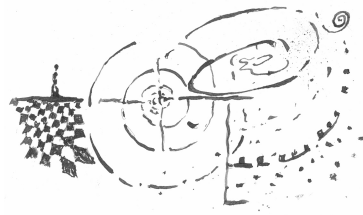


# Algorithmic Adventures

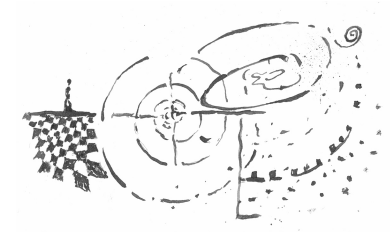
From Knowledge to Magic



Book by Juraj Hromkovič, ETH Zurich  
Slides by Tom Verhoeff, TU Eindhoven

# Quotation

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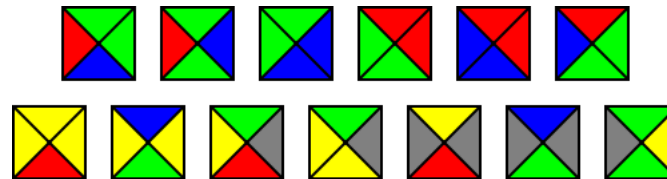
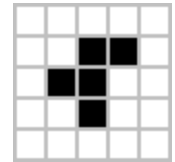


There is no greater loss than time which has been wasted

Michelangelo Buonarroti

## Undecidability Is Not Rare

- Decide\* whether a Game of Life configuration stabilizes
- Decide whether a set of Wang tiles can tile the plane



- Decide whether a Diophantine equation (multivariable polynomial equation, like  $a^3 + b^3 = c^3$ ) has a solution in integers
- Decide whether a program has a specific non-trivial property, like whether it always halts, always outputs 0, ... [cf. Rice's Theorem]

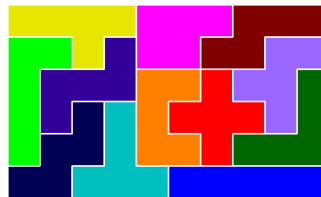
\*In each case, the algorithm needs to work for *all* possible inputs (shown in yellow). All these decision problems turn out to involve a *universal* mechanism.

## Some Algorithms Are Very Inefficient

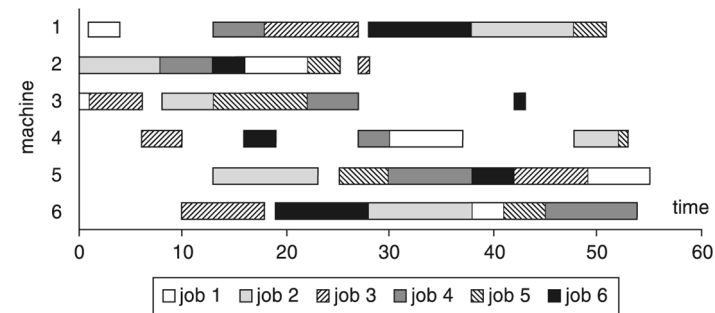
For some *algorithmically solvable* problems, our algorithmic solutions turn out to be very slow

Slow algorithms are *practically* unusable:

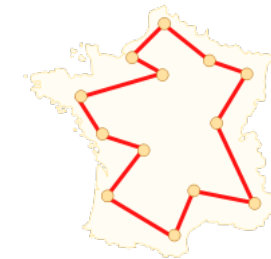
- Packing puzzles



- Scheduling jobs on machines



- Traveling Salesman Problem (**TSP**): find shortest tour visiting each town in a given set, given their distances



How can we investigate this phenomenon?

How can we overcome this limitation?

## Algorithmic Complexity

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The **time complexity** of algorithm  $A$  on input  $I$ :

number of instructions performed in computation of  $A$  on  $I$

The **space complexity** of algorithm  $A$  on input  $I$ :

amount of memory used in computation of  $A$  on  $I$

Complexity varies with **size of the input** (amount of input data)

The **time complexity** of algorithm  $A$  **as function of input size**:

$Time_A(n) = \text{worst-case}$  number of instructions performed in computation of  $A$  on any input of size  $n$

## Asymptotic Algorithmic Time Complexity

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The function  $Time_A(n)$  also depends on details of the programming language and implementation of the algorithm as program

**Definition** Function  $f(n) \geq 0$  is  $\mathcal{O}(g(n))$  (' $f$  is big oh of  $g$ ') when

$$f(n) \leq C \cdot g(n) \text{ for some constant } C \text{ and all sufficiently large } n$$

Example:  $10n^2 + 7n + 20$  is  $\mathcal{O}(n^2)$ , but not  $\mathcal{O}(n)$  and not  $\mathcal{O}(\log n)$

The **asymptotic time complexity** of algorithm  $A$  is  $f(n)$ :

$$Time_A(n) \text{ is } \mathcal{O}(f(n)) \quad \text{and} \quad f(n) \text{ is } \mathcal{O}(Time_A(n))$$

The asymptotic complexity is *robust*, independent of implementation

**Complexity classes:** Constant, Logarithmic, Linear, Linearithmic  $\mathcal{O}(n \cdot \log n)$ , Quadratic, Cubic, ..., Polynomial, Exponential, ...

## Asymptotic Time Complexity Examples

Complexity	Name	Example*
$\mathcal{O}(1)$	Constant	Determine whether $n$ -bit number is even
$\mathcal{O}(\log n)$	Logarithmic	Find item in sorted list by <i>Binary Search</i>
$\mathcal{O}(n)$	Linear	Find item in list by <i>Linear Search</i>
$\mathcal{O}(n \log n)$	Linearithmic	Sort list by <i>Merge Sort</i>
$\mathcal{O}(n^2)$	Quadratic	Sort list by <i>Bubble Sort</i>
$\mathcal{O}(n^k)$	Polynomial	Determine whether $n$ -bit number is <i>prime</i>
$\mathcal{O}(2^n)$	Exponential	Solve TSP by <i>Dynamic Programming</i>
$\mathcal{O}(n!)$	Factorial	Solve TSP by <i>Brute Force Search</i>

\*The input is a list of  $n$  elements (possibly bits)

## What Is the Limit of Practical Solvability?

$n$	10	50	100	300
$f(n)$				
$10n$	100	500	1000	3000
$2n^2$	200	5 000	20 000	180 000
$n^3$	1000	125 000	1 000 000	27 000 000
$2^n$	1024	16 digits	31 digits	91 digits
$n!$	$\approx 3.6 \cdot 10^6$	65 digits	158 digits	615 digits

A problem is called **tractable** when it can be solved by a **polynomial** algorithm (asymptotic time complexity is  $\mathcal{O}(n^k)$  for some constant  $k$ )

**P** denotes the class of all **polynomial decisions problems**



## How Much More Can You Do on a 2× Faster Machine?

Assume  $n = 100$  takes 1 hour on machine  $A$ .

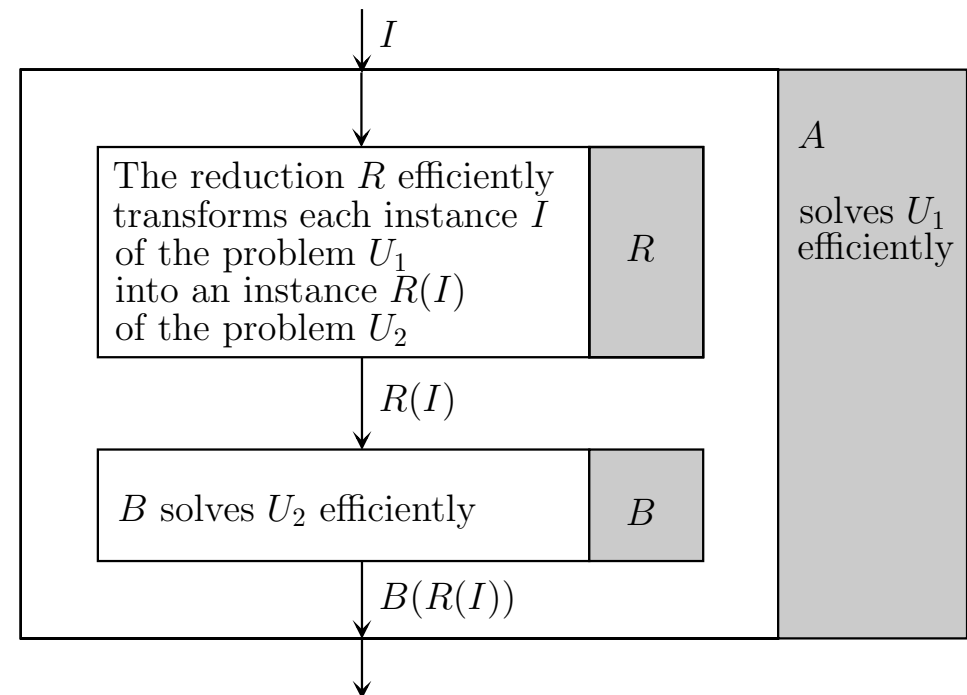
How much further do you get on a 2× faster machine  $B$  in 1 hour?

	<b>Time</b>	$n$ on $A$	$n$ on $B$	<b>More on <math>B</math></b>	<b>Factor</b>
Logarithmic	$C_1 \log_2 n$	100	10000	9900	100
Linear	$C_2 n$	100	200	100	2
Linearitmic	$C_3 n \log_2 n$	100	178	78	1.78
Quadratic	$C_4 n^2$	100	141	41	1.41
Cubic	$C_5 n^3$	100	126	26	1.26
Exponential	$C_6 2^n$	100	101	1	1.01

## Polynomial-time Reduction

Algorithm  $R$  is a **polynomial reduction** from problem  $U_1$  to  $U_2$  when

- $R$  is a *polynomial* algorithm, and
- the solution for instance  $I$  of problem  $U_1$  equals the solution for instance  $R(I)$  of problem  $U_2$ , for all instances  $I$  of  $U_1$



## Polynomial-time Reduction

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By definition, the following statements are equivalent:

- Problem  $U_1$  is **polynomial-time reducible** to problem  $U_2$
- There exists a polynomial reduction  $R$  from  $U_1$  to  $U_2$
- $U_1 \leq_{\text{pol}} U_2$
- Problem  $U_1$  is *polynomially no harder than* problem  $U_2$

An example follows

# Knapsack Problem

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**Subset Sum Problem**, or (simplified) **Knapsack Problem**:

For a given positive integer  $K$  and set  $S$  of items  $x$  with positive integer size  $s(x)$ , does there exist a subset  $T$  of  $S$  whose total size  $\sum_{x \in T} s(x)$  equals  $K$ ?

$K$  is the size of the knapsack,  $S$  contains the items to pack, and  $s$  gives their sizes.

The question is whether the knapsack can be filled exactly with a suitable selection  $T$  of the items.

Example: item sizes **110, 90, 70, 50, 30, 30, 20**, and  $K = 150$

## Settling Debts Problems

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A group of friends lend each other money throughout the year. They carefully record each transaction. When Alice lends 10 euro to Bob, this is recorded as Alice  $\xrightarrow{10}$  Bob.

At the end of the year they wish to settle all their debts. Money can be transferred between any *pair* of persons.

Problem variants:

- minimize the number of transfers
- minimize the total amount transferred
- minimize both

## Reduce Knapsack to Settling Debts

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Given an instance  $I$  for Knapsack, construct an instance  $R(I)$  for **Settling Debts**:  $|S|$  positive balances  $s(x)$  for  $x \in S$ , and two negative balances  $-K$  and  $K - \sum_{x \in S} s(x)$ . N.B. The total balance = 0.

+110	+90	+70	+50	+30	+30	+20
	-150			-250		

The instance  $R(I)$  requires at least  $|S|$  transfers to settle, since each positive balance needs an outgoing transfer. A settling of all debts for  $R(I)$  with  $|S|$  transfers exists if and only if there exists a subset  $T$  of  $S$  whose total size equals  $K$ , that is, when it solves  $I$ .

Thus: Knapsack  $\leq_{\text{pol}}$  Settling Debts *in minimum number of transfers*

## Using Polynomial-time Reducibility $U_1 \leq_{\text{pol}} U_2$

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(Compare to *algorithmic* reducibility and its uses, in Ch. 4)

If we know  $U_1 \leq_{\text{pol}} U_2$ , then this can be used in two ways:

1. Polynomial solvability of  $U_2$  implies polynomial solvability of  $U_1$   
(Note the order of  $U_2$  and  $U_1$  here)
2. If  $U_1$  *cannot* be solved by a polynomial algorithm, then  $U_2$  *cannot* be solved by a polynomial algorithm

Many problems for which we have not found polynomial algorithms are polynomially equally hard:  $U_1 \leq_{\text{pol}} U_2$  and  $U_2 \leq_{\text{pol}} U_1$

These problems are called **NP-hard**

Knapsack (Subset Sum) is known to be NP-hard

Hence, **Settling Debts in minimum number of transfers** is NP-hard

## Easy/Hard Pairs

- *Hard*: Determine whether a graph has a **Hamiltonian circuit** that visits each **vertex** exactly once

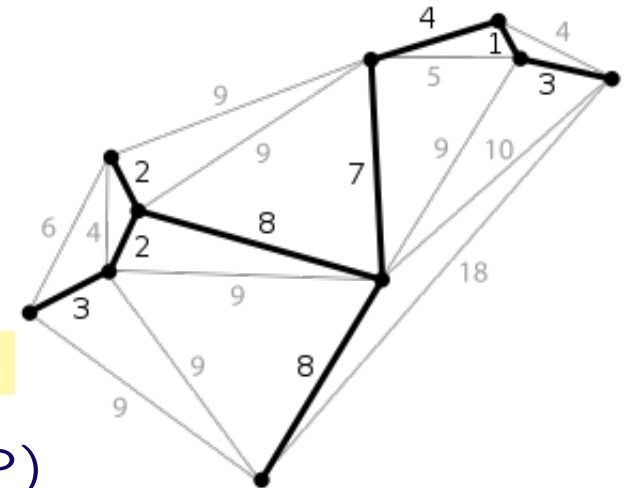
*Easy*: Determine whether a graph has an **Euler circuit** that visits each **edge** exactly once

- *Hard*: Determine a settling of all debts, that minimizes the **number of transfers**

*Easy*: Determine a settling of all debts, that minimizes the **total amount transferred**

- *Hard*: **Traveling Salesman Problem** (TSP)

*Easy*: Determine a **Minimum Spanning Tree** (MST) of a connected, edge-weighted graph: a set of edges of minimum total weight that connects all vertices (this is a *tree*; see figure)





## Settling Debts, Minimizing Total Amount Transferred, Is Easy

Here is a greedy\* algorithm :

1. Determine the balance  $b_i$  for each person
2. While there is still someone with a nonzero balance, do:
  - (a) Select any person  $i$  with  $b_i < 0$ , and any person  $j$  with  $b_j > 0$
  - (b) Let  $m$  be the minimum of  $-b_i$  and  $b_j$ ; hence,  $m > 0$
  - (c) Include transfer  $i \xrightarrow{m} j$  in the settlement
  - (d) Increase  $b_i$  by  $m$  and decrease  $b_j$  by  $m$
3. All  $b_k = 0$ , hence the included transfers settle all debts

\*Step 2a makes it greedy: settle maximally among the first candidate pair found

## Settling Debts, Minimizing Total Amount Transferred: Proof

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$\sum_k b_k = 0$  holds initially and after every iteration of Step 2. (Invariant)

Step 2a is always possible, because  $\sum_k b_k = 0$  and not all  $b_k = 0$ .

The repetition of Step 2 terminates, because in each iteration at least one nonzero  $b_k$  is reduced to zero by Step 2d.

Therefore, the number of transfers is at most  $N$  (number of persons). In fact, it is at most  $N - 1$ , because the final two nonzero balances cancel each other in a *single* transfer.

Let  $P$  be the total amount of the positive balances, and  $N$  the total amount of the negative balances. Hence,  $P = -N$ . The *minimum total amount to be transferred* equals  $P$ .

The total amount transferred equals  $P$ , and hence is minimal.

## Summary

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- Algorithmically solvable does not mean **practically solvable**
- **Time complexity** of an algorithm: how many steps it takes to compute an answer, in relation to input *size* (worst-case)
- **Complexity classes** defined in terms of *asymptotic* complexity: Polynomial time (**P**), Exponential time (**EXP**), ...
- **NP decision problem**  $\approx$  YES answer *verifiable* in polynomial time
- **NP-hard**: class of *hardest* NP problems (**polynomial reduction**)
- **$P \stackrel{?}{=} NP$** : Can all NP problems be solved in polynomial time?
- Today we know only *exponential* algorithms for NP-hard problems: **intractable**, practically unsolvable for larger inputs; not hopeless

## Not Covered in the Slides

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- It is hard to prove lower bounds on the (time) efficiency of algorithms that solve a specific problem
- For some problems, every algorithm solving it can be made more efficient; i.e., there is no lower bound on efficiency (**Blum's Speed-up Theorem**)
- The street supervision problem ( $VC = \text{Vertex Cover}$ )
- Approximation algorithm for VC