alphabet.

Besides the input symbol and current state, also the symbol at the **top of the stack** determines which transition the PDA takes.

Besides producing output and going to the next state, the action with a transition can also **push a symbol onto the top of the stack**, or **pop the top symbol off the stack**.



PDA has limited computational power

A PDA can do everything that a FSM can do, and even more:

- reverse a sequence of symbols
- recognize a sequence of properly nested parentheses

There exists no PDA

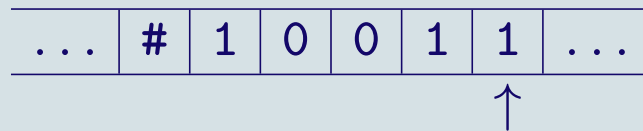
- to multiply two numbers
- etc.

Reason: a PDA has infinite storage with very limited access

Turing Machine (TM)

Like a FSM, but it also has a two-way infinite read-write **tape**.

The tape is divided into cells that each hold one symbol.



It has a **read/write head** that is positioned at a specific tape cell.

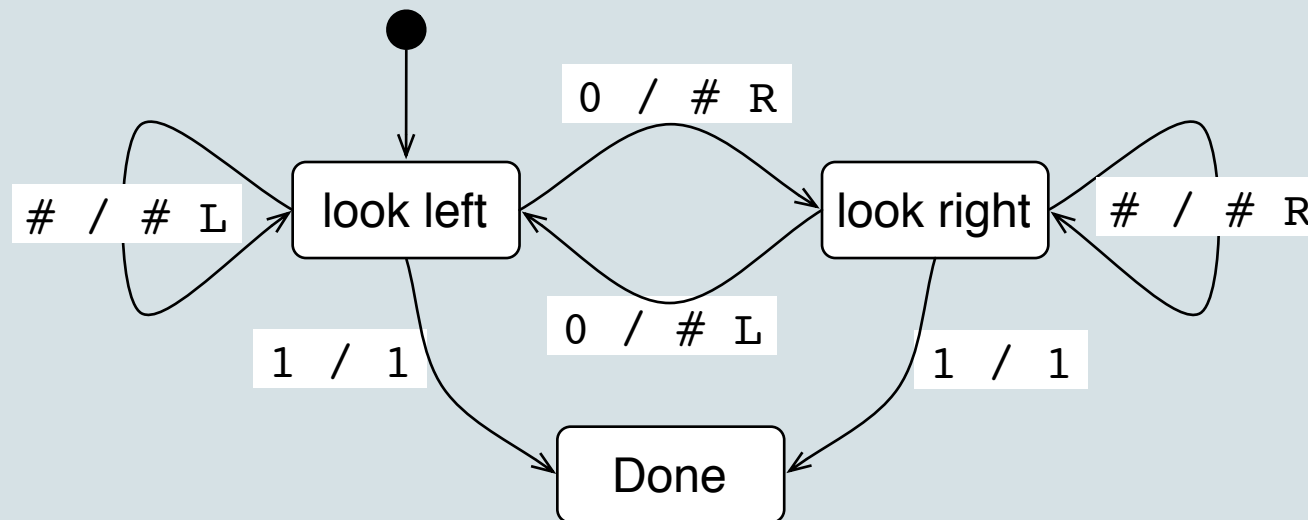
The current state and **tape symbol under the R/W head** determines the next state.

As action, it also can write a symbol at the head position and move the head one cell left/right.

Turing Machine that finds a 1 among 0s

Transition $X / Y Z$ means

'when reading X , overwrite by Y and move the R/W head in direction Z ' (R = right; L = left).



Formal Grammar to Generate a Formal Language

- Set of terminal symbols
- Set of non-terminal symbols

One non-terminal symbol is designated as start symbol.

- Set of production rules, each of the form

sequence of symbols \rightarrow sequence of symbols

Grammar *generates* a set of sequences of symbols (formal language):

1. Start with the sequence consisting of just the start symbol.
2. Repeatedly replace subsequence t by u for production rule $t \rightarrow u$.
3. Terminate when the sequence contains terminal symbols only.

Formal Grammar: Example 1

Terminals: $\{a, b\}$. Non-terminals: $\{S, T\}$, start symbol S

Production rules:

$$1. S \rightarrow aT$$

$$2. T \rightarrow b$$

$$3. T \rightarrow bS$$

Production example:

$$\underline{S} \xrightarrow{1} \underline{aT} \xrightarrow{3} \underline{abS} \xrightarrow{1} \underline{abaT} \xrightarrow{3} \underline{ababS} \xrightarrow{1} \underline{ababaT} \xrightarrow{2} \underline{ababab}$$

Generated language = $\{(ab)^n \mid n \geq 1\}$

Formal Grammar: Example 2

Terminals: $\{a, b\}$. Non-terminals: $\{S\}$, start symbol S

Production rules:

1. $S \rightarrow ab$

2. $S \rightarrow aSb$

Production example:

$$\underline{S} \xrightarrow{2} \overline{a\underline{S}b} \xrightarrow{2} \overline{aa\underline{S}bb} \xrightarrow{1} aa\overline{abbb}$$

Generated language = $\{a^n b^n \mid n \geq 1\}$

Formal Grammar: Example 3

Terminals: $\{a, b, c\}$. Non-terminals: $\{S, T\}$, start symbol S

Production rules:

$$1. S \rightarrow aTSc$$

$$2. S \rightarrow abc$$

$$3. Ta \rightarrow aT$$

$$4. Tb \rightarrow bb$$

Production example:

$$\underline{S} \xrightarrow{1} \overline{aTSc} \xrightarrow{2} a\underline{T}abcc \xrightarrow{3} aa\underline{T}bcc \xrightarrow{4} aabbcc$$

Generated language = $\{a^n b^n c^n \mid n \geq 1\}$

Chomsky Hierarchy of Grammars

- **Unrestricted Grammar** (Type 0): no restrictions
- **Context-Free Grammar** (Type 2):
left-hand side of each production rule consist of
a single non-terminal symbol
- **Regular Grammar** (Type 3): like context-free grammar, but also
right-hand side of each production rule is restricted:
 - either empty, or
 - single terminal symbol, or
 - single terminal symbol followed by single non-terminal symbol

Classification of Example Grammars

- Grammar 1 is regular
- Grammar 2 is context-free, and not regular
- Grammar 3 is unrestricted, and not context-free

Classification of Languages

| A language is called . . . | if it can be generated by a . . . |
|-----------------------------------|--|
| Regular | Regular Grammar |
| Context-Free | Context-Free Grammar |
| Recursively Enumerable | Unrestricted Grammar |

- Note: Different grammars can define the same language.
- Regular \subset Context-Free \subset Recursively Enumerable
- Context-free, non-regular grammar can generate regular language
- Unrestricted non-context-free grammar can generate context-free language

Classification of Example Languages

- $\{ (ab)^n \mid n \geq 1 \}$ is regular
- $\{ a^n b^n \mid n \geq 1 \}$ is context-free, but not regular
- $\{ a^n b^n c^n \mid n \geq 1 \}$ is recursively enumerable, but not context-free

Automata as Language Recognizers

By marking some states as **accepting states** an automaton can be turned into a recognizer:

An automaton is said to **accept** a sequence when it terminates in an accepting state after processing that sequence as input.

An automaton is said to **recognizes** a language, if it accepts exactly the sequences of that language (no more, and no less).

- Finite State Machines recognize Regular Languages.
- Pushdown Automata* recognize Context-Free Languages.
- Turing Machines recognize Recursively Enumerable Languages.

*Nondeterministic version

Summary

- There are many different *computational mechanisms*, such as
 - Finite State Machine (FSM)
 - Pushdown Automaton, with a single stack (PDA)
 - Turing Machine, with a two-way infinite read/write tape (TM)

These also differ in *computational power*.

- A *formal language* is a set of sequences of symbols.
A *formal grammar* defines (generates) a formal language:
 - Regular Grammar (recognizable by FSM)
 - Context-Free Grammar (recognizable by PDA)
 - Unrestricted Grammar (recognizable by TM)

References

http://en.wikipedia.org/wiki/Finite-state_machine

http://en.wikipedia.org/wiki/Pushdown_automaton

http://en.wikipedia.org/wiki/Turing_machine

<http://en.wikipedia.org/wiki/Computability>

http://en.wikipedia.org/wiki/Formal_language

http://en.wikipedia.org/wiki/Formal_grammar